

# OIG Recovery Act Plan Overview

<b>OIG Name:</b>	Department of Homeland Security
<b>OIG Broad Recovery Act Goals:</b>	DHS-OIG's oversight strategy is designed to detect and prevent errors and waste, fraud, and abuse of tax payer funds. The approach to the oversight of Recovery Act funds consists of three phases, including evaluating initial spending plans for practicality and comprehensiveness (phase I), reviewing programs to ensure compliance with established program plans (phase II), and evaluating outcomes of the individual initiatives to determine whether the department's program goals were achieved (phase III). The Office of Investigations will monitor the DHS-OIG hotline for Recovery Act-related issues and concerns and make referrals as appropriate.
<b>OIG Broad Recovery Act Outreach Goals:</b>	DHS-OIG's outreach goal is to disseminate information about DHS Recovery Act-funded programs through audit reports, oversight plans, and weekly reports on the DHS-OIG website; attendance and delivery of presentations on Recovery Act-related topics at affiliated professional associations; and incorporation of Recovery Act-related topics into personnel training and development plans.
<b>OIG Recovery Act Risk Assessment Process:</b>	In the first phase of the DHS-OIG oversight strategy, all initial DHS spending plans will be evaluated. Based on findings from these spending plan audits, and combined with results from past audits and investigations, a risk-based sample of Recovery Act-funded programs will be audited and reviewed in phases II and III. During the audit phases, DHS-OIG will periodically reassess the risks of DHS programs funded by the Recovery Act and adjust oversight plans accordingly.
<b>OIG Recovery Act Funds:</b>	\$5,000,000
<b>Expiration Date of OIG Recovery Act Funds:</b>	30-Sep-12
<b>FY 2009 OIG Non-Recovery Act Funds:</b>	\$119 million (FY09)
<b>Number of OIG Planned Recovery Act Hires:</b>	10
<b>OIG Recovery Act Funds to be Allocated to Contracts:</b>	Yes
<b>Purpose of Recovery Act Contracts:</b>	DHS-OIG will contract for financial and performance audit services to support DHS-OIG Recovery Act oversight goals.
<b>Types of Recovery Act Contracts Awarded to Date:</b>	For unclassified audit work, we will use an existing blanket purchase agreement; for work requiring a clearance, we will use an indefinite delivery/indefinite quantity (IDIQ) contract, to be determined (TBD).
<b>Link to OIG FY 2009 Recovery Act Work Plan:</b>	<a href="http://www.dhs.gov/xoig/gc_1238090716408.shtm">http://www.dhs.gov/xoig/gc_1238090716408.shtm</a>

## OIG FY 2009 Recovery Act Work Plan

OIG Name:	Program Area	Agency Recovery Act Funds Associated w/ Program Area	Type of Review (see note below)	Entity Performing Review (OIG Staff, Contractor, Other)	Project Title	Background	Objective	Expected Quarter (Work will Start)	Expected Quarter (Final Report will be Issued)	Expected Number of Reports
DHS	CBP	\$420,000,000	Performance	OIG Staff	Construction of CBP-Owned Land Ports of Entry	Recovery Act funds were appropriated to CBP for planning, management, design, alteration, and construction of CBP-owned land ports of entry. Of the 163 land ports of entry, CBP owns 43. CBP-Owned Land Ports of Entry are generally small and rural and have a low volume of traffic. Severe dilapidation of the Land Ports of Entry drives facility needs.	Determine whether CBP has developed a practical and comprehensive plan for the construction of CBP-Owned Land Ports of Entry	Q3 FY09	Q4 FY09	1
DHS	CBP	\$100,000,000	Performance	OIG Staff	Southwest Border Security Fencing, Infrastructure, and Technology	Recovery Act funds were appropriated to CBP for development and deployment of border security technology on the southwest border. Funding will facilitate accelerated operational deployments along the southwest border and equipment upgrades.	Determine whether CBP has developed a practical and comprehensive plan for the development and deployment of border security technology on the Southwest border	Q3 FY09	Q4 FY09	1
DHS	CBP	\$100,000,000	Performance	OIG Staff	Non-intrusive Inspection Systems	Recovery Act funds were appropriated to CBP to continue deploying non-intrusive inspection systems that allow safe and efficient inspection of cargo and vehicles entering the U.S. The systems support the detection and prevention of contraband, including weapons of mass effect, illicit radioactive materials, and illicit drugs.	Determine whether CBP has developed a practical and comprehensive plan for procurement and deployment of non-intrusive inspection systems	Q3 FY09	Q4 FY09	1
DHS	CBP ICE	\$60,000,000 \$20,000,000	Performance	OIG Staff	Tactical Communications	Recovery Act funds were appropriated to CBP and ICE to modernize the wireless communication system that agents and officers use to support their operations. Current systems consist of analog handheld and vehicular radios and equipment that is not compliant with existing standards.	Determine whether CBP and ICE have developed a practical and comprehensive plan to procure and deploy tactical communications equipment and radios	Q3 FY09	Q4 FY09	1
DHS	DHS	\$0	Performance	OIG Staff	Advisory Report	DHS-OIG will issue an advisory report identifying the risks and challenges the department faces as it prepares to manage and oversee the expenditure of Recovery Act funds. The challenges outlined in the report should be addressed by the department to mitigate risks to effective management of Recovery Act funds.	Determine the adequacy of DHS capabilities to plan, implement, and monitor Recovery Act initiatives	Q3 FY09	Q3 FY09	1
DHS	DHS	\$0	Performance	OIG Staff	DHS Recovery Plan	Recovery Act guidance issued by OMB lists goals and requirements that federal departments and agencies receiving Recovery Act funds must follow. DHS' Agency Recovery Plan is due in final to OMB by May 15, 2009.	Determine the efficacy of DHS' Agency Recovery Plan for accountability over Recovery Act funds	Q3 FY09	Q4 FY09	1
DHS	DHS	\$200,000,000	Performance	OIG Staff	DHS Headquarters	Recovery Act funds were appropriated to DHS for planning, design, construction, site security, information technology infrastructure, fixtures, and related costs to consolidate DHS headquarters at the former St. Elizabeth's site. \$198 million of the appropriated funds will be transferred to GSA via a Reimbursable Work Authorization and the remaining \$1.1 million will be used for operational site security staffing and expenses.	Determine whether DHS has developed a practical and comprehensive plan for the design and construction of a consolidated DHS Headquarters facility	Q3 FY09	Q4 FY09	1
DHS	FEMA	\$100,000,000	Performance	OIG Staff	Grant Program	Emergency Food and Shelter Assistance Grants will be used to help meet the needs of hungry and homeless people throughout the United States and its territories. Federal funds will be allocated for food, lodging, rent, and utilities and some repairs and equipment for shelter facilities.	Determine whether FEMA has established appropriate capabilities to oversee Recovery Act grant programs	Q3 FY09	Q4 FY09	1

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DHS	FEMA	\$150,000,000	Performance	OIG Staff	Grant Program	Rail and Transit Security Assistance Grants will be used to create sustainable programs to protect the critical bus, rail, and ferry system infrastructure from terrorism, with special emphasis on potential use of explosives and non-conventional threats, which could result in major loss of life and severe disruption.	Determine if FEMA has established appropriate capabilities to oversee Recovery Act grant programs	Q3 FY09	Q4 FY09	
DHS	FEMA	\$150,000,000	Performance	OIG Staff	Grant Program	Port Security Grants will be used to enhance maritime domain awareness; risk management capabilities to prevent, detect, respond to, and recover from attacks involving improvised explosive devices, weapons of mass destruction, and other non-conventional weapons; training and exercises; and Transportation Worker Identification Credential implementation.	Determine whether FEMA has established adequate capabilities to oversee Recovery Act grant programs	Q3 FY09	Q4 FY09	
DHS	FEMA	\$210,000,000	Performance	OIG Staff	Grant Program	Firefighters Assistance Grants will be used to enhance the capabilities of fire departments, Emergency Medical Service providers, and support organizations that lack the tools and resources necessary to effectively protect the health and safety of the public and their emergency response personnel from fire and all other hazards. Recovery Act funds will be used to modify, upgrade, or construct non-federal fire stations.	Determine whether FEMA has established adequate capabilities to oversee Recovery Act grant programs	Q3 FY09	Q4 FY09	
DHS	TSA	\$1,000,000,000	Performance	OIG Staff	TSA	Recovery funds appropriated to TSA will be used to procure and install explosives detection systems and equipment. TSA plans to divide the funds between its passenger screening program and its electronic baggage screening program.	Determine whether TSA has developed a practical and comprehensive plan to procure and install explosives detection systems and equipment	Q3 FY09	Q4 FY09	
DHS	USCG	\$98,000,000	Performance	OIG Staff	Acquisition, Construction, & Improvements (AC&I)	Recovery Act funds appropriated to the U.S. Coast Guard will be used for acquisition, construction, and improvements to shore facilities, aids to navigation facilities, priority procurements, and costs to repair, renovate, assess, or improve vessels.	Determine whether the USCG has developed a practical and comprehensive plan for the acquisition, construction, and improvement of shore facilities and aids to navigation facilities	Q3 FY09	Q4 FY09	1
DHS	USCG	\$142,000,000	Performance	OIG Staff	Alteration of Bridges	When a bridge is declared an unreasonable obstruction to navigation by the the U.S. Coast Guard and adequate funds have been appropriated, the bridge owner is authorized to contract for alteration of the bridge. The U.S. Coast Guard reimburses the bridge owner for completed work. Recovery Act funds allow for the completion of four bridge alteration construction projects. Completion of these projects will facilitate safe and efficient navigation along the Nation's waterways.	Determine whether the USCG has developed a practical and comprehensive plan for the alteration or removal of obstructive bridges	Q3 FY09	Q4 FY09	1

**NOTE: Types of Reviews include:**

Administrative/Financial Reviews of administrative management issues, internal controls, or financial systems and processes

Eligibility Reviews to determine whether eligibility requirements were met for selected programs

Performance Reviews to determine the effectiveness of selected programs

Other Reviews that do not fit within the above categories