



Why This Matters

Public Law 110-53, *Implementing Recommendations of the 9/11 Commission Act of 2007*, requires the Department of Homeland Security, Office of Inspector General, to audit individual States' management of State Homeland Security Program (SHSP) and Urban Areas Security Initiative (UASI) grants.

The Federal Emergency Management Agency (FEMA) awarded the Commonwealth of Kentucky \$32 million in SHSP and UASI grant funds during fiscal years 2008 through 2010. This report responds to the reporting requirements for the Commonwealth of Kentucky.

DHS Response

FEMA concurred with three of the recommendations and the Kentucky Office of Homeland Security (KOHS) implemented corrective actions to resolve and close two of the recommendations.

FEMA will use the findings in the report to strengthen the effectiveness and efficiency of how it executes and measures its programs. FEMA recognizes the need to continue to improve the process, including addressing the recommendations raised in the report.

For Further Information:

Contact our Office of Public Affairs at (202)254-4100, or email us at DHS-OIG.OfficePublicAffairs@dhs.gov

Kentucky's Management of State Homeland Security Program and Urban Areas Security Initiative Grants for FY 08-10

What We Determined

In most instances, KOHS administered its grant programs in compliance with requirements in Federal grant guidance and regulations. Grant funds were spent on allowable items and activities, and there were adequate controls over the approval of expenditures and reimbursement of funds. However, we identified three areas for program improvement: the State Homeland Security Strategy, inventory control procedures, and performance measurement of preparedness improvements.

What We Recommend

Assistant Administrator, Grant Programs Directorate for FEMA:

- Issue guidance to Homeland Security Grant Program recipients to update strategic plans periodically and to include specific, measurable, achievable, results-oriented, and time-limited goals that align with current National Preparedness Guidelines.
- Require the Executive Director for KOHS to develop and implement procedures to ensure that inventory records comply with 44 CFR §13.3 and §13.32 (d), including biennial inventory reviews of equipment purchased with SHSP and UASI funds.
- Compile and provide the Office of Inspector General with reconciled fiscal year 2008 through 2010 SHSP and UASI inventory records that meet Federal requirements.
- Provide performance measurement guidance to Homeland Security Grant Program recipients and direct KOHS to develop a comprehensive performance measurement system to capture the program's overall performance and progress toward enhancing preparedness as a result of SHSP and UASI grant programs.
- Direct KOHS to implement and manage specific milestones for achieving progress toward target capability assessment goals at the State, local, and regional levels.