

Department of Homeland Security **Office of Inspector General**

DHS Open Recommendations



July 2014



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

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July 30, 2014

The Department of Homeland Security (DHS) Office of Inspector General (OIG) is committed to helping DHS achieve its critical missions. To do that, we conduct and supervise independent audits, investigations, and inspections of DHS programs and operations, and recommend ways for DHS to carry out its responsibilities in the most effective, efficient, and economical manner. We also issue recommendations and pursue cases to address fraud, abuse, mismanagement, and waste of taxpayer funds entrusted to DHS.

This DHS Open Recommendations report lists recommendations we issued to DHS that require action. Recommendations remain open until DHS completes agreed upon corrective actions, or the recommendation is closed by OIG or at the request of DHS' Resolution Official.

Implementation of these recommendations should result in a more effective, efficient, and economical DHS.

Additional information about each recommendation is included in the corresponding report which can be found on the report section of our website.

Department of Homeland Security Open Recommendations as of July 30, 2014

	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
1	DA-12-08	FEMA Public Assistance Grant Funds Awarded to the Kentucky National Guard	2/17/2012	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$424,134 (Federal share \$318,100) of unsupported costs (finding A).	1	FEMA
2	DA-12-08	FEMA Public Assistance Grant Funds Awarded to the Kentucky National Guard	2/17/2012	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$33,507 (Federal share \$25,130) of excessive contract equipment costs (finding B).	2	FEMA
3	DA-12-08	FEMA Public Assistance Grant Funds Awarded to the Kentucky National Guard	2/17/2012	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$5,719 (Federal share \$4,289) of ineligible maintenance flight test charges (finding C).	3	FEMA
4	DA-12-08	FEMA Public Assistance Grant Funds Awarded to the Kentucky National Guard	2/17/2012	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$3,329 (Federal share \$2,497) of ineligible force account labor costs (finding D).	4	FEMA
5	DA-12-08	FEMA Public Assistance Grant Funds Awarded to the Kentucky National Guard	2/17/2012	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$1,829 (Federal share \$1,372) of ineligible project charges (finding E).	5	FEMA
6	DA-13-12	FEMA Should Recover \$34,219 From a \$3.0 Million Public Assistance Grant Awarded to Bibb County, Georgia	3/15/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$22,309 (Federal share \$16,732) of unsupported project costs (finding A) unless the County can provide additional evidence to support the costs.	1	FEMA
7	DA-13-12	FEMA Should Recover \$34,219 From a \$3.0 Million Public Assistance Grant Awarded to Bibb County, Georgia	3/15/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$11,910 (Federal share \$8,933) of ineligible project income (finding B).	2	FEMA
8	DA-13-18	FEMA Should Recover \$4.1 Million of Public Assistance Grant Funds Awarded to Orlando Utilities Commission — Hurricane Charley	6/7/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$4,067,504 (Federal share \$3,660,754) of ineligible costs claimed for contracts unless FEMA grants the Utility an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines the costs were reasonable.	1	FEMA

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9	DA-13-18	FEMA Should Recover \$4.1 Million of Public Assistance Grant Funds Awarded to Orlando Utilities Commission — Hurricane Charley	6/7/2013	We recommend that the Regional Administrator, FEMA Region IV: Instruct the State to remind the Utility that it is required to comply with Federal procurement standards when acquiring goods and services under a FEMA award.	2	FEMA
10	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$1,989,277 (Federal share \$1,491,958) of ineligible costs claimed for contracts unless FEMA grants Kenergy an exception for all or part of the costs as provided for in 2 CFR 215.4 and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines that the costs are reasonable (finding A).	1	FEMA
11	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$1,023,446 (Federal share \$767,585) of excessive project costs (finding B).	3	FEMA
12	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$406,985 (Federal share \$305,239) of ineligible costs (finding C).	4	FEMA
13	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$23,813 (Federal share \$21,239) of unsupported costs, unless Kenergy provides additional documentation to support the costs questioned (finding D).	5	FEMA

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14	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$266,564 (Federal share \$199,923) of non-disaster project costs (finding E).	6	FEMA
15	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$42,306 (Federal share \$31,730) of unapplied credits (finding F).	7	FEMA
16	DA-13-20	FEMA Should Recover \$3.8 Million of Public Assistance Grant Funds Awarded to Kenergy Corporation, Henderson, Kentucky	6/18/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$20,105 (Federal share \$15,079) of costs covered by insurance (finding G).	8	FEMA
17	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$1,013,578 (Federal share \$912,220) of unsupported contract costs unless the County provides additional support for the costs questioned (finding B).	2	FEMA
18	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$277,596 (Federal share \$249,837) of insurance benefits not deducted from project costs unless the County can provide sufficient evidence that the costs were not covered by insurance (finding C).	3	FEMA
19	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Conduct a full review of the County's statement of insurance losses and proceeds and reduce project costs accordingly (finding C).	4	FEMA

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20	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$156,651(Federal share \$140,986) of ineligible projects costs. We also recommend that FEMA reviews the contract labor charges for the period of October 1–15, 2004, and make a determination on the eligibility of the costs (finding D).	5	FEMA
21	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$113,507(Federal share \$103,465) of debris removal activities that were funded by the Federal Highway Administration (finding E).	6	FEMA
22	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$9,222(Federal share \$8,300) of excessive costs (finding F).	7	FEMA
23	DA-13-22	FEMA Should Recover \$1.6 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Frances	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$25,190 (Federal share \$25,190) of ineligible administrative allowance paid to the County (finding G).	8	FEMA
24	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$2,180,752 of unsupported force account labor and equipment costs claimed unless the County provides additional documentation to support those costs (finding A).	1	FEMA

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25	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$272,518 of improper contract costs unless FEMA grants an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines the costs are reasonable (finding B).	2	FEMA
26	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Instruct the State to remind the County that it is required to comply with Federal procurement standards when acquiring goods and services under FEMA awards (finding B).	3	FEMA
27	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Recommendation #4: Disallow \$331,865 of ineligible improved project costs unless FEMA determines the costs are eligible (finding C).	4	FEMA
28	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$28,044 claimed for unauthorized work under Project 8587 (finding D).	5	FEMA
29	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$189,638 of project costs covered by insurance proceeds unless the County provides additional evidence that the costs are not covered by insurance (finding E).	6	FEMA

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30	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Conduct a full review of the County's statement of insurance losses and proceeds and reduce project costs accordingly (finding E).	7	FEMA
31	DA-13-23	FEMA Should Recover \$4.9 Million of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Wilma	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Deobligate \$1,872,416 of unneeded funds and put them to better use (finding F).	8	FEMA
32	DA-13-24	FEMA Should Recover \$951,221 of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Jeanne	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$416,529 (Federal share \$374,876) of unsupported contract costs claimed (finding B).	2	FEMA
33	DA-13-24	FEMA Should Recover \$951,221 of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Jeanne	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$461,366 (Federal share \$415,229) of improper contract costs, and instruct the State to remind the County that it is required to comply with Federal procurement standards when acquiring goods and services under FEMA awards (finding C).	3	FEMA
34	DA-13-24	FEMA Should Recover \$951,221 of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Jeanne	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$49,560 (Federal share \$45,203) of debris removal activities that were funded by the Federal Highway Administration (finding D).	4	FEMA

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35	DA-13-24	FEMA Should Recover \$951,221 of Public Assistance Grant Funds Awarded to Palm Beach County, Florida - Hurricane Jeanne	7/10/2013	We recommend that the Regional Administrator, FEMA Region IV: Recommendation #5: Disallow \$23,766 (Federal share \$23,766) of ineligible administrative allowance paid to the County (finding E).	5	FEMA
36	DA-13-26	FEMA Should Recover \$234,034 of Public Assistance Grant Funds Awarded to City of Daytona Beach, Florida - Hurricane Charley	9/5/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$173,077 (Federal share \$169,256) of unsupported costs unless the City can provide additional evidence to support the costs claimed (finding A).	1	FEMA
37	DA-13-26	FEMA Should Recover \$234,034 of Public Assistance Grant Funds Awarded to City of Daytona Beach, Florida - Hurricane Charley	9/5/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$55,551 (Federal share \$49,996) of ineligible debris disposal costs claimed for lost landfill capacity from disaster-related mulch (finding B).	2	FEMA
38	DA-13-26	FEMA Should Recover \$234,034 of Public Assistance Grant Funds Awarded to City of Daytona Beach, Florida - Hurricane Charley	9/5/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$5,406 (Federal share \$4,865) of ineligible costs for work not completed under Project 6959 unless the City can provide additional evidence that it completed the project (finding C).	3	FEMA
39	DA-13-27	FEMA Should Recover \$209,170 of Public Assistance Grant Funds Awarded to City of Daytona Beach, Florida - Hurricane Frances	9/5/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$152,176 (Federal share \$152,176) of unsupported costs unless the City can provide additional evidence to support the costs claimed (finding A).	1	FEMA

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40	DA-13-27	FEMA Should Recover \$209,170 of Public Assistance Grant Funds Awarded to City of Daytona Beach, Florida - Hurricane Frances	9/5/2013	We recommend that the Regional Administrator, FEMA Region IV: Recommendation #2: Disallow \$55,969 (Federal share \$50,372) of ineligible debris disposal costs (finding B).	2	FEMA
41	DA-13-27	FEMA Should Recover \$209,170 of Public Assistance Grant Funds Awarded to City of Daytona Beach, Florida - Hurricane Frances	9/5/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$1,025 (Federal share \$923) of ineligible costs for work not completed under Project 8787 unless the City can provide additional evidence that it completed the project (finding C).	3	FEMA
42	DD-02-05 (2005)	Grants Management: Louisiana's Compliance With Disaster Assistance Program's Requirements	11/30/2004	We recommend that FEMA Region VI perform final project closeouts for all approved projects completed under the Hazard Mitigation and Unmet Needs Programs to determine whether costs claimed are supported.	5.1	FEMA
43	DD-02-05 (2005)	Grants Management: Louisiana's Compliance With Disaster Assistance Program's Requirements	11/30/2004	We recommend that FEMA Region VI disallow unsupported and/or ineligible costs claimed for HMGP and UN projects.	5.2	FEMA
44	DD-09-17	City of New Orleans Community Correctional Center	9/30/2009	We recommend that the Acting Director, FEMA Louisiana Transitional Recovery Office: Disallow \$296,171 as ineligible markups on costs.	2	FEMA

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45	DD-10-16	Lamar University, Beaumont, Texas	8/31/2010	We recommend that the Regional Administrator, FEMA Region VI: Disallow the \$22,832,786 of unsupported costs (see Exhibit B)	1	FEMA
46	DD-10-16	Lamar University, Beaumont, Texas	8/31/2010	We recommend that the Regional Administrator, FEMA Region VI: Disallow the \$2,639,112 of ineligible cost overruns.	3	FEMA
47	DD-10-16	Lamar University, Beaumont, Texas	8/31/2010	We recommend that the Regional Administrator, FEMA Region VI: Deobligate the \$1,377,271 of unused federal funds and put them to better use.	4	FEMA
48	DD-10-16	Lamar University, Beaumont, Texas	8/31/2010	We Recommend that the Regional Administrator, FEMA Region VI: Disallow the \$85,993 of ineligible costs not related to the disaster.	5	FEMA
49	DD-12-10	Insurance Allocations to FEMA Public Assistance Grant Funds Awarded to the Administrators of the Tulane Educational Fund, New Orleans, Louisiana	4/19/2012	We recommend the Regional Administrator, FEMA Region VI: Apply an additional \$24.5 million of proceeds from Tulane's commercial insurance policies to reduce the value of Tulane's projects. Because these costs are funded from another source, they are ineligible (issue #1).	1	FEMA

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50	DD-12-19	Direct Administrative Costs Paid for FEMA Public Assistance Grant Funds	8/27/2012	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$45,549,564 in ineligible, duplicate direct administrative costs obligated for disasters that occurred before November 13, 2007.	1	FEMA
51	DD-12-19	Direct Administrative Costs Paid for FEMA Public Assistance Grant Funds	8/27/2012	We recommend that the Regional Administrator, FEMA Region VI: Provide training to FEMA Region VI personnel involved in the administration of public assistance grants to ensure that they understand cost principles applicable to direct and indirect costs incurred under Federal grants.	2	FEMA
52	DD-12-19	Direct Administrative Costs Paid for FEMA Public Assistance Grant Funds	8/27/2012	We recommend that the Regional Administrator, FEMA Region VI: Establish guidelines to assist its personnel and grantees in recognizing direct administrative costs that are unreasonable or unnecessary and in establishing maximum limits to the amount of direct administrative costs allowable for various project amounts.	3	FEMA
53	DD-13-01	Regional Transit Authority Needs to Insure Equipment or Forgo \$62 Million in FEMA Public Assistance Funds	11/14/2012	We recommend that the Acting Regional Administrator, FEMA Region VI: Require GOHSEP to submit an accounting to the Acting FEMA Regional Administrator as soon as possible for all large projects for which the Authority has completed the approved work and requested payment (finding B).	3	FEMA
54	DD-13-05	FEMA Should Disallow \$7.6 Million in Public Assistance Grant Funds Awarded to the Audubon Commission, New Orleans, Louisiana	1/25/2013	We recommend that the Regional Administrator, FEMA Region VI: For any of the 20 projects not yet started that are still needed, require the legally responsible applicant to start work on the projects within 6 months and complete the projects within 18 months.	5	FEMA

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55	DD-13-06	FEMA Should Recover \$6.7 Million of Ineligible or Unused Funds Awarded to Cameron Parish, Louisiana, for Hurricane Rita	2/27/2013	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$3,775,600 of ineligible project management costs (finding B).	1	FEMA
56	DD-13-11	FEMA Should Recover \$46.2 Million of Improper Contracting Costs from Federal Funds Awarded to the Administrators of the Tulane Educational Fund, New Orleans, Louisiana	8/15/2013	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$35,003,493 as ineligible for prohibited and excessive markups on contract costs, unless FEMA grants an exemption for all or part of the costs as provided for in 2 CFR 215.4 and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (finding A).	1	FEMA
57	DD-13-11	FEMA Should Recover \$46.2 Million of Improper Contracting Costs from Federal Funds Awarded to the Administrators of the Tulane Educational Fund, New Orleans, Louisiana	8/15/2013	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$5,677,034 as ineligible for four noncompetitive contracts, unless FEMA grants an exemption for all or part of the costs as provided for in 2 CFR 215.4 and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (finding C).	3	FEMA
58	DD-13-15	State of Louisiana Needs a Strategy To Manage Hurricanes Katrina and Rita Public Assistance Grants More Effectively	9/26/2013	We recommend that the Regional Administrator, FEMA Region VI: Direct GOHSEP to develop and implement an effective strategic plan, including target completion dates, to close all disaster applicants and projects in a reasonable time based on objective performance metrics and staffing levels.	1	FEMA
59	DD-13-15	State of Louisiana Needs a Strategy To Manage Hurricanes Katrina and Rita Public Assistance Grants More Effectively	9/26/2013	We recommend that the Regional Administrator, FEMA Region VI: Direct GOHSEP and applicants to establish goals, objectives and timelines for the completion of work in a timely and cost effective manner.	3	FEMA

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60	DD-13-15	State of Louisiana Needs a Strategy To Manage Hurricanes Katrina and Rita Public Assistance Grants More Effectively	9/26/2013	We recommend that the Regional Administrator, FEMA Region VI: Direct GOHSEP to establish goals and tools for measuring the performance of GOHSEP's employees and contractors. These performance measurements should be reported to FEMA periodically to determine staffing and performance levels and to establish the reasonableness of costs.	4	
61	DS-10-08	FEMA's Practices for Evaluating Insurance Coverage for Disaster Damage and Determining Project Eligibility and Costs	6/7/2010	We recommend that the Regional Administrator, FEMA Region VI: Take action to: (a) determine the accuracy of the \$311 million in insurance recoveries recorded by the Louisiana Office of Risk Management, (b) determine the accuracy of the allocation of these insurance recoveries to individual projects, (c) collect up to \$189 million in insurance recoveries depending on the outcome of current litigation, and (d) allocate future insurance recoveries to individual disaster projects in a timely manner. (Finding A)	1	FEMA
62	DS-10-08	FEMA's Practices for Evaluating Insurance Coverage for Disaster Damage and Determining Project Eligibility and Costs	6/7/2010	We recommend that the Regional Administrator, FEMA Region VI: Disallow the \$1.3 million in funding for projects associated with total roof replacement for Lamar University. (Finding C)	5	FEMA
63	DS-12-01	FEMA Public Assistance Grant Funds Awarded to Town of Fairfax, California	12/16/2011	We recommend that the FEMA Region IX Administrator, in coordination with Cal EMA: Disallow \$206,034 (federal share \$154,526) in ineligible contract costs incurred without compliance with federal regulations and FEMA guidelines for Projects 2224, 2330, 2338, 2345, and 3041 (finding A). The combined recommended disallowance for the projects is \$551,251.	1	FEMA

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64	DS-12-01	FEMA Public Assistance Grant Funds Awarded to Town of Fairfax, California	12/16/2011	We recommend that the FEMA Region IX Administrator, in coordination with Cal EMA: Disallow \$345,217 (federal share \$258,913) in ineligible, excessive, and unreasonable costs for construction management, engineering, and design services for Projects 2330, 2338, 2345, and 3041 (finding B). The combined recommended disallowance for the projects is \$551,251.	2	FEMA
65	DS-12-01	FEMA Public Assistance Grant Funds Awarded to Town of Fairfax, California	12/16/2011	We recommend that the FEMA Region IX Administrator, in coordination with Cal EMA: Disallow \$50,907 (federal share \$38,180) in ineligible project costs charged in error to Project 2122 and Project 2330 (finding C).	3	FEMA
66	DS-12-07	FEMA Public Assistance Grant Funds Awarded to City of Atascadero, California	3/20/2012	We recommend that the Regional Administrator, FEMA Region IX, in coordination with Cal EMA: Disallow \$2,980,900 (\$2,235,675 federal share) in unsupported A&E costs associated with Project 229 (finding A).	1	FEMA
67	DS-12-07	FEMA Public Assistance Grant Funds Awarded to City of Atascadero, California	3/20/2012	We recommend that the Regional Administrator, FEMA Region IX, in coordination with Cal EMA: Disallow \$2,377,185 (\$1,782,889 federal share) in ineligible facility replacement costs related to the Printery Building for Project 228 (finding C).	3	FEMA
68	DS-12-12	FEMA Public Assistance Grant Funds Awarded to the Alaska Department of Transportation and Public Facilities, Central Region, Anchorage, Alaska	7/18/2012	We recommend that the Regional Director, FEMA Region X: Disallow \$2,032,157 (Federal share \$1,524,118) of ineligible costs related to improper procurement, unless FEMA makes an affirmative decision that all or part of the contract costs are fair and reasonable and waives the Federal (44 CFR 13.6(c)) and State procurement requirements (finding A).	1	FEMA

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69	DS-12-12	FEMA Public Assistance Grant Funds Awarded to the Alaska Department of Transportation and Public Facilities, Central Region, Anchorage, Alaska	7/18/2012	We recommend that the Regional Director, FEMA Region X: Disallow \$811,230 (Federal share \$608,423, also disallowed in recommendation #1) of ineligible contract costs due to insufficient documentation for unapproved overruns (finding B). To avoid duplication of costs questioned in recommendation #1, these costs should not be deducted to the extent of FEMA's disallowance of costs questioned in recommendation #1.	2	FEMA
70	OIG-05-52	Transportation Security Administration's Procedures For Law Enforcement Officers Carrying Weapons On Board Commercial Aircraft	9/30/2005	Pertains to the selection of the uniform biometric credential to be used. Develop, and implement a comprehensive plan of action that identifies the work to be completed, milestone completion dates, project cost, and funding,	1	TSA
71	OIG-08-46	A Review of CBP's Procurement of Untrained Canines	4/24/2008	We recommend that the Commissioner of the U.S. Customs and Border Protection: Implement plans for a unified system within CBP to accurately track the performance of its canine teams.	3	CBP
72	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for Policy: Develop, in consultation with all major DHS components, a DHS international strategic plan and establish a process for managing implementation of this plan.	1	PLCY
73	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Develop a process for assigning component staff abroad responsibility for department-level tasks that require attention in the field, and monitor component staff time commitments to these tasks and other DHS-level obligations assigned by embassy managers.	10	PLCY

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74	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Develop clear guidelines on how DHS attachés are expected to coordinate DHS staff and activities, and communicate these expectations to embassies and affected component staff.	13	PLCY
75	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Develop, in collaboration with the Chief Human Capital Officer and DHS component international affairs offices, predeployment preparedness criteria for staff and their families abroad, and require component reporting on how they meet those preparedness standards for each international program and position type.	14	PLCY
76	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Establish, in collaboration with the Under Secretary for Management, minimum support requirements for staff abroad to ensure that they have the proper resources and receive adequate and timely support prior to and during their tours of duty.	16	PLCY

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
77	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Develop, in collaboration with the Chief Human Capital Officer, basic return rights principles for DHS staff abroad and ensure that DHS components' return rights arrangements address those principles. Those principles should at minimum ensure that: At least some agency hiring officials provide preferential consideration to returning staff in filling open positions; Returning staff are afforded the opportunity to express their position and geographic placement preferences; Headquarters offices properly support returning staff in finding suitable positions to which to return, and; Returning staff are guaranteed to return to a position at either their current grade level or in their geographic region of preference as long as they have not been subject to any adverse performance-related or disciplinary action.	18	PLCY
78	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Develop, in collaboration with the Chief Human Capital Officer, basic return rights principles for DHS staff abroad and ensure that DHS components' return rights arrangements address those principles. Those principles should at minimum ensure that: At least some agency hiring officials provide preferential consideration to returning staff in filling open positions; Returning staff are afforded the opportunity to express their position and geographic placement preferences; Headquarters offices properly support returning staff in finding suitable positions to which to return, and; Returning staff are guaranteed to return to a position at either their current grade level or in their geographic region of preference as long as they have not been subject to any adverse performance-related or disciplinary action.	3	PLCY

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
79	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Develop, in collaboration with the Science and Technology Directorate and consultation with DHS component international offices, an international training and technical assistance and information and education exchange plan. The plan should account for DHS informational and educational requirements, and be consistent with an overarching DHS international affairs strategy.	6	PLCY
80	OIG-08-71	Management of Department Homeland Security International Activities and Interests (formerly titled DHS Management of Overseas Operations)	6/24/2008	We recommend that the Assistant Secretary for International Affairs: Coordinate with component international affairs managers to provide all senior component representatives abroad training and information to prepare them to provide trip support to visiting DHS staff, serve as a DHS information resource for the embassy, and perform basic representational functions on behalf of the department.	9	PLCY
81	OIG-09-15	Removals Involving the Alien Parents of U.S. Citizen Children	1/12/2009	We recommend that the Assistant Secretary, ICE: Analyze and report on the feasibility of establishing procedures to ensure that data on the number of removed aliens who are parents of U.S. citizen children are collected and documented.	1	ICE
82	OIG-09-18	CBP Award Fees (Chenega)	1/27/2009	We recommend that CBP Commissioner Determine whether exercising option years on the current cost-plus-award-fee contract will provide the best value for enforcement equipment maintenance and field operations support, and if not, develop and implement a new acquisition strategy to procure these services.	1	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
83	OIG-09-41	ICE's Tracking and Transfer of Detainees	3/17/2009	We recommend that the Assistant Secretary for Immigration and Customs Enforcement Implement internal controls to ensure that detention facilities comply with the National Detention Standard for Medical Care regarding the timeliness of initial medical screenings and physical examinations. In addition, implement an electronic case management system for ICE detainees to facilitate timely medical evaluations.	6	ICE
84	OIG-09-42	Effectiveness of the Checked Baggage Screening System and Procedures Used to Identify and Resolve	3/17/2009	Recommendation is SSI - the subject is OSARP Operator.	1	TSA
85	OIG-09-45	FEMA's Implementations of the Flood Insurance Reform Act of 2004	3/26/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Implement process to ensure pre-award consultation affirms the total amount owed by the owner for loans secured by a recorded interest on the property is less than fair market value (FMV) of insured property.	1	FEMA
86	OIG-09-45	FEMA's Implementations of the Flood Insurance Reform Act of 2004	3/26/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Finalize and implement regulations expanding increased cost of compliance coverage	3	FEMA
87	OIG-09-45	FEMA's Implementations of the Flood Insurance Reform Act of 2004	3/26/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Seek a Congressional extension that waives the termination date of the severe repetitive loss (SRL) grant program until all appropriated funds are expended to mitigate as many severe repetitive loss properties as possible, and to fully assess the effectiveness of the pilot program.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
88	OIG-09-53	DHS Efforts to Address Lessons Learned in the Aftermath of Top Officials Exercises	4/10/2009	We recommend that the Administrator, Federal Emergency Management Agency: With assistance from DHS senior officials and offices, develop and implement a process and timeframe to distribute final TOPOFF AARs.	9	FEMA
89	OIG-09-71	FEMA Policy Relating to Coastal Velocity Zones	5/27/2009	We recommend that FEMA clarify its policy regarding V Zones by addressing: (1) why repairs and substantial improvements are allowed, but new construction that incorporates mitigation actions are prohibited; and, (2) why FEMA continues to market and sell insurance policies in V Zones thereby encouraging settlement in hazardous areas.	1	FEMA
90	OIG-09-71	FEMA Policy Relating to Coastal Velocity Zones	5/27/2009	We recommend that FEMA (1) harmonize its V Zones policy with that of other federal departments and agencies charged with implementing Executive Order 11988; and, (2) update its floodplain management training accordingly.	2	FEMA
91	OIG-09-76	Independent Auditor's Report on FEMA's FY 08 Mission Action Plans included in the DHS FY 2009 Internal Control Playbook	6/4/2009	For Entity-Level Controls, we recommend that FEMA document the detailed root cause analysis to support the conclusions reached.	1a	FEMA
92	OIG-09-76	Independent Auditor's Report on FEMA's FY 08 Mission Action Plans included in the DHS FY 2009 Internal Control Playbook	6/4/2009	We recommend that FEMA Under the Internal Control Board's direction, further develop the MAP, including detailed milestones and performance metrics.	1c	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
93	OIG-09-76	Independent Auditor's Report on FEMA's FY 08 Mission Action Plans included in the DHS FY 2009 Internal Control Playbook	6/4/2009	We recommend that FEMA Develop specific verification and validation procedures, to include accountability and due dates.	1d	FEMA
94	OIG-09-76	Independent Auditor's Report on FEMA's FY 08 Mission Action Plans included in the DHS FY 2009 Internal Control Playbook	6/4/2009	For Property Management and Budgetary Accounting, we recommend that FEMA Document the detailed root cause analysis to support the conclusions reached.	3a	FEMA
95	OIG-09-76	Independent Auditor's Report on FEMA's FY 08 Mission Action Plans included in the DHS FY 2009 Internal Control Playbook	6/4/2009	We recommend that FEMA Develop a plan for verification and validation that identifies appropriate performance metrics and can be used to monitor and report results throughout the MAP milestones. In addition, we recommend that FEMA link the MAPs to the Department's OMB Circular A-123 initiatives currently underway.	3c	FEMA
96	OIG-09-77	FEMA's Acquisition of Two Warehouses to Support Hurricane Katrina Response Operations	6/5/2009	We recommend that the Administrator, Federal Emergency Management Agency: Determine whether the acquisition of the two warehouses constituted a legal violation that requires reporting to Congress, in accordance with the law.	1	FEMA
97	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Develop policy and related guidelines that make clear that identification and analysis efforts related to health threats to FEMA clients are not to be stopped or held up except when absolutely necessary.	10	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
98	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Promulgate a policy that any issue or problem that might affect the health and safety of occupants of emergency housing must be quickly forwarded to the responsible headquarters offices as defined in this new policy.	3	FEMA
99	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Train FEMA and contractor "front-line" employees who have contact with disaster victims on how to respond to health and safety issues.	4	FEMA
100	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Establish a policy that whenever a health or safety issue arises concerning its clients, all reasonable actions will be taken to determine the nature, cause, extent, and consequences of the problem.	5	FEMA
101	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Whenever a problem might affect the health and safety of FEMA clients, such as occupants of emergency housing, promulgate consistent and effective guidance to the field concerning how to address such problems.	6	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
102	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Establish clear policy over the decision making processes related to matters of health and safety. This policy should mandate that responsible program and management officials make decisions after obtaining and considering all appropriate professional advice, including opinions and input from medical, scientific, and legal experts. Moreover, FEMA should ensure that responsible program officials and managers have access to critical information and advice related to the health and safety effects of all FEMA programs.	7	FEMA
103	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Develop a standing agreement with OHA or another organization to provide medical consultants as needed to help design approaches for dealing with client health issues in FEMA operations.	8	FEMA
104	OIG-09-83	FEMA Responses to Formaldehyde in Trailers	6/26/2009	We recommend that FEMA: Establish a standing interagency agreement with the CDC or another qualified agency to provide testing and evaluation services for future health threat issues.	9	FEMA
105	OIG-09-87	Status of Disaster Recovery Planning for Information Systems at the Nebraska Avenue Complex	7/6/2009	This report is classified.	1	I&A, MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
106	OIG-09-87	Status of Disaster Recovery Planning for Information Systems at the Nebraska Avenue Complex	7/6/2009	This report is classified.	2	I&A, MGMT
107	OIG-09-87	Status of Disaster Recovery Planning for Information Systems at the Nebraska Avenue Complex	7/6/2009	This report is classified.	3	I&A, MGMT
108	OIG-09-93	Review of U.S. Coast Guard Enterprise Architecture Implementation Process	7/23/2009	We recommend that the Commandant of the Coast Guard direct the Office of Enterprise Architecture and Governance to: Complete and integrate the enterprise architecture data profiles, models, and inventories.	1	USCG
109	OIG-09-93	Review of U.S. Coast Guard Enterprise Architecture Implementation Process	7/23/2009	We recommend that the Commandant of the Coast Guard direct the Office of Enterprise Architecture and Governance to: Complete required enterprise architecture documentation.	2	USCG
110	OIG-09-93	Review of U.S. Coast Guard Enterprise Architecture Implementation Process	7/23/2009	We also recommend that the Commandant of the Coast Guard: Provide the Office of Enterprise Architecture and Governance with sufficient resources to complete required enterprise architecture activities.	3	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
111	OIG-09-100	DHS' Strategy and Plans to Counter Small Vessel Threats Need Improvement	9/9/2009	We recommend that DHS Evaluate the effectiveness of programs intended to support small vessel security before including them as part of a solution to improve security against the small vessel threats.	2	USCG, CBP, PLCY
112	OIG-09-102	Potential Duplicate Benefits between FEMA's National Flood Insurance Program and Housing Assistance Programs	9/9/2009	We recommend that the Administrator, Federal Emergency Management Agency: Once the standardization procedures are established, FEMA should correct existing data element inconsistencies in NEMS, TRRPS, and other benefit processing systems.	2	FEMA
113	OIG-09-103	Effectiveness of the Department of Homeland Security Travel Redress Inquiry Program	7/1/2009	We recommend that the Director of the Screening Coordination Office in collaboration with the Assistant Secretary for the Transportation Security Administration: Replace Traveler Redress Inquiry Program (TRIP's) current case management system with a system that fully meets the program's functional requirements for case management and workflow, document management, interoperability, and reporting.	1	PLCY
114	OIG-09-103	Effectiveness of the Department of Homeland Security Travel Redress Inquiry Program	7/1/2009	We recommend that the Director of the Screening Coordination Office in consultation with the Assistant Secretary for the Transportation Security Administration: Devise and institute quality assurance checks using the 2007 draft TRIP quality assurance plan as a resource.	19	PLCY
115	OIG-09-103	Effectiveness of the Department of Homeland Security Travel Redress Inquiry Program	7/1/2009	We recommend that the Director of the Screening Coordination Office, in consultation with participating agencies and DHS components: Develop TRIP case disposition reporting categories that reflect the full range of government efforts to resolve redress-seekers' travel difficulties, and report on this information on a regular basis.	21	PLCY

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
116	OIG-09-103	Effectiveness of the Department of Homeland Security Travel Redress Inquiry Program	7/1/2009	We recommend that the Assistant Secretary for the Transportation Security Administration: Collect individual TRIP redress case information on the date completed redress petitions are received, and use this information to calculate overall TRIP case processing times.	22	TSA
117	OIG-09-103	Effectiveness of the Department of Homeland Security Travel Redress Inquiry Program	7/1/2009	We recommend that the Assistant Secretary for the Transportation Security Administration, in consultation with the Director of the Screening Coordination Office: Collect and report on redress-seeker impressions of the TRIP website, different aspects of the redress experience, and their overall satisfaction with the program, with the aim of using this information to identify areas for improvement.	24	TSA
118	OIG-10-01	CBP's Ability to Detect Biological and Chemical Threats in Maritime Cargo Containers	10/7/2009	We recommend that the Commissioner, U.S. Customs and Border Protection Conduct or commission a formal risk assessment of potential pathways by which biological and chemical threats may enter the country, and ensure that detection technology resources are allocated to the pathways that pose the highest risk.	1	CBP
119	OIG-10-03	FEMA's Progress in All-Hazards Mitigation	10/19/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Establish and coordinate a formal network of representatives from the Grant Programs Directorate, the National Preparedness Directorate, the Science and Technology Directorate, the National Protection and Programs Directorate, and other federal agencies involved in hazard mitigation to identify opportunities, resources, and expertise that can be leveraged to	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
120	OIG-10-03	FEMA's Progress in All-Hazards Mitigation	10/19/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Use the established network of mitigation partners along with enhanced collaboration with DHS components, other federal agencies, and private sector stakeholders to develop and implement a risk-based, all-hazards mitigation strategy that identifies all hazards inherent to local jurisdictions and establishes a national framework to mitigate these hazards using structural and nonstructural measures.	5	FEMA
121	OIG-10-03	FEMA's Progress in All-Hazards Mitigation	10/19/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Provide guidance for the Pre-disaster Mitigation Grant program that offers instruction and examples of how mitigation officials should prepare grant applications for projects that primarily address natural hazards and also address hazards caused by manmade forces.	6	FEMA
122	OIG-10-03	FEMA's Progress in All-Hazards Mitigation	10/19/2009	We recommend that the Assistant Administrator, Mitigation Directorate: Apply the risk-based methodology used by the Grants Programs Directorate for homeland security preparedness grants to technological and manmade hazard mitigation projects.	7	FEMA
123	OIG-10-09	Security of Air Cargo During Ground Transportation	11/5/2009	We recommend that the Assistant Administrator of the Transportation Security Administration Enhance training and testing requirements by providing more specific guidance to regulated entities regarding training and testing requirements. Additionally, TSA should revise the Regulatory Activities Plan to allow more time for inspectors to review these requirements.	3	TSA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
124	OIG-10-11	Independent Auditors' Report on DHS' FY 2009 Financial Statements and Internal Control Over Financial Reporting	11/13/2009	We recommend that the Coast Guard implement accounting and financial reporting processes including an integrated general ledger system that is FFMIA compliant.	I-A.4	USCG
125	OIG-10-11	Independent Auditors' Report on DHS' FY 2009 Financial Statements and Internal Control Over Financial Reporting	11/13/2009	We recommend that the Coast Guard design and implement policies, procedures, and internal controls to support the completeness, existence, accuracy, and presentation and disclosure assertions related to the data utilized in developing disclosure and related supplementary information for Stewardship PP&E that is consistent with GAAP.	I-D.8	USCG
126	OIG-10-12	Age Determination Practices for Unaccompanied Children in ICE Custody	11/10/2009	We recommend that the Assistant Secretary for U.S. Immigrations and Customs Enforcement: Continue to enhance JFRMU's ability to collect and analyze data on the use of radiographs for age determinations. At minimum, enhancements should provide JFRMU with the ability to track (1) the number of radiographs taken for age determinations, (2) date and results of the exam, and (3) age determinations and placement decisions that were later reversed.	1	ICE
127	OIG-10-12	Age Determination Practices for Unaccompanied Alien Children	11/10/2009	We recommend that the Assistant Secretary for U.S. Immigrations and Customs Enforcement: Release guidance on the selection of "best qualified" practitioners to conduct radiographic exams and report results when practical.	3	ICE
128	OIG-10-23	The U.S. Immigration and Customs Enforcement Process for Authorizing Medical Care for Immigration Detainees	12/3/2009	We recommend that the Assistant Secretary, U.S. Immigration and Customs Enforcement: Develop Treatment Authorization Request (TARWeb) data reporting capabilities that incorporate the suggestions of facilities' staff.	4	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
129	OIG-10-24	FEMA Temporary Housing Property Management Controls	12/4/2009	We recommend that the Administrator, Federal Emergency Management Agency: Ensure that storage sites consistently follow established procedures for recording transactions and entering them into agency records.	1	FEMA
130	OIG-10-24	FEMA Temporary Housing Property Management Controls	12/4/2009	We recommend that the Administrator, Federal Emergency Management Agency: Identify critical data requirements for the temporary housing program and incorporate those enhancements into the planned agency-wide systems upgrades.	2	FEMA
131	OIG-10-26	Assessment of FEMA's Public Assistance Program Policies and Procedures	12/4/2009	We recommend that the FEMA Administrator: Restructure FEMA's disaster workforce into sufficiently staffed regional cadres and deploy personnel only to the geographic area in which they reside, unless nationwide deployment in response to a catastrophic disaster is necessary.	8	FEMA
132	OIG-10-49	Opportunities to Improve FEMA's Disaster Closeout Process	1/27/2010	We recommend that the Administrator, Federal Emergency Management Agency: Support regional office initiatives compelling grantee compliance with the FEMA/State Agreement terms and disaster program requirements.	2	FEMA
133	OIG-10-49	Opportunities to Improve FEMA's Disaster Closeout Process	1/27/2010	We recommend that the Administrator, Federal Emergency Management Agency: Revise FEMA closeout procedures to exclude the reconciliation of Technical Assistance Contract task orders and allow FEMA/State Agreements to be closed when the state's recovery effort has been completed and the funding for the declared disaster programs has been fully reconciled.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
134	OIG-10-49	Opportunities to Improve FEMA's Disaster Closeout Process	1/27/2010	We recommend that the Administrator, Federal Emergency Management Agency: Establish policies and procedures that limit reconciliations to a level that is cost-beneficial, such as \$10,000 or another amount acceptable to FEMA, rather than reconciling amounts to zero.	5	FEMA
135	OIG-10-51	FY 2009 Audit of CBP Consolidated Financial Statements	2/2/2010	We recommend that CBP implement automated controls to prevent overpayment of a drawback claim.	C.2	CBP
136	OIG-10-51	FY 2009 Audit of CBP Consolidated Financial Statements	2/2/2010	We recommend that CBP improve the application and general controls over its financial systems to ensure adequate security and protection of the information systems.	F.1	CBP
137	OIG-10-53	Improvements Needed in FEMA's Disaster Contract Management	2/3/2010	We recommend that the Administrator of FEMA: Require the contracting officer to document in the contract file any purchase made when a recommendation by field staff not to make the purchase is overruled by a headquarters official - Develop after action reports for each major disaster that (1) identify goods and services purchased; (2) identify goods and services not needed or underutilized; and (3) discuss the justifications for such purchases. -Develop criteria to assess employees' housing at a disaster site at least twice a year to ensure that the most cost effective option is being used.	2	FEMA
138	OIG-10-69	Efficacy of DHS Grant Programs	3/22/2010	We recommend that the Administrator, Federal Emergency Management Agency, identify preparedness grant programs that may overlap or duplicate other programs and ensure the application and review processes for these programs are coordinated to mitigate potential duplication and redundancy.	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
139	OIG-10-75	Evaluation of Newly Deployed and Enhanced Screening Technology and Practices at the Passenger Screening Checkpoint	3/31/2010	This report is classified.	2	TSA
140	OIG-10-75	Evaluation of Newly Deployed and Enhanced Screening Technology and Practices at the Passenger Screening Checkpoint	3/31/2010	This report is classified.	6	TSA
141	OIG-10-76	Improvement Needed in FEMA's Management of the National Flood Insurance Program's Information Technology Transition	3/31/2010	We recommend that the Administrator, Federal Emergency Management Agency: Ensure that Mitigation Directorate staff receive annual training on the roles and responsibilities of the contracting officer, and the contracting officer's technical representative. This training shall include instruction on appropriate interaction with contractor staff. For the same reasons set forth in Recommendation #1, we believe this training would be most effectively delivered in person by FEMA's subject matter experts from the Ethics Officer, the Acquisition Management Division, and other offices, as needed.	3	FEMA
142	OIG-10-91	Improving FEMA's Disaster Purchase Card Program	5/27/2010	We recommend that the Federal Emergency Management Agency Associate Administrator, Mission Support facilitate efforts to resolve issues with Federal Emergency Management Agency's transition to the General Services Administration SmartPay®2 program and the Credit Card Transaction Management System.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
143	OIG-10-94	U.S. Computer Emergency Readiness Team Makes Progress in Securing federal Cyberspace, but Challenges Remain	6/7/2010	We recommend that the Under Secretary of NPPD require the Director of NCSD to: Establish a consolidated, multiple classification level portal that can be accessed by the federal partners that includes real-time incident response related information and reports.	4	NPPD
144	OIG-10-94	U.S. Computer Emergency Readiness Team Makes Progress in Securing federal Cyberspace, but Challenges Remain	6/7/2010	We recommend that the Under Secretary of NPPD require the Director of NCSD to: Establish a capacity to share real time Einstein information with federal agencies partners to assist them in the analysis and mitigation of incidents.	7	NPPD
145	OIG-10-97	DHS' Counterintelligence Activities	7/7/2010	This recommendation is FOUO.	18	USCG
146	OIG-10-97	DHS' Counterintelligence Activities	7/7/2010	This recommendation is FOUO.	4	USCG
147	OIG-10-115	FEMA's Management of Disaster Assistance Employee Deployment and Payroll Processes	9/10/2010	We recommend that the Associate Administrator, Mission Support: Implement ADD enhancements in the current system or incorporate these specifications in the replacement system to enable cadre managers and program staff to monitor DAE's current readiness, current location, and capabilities and provide additional training opportunities to all appropriate FEMA managers to allow ADD access.	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
148	OIG-10-115	FEMA Management of Disaster Assistance Employee Deployment and Payroll Processes	9/10/2010	We recommend that the Associate Administrator, Mission Support: Review time and attendance processes to eliminate unnecessary duplicative reporting and implement management controls to ensure that the time and attendance entries reflect work performed.	2	FEMA
149	OIG-10-119	Evaluation of Screening of Air Cargo Transported on Passenger Aircraft	9/21/2010	This report is classified.	5a	TSA
150	OIG-10-120	Management of DHS' Data Center Consolidation Initiative Needs Improvement	9/27/2010	We recommend that the Chief Information Officer: Review government-wide efforts as well as industry best practices for data center consolidations, and develop an updated comprehensive data center consolidation plan.	2	MGMT
151	OIG-10-123	FEMA's Preparedness for the Next Catastrophic Disaster - An Update	9/27/2010	We recommend to FEMA: Improving the agency's overall awareness of its readiness for a catastrophic disaster	1	FEMA
152	OIG-10-123	FEMA's Preparedness for the Next Catastrophic Disaster - An Update	9/27/2010	We recommend to FEMA: Developing and sustaining systems to track the progress of major programs, initiatives, and other activities	2	FEMA
153	OIG-10-123	FEMA's Preparedness for the Next Catastrophic Disaster - An Update	9/27/2010	We recommend to FEMA: Regularly sharing reports on the status of such activities with key stakeholders.	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
154	OIG-11-02	Improvements Needed in Managing FEMA's Public Assistance-Technical Assistance Contracts	10/21/2010	We recommend that the Federal Emergency Management Agency's Office of Chief Counsel: follow through on its request for an opinion to the Office of Legal Counsel, or the Federal Programs Branch, Civil Division, at the Department of Justice regarding how the Brooks Act applies to the awarding of individual Public Assistance-Technical Assistance Contract task orders, and if the Department of Justice finds that the current method of awarding Public Assistance - Technical Assistance Contracts does not adhere to the Brooks Act, work with applicable agency officials to ensure that future task order awards follow the legally required process.	1	FEMA
155	OIG-11-02	Improvements Needed in Managing FEMA's Public Assistance-Technical Assistance Contracts	10/21/2010	We recommend that the FEMA Chief Procurement Officer: Develop policies, procedures, and processes that define and direct the Public Assistance Task Monitor role and responsibilities for monitoring and evaluating contractor performance and for certifying and reconciling contractor invoices and supporting documentation; Develop a formal training program to instruct the Public Assistance Task Monitors on their roles and responsibilities; and Develop a certification program for Public Assistance Task Monitors.	3	FEMA
156	OIG-11-02	Improvements Needed in Managing FEMA's Public Assistance-Technical Assistance Contracts	10/21/2010	We recommend that the FEMA Chief Procurement Officer: Include all active Public Assistance-Technical Assistance Contract task order contract files in the contract management system; Reinforce employees' duties to comply with Federal Acquisition Regulation requirements; and Include the required quality assurance plans, project management plans, and status reports in the contract files to provide the government with the basis for evaluating contractor performance.	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
157	OIG-11-05	Transportation Security Administration's Management of Its Screening Workforce Training Program Can Be Improved	10/25/2010	We recommend that the General Manager, Office of Operational Performance, ensures the Operational and Technical Training Division Finalize the documentation and implementation of a comprehensive methodology to guide its efforts to keep the TSO training program and materials up-to-date and relevant.	1	TSA
158	OIG-11-05	Transportation Security Administration's Management of Its Screening Workforce Training Program Can Be Improved	10/25/2010	We recommend that the General Manager, Office of Operational Performance, ensures the Operational and Technical Training Division Establishes and documents a formal On-the-Job Training Program with specific criteria for Transportation Security Officers selected to serve as monitors.	2	TSA
159	OIG-11-08	Assessment of Federal Emergency Management Agency's Emergency Support Function Roles and Responsibilities	11/10/2010	We recommend that the Deputy Assistant Administrator for the Recovery Directorate: Schedule regular ESF-14 exercises and establish outcome metrics for these exercises.	10	FEMA
160	OIG-11-08	Assessment of Federal Emergency Management Agency's Emergency Support Function Roles and Responsibilities	11/10/2010	We recommend that the Deputy Assistant Administrator for the Recovery Directorate: Develop deployment procedures to assist ESF-14 officials to identify and deploy needed recovery services to disaster affected communities through its ESF-14 partners.	9	FEMA
161	OIG-11-16	Customs and Border Protection's Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry	11/29/2010	We recommend the Assistant Commissioner, Office of Field Operations develop a strategy to facilitate the inspection of WHTI-noncompliant travelers for those ports whose current staffing levels and infrastructure make it operationally unfeasible to process all WHTI-noncompliant travelers.	2	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
162	OIG-11-16	Customs and Border Protection's Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry	11/29/2010	We recommend the Assistant Commissioner, Office of Field Operations finalize and issue WHTI full enforcement operational guidance that includes minimum inspection requirements and specific procedures that CBP officers must follow to process WHTI-noncompliant travelers and to establish their identity and citizenship.	3	CBP
163	OIG-11-16	Customs and Border Protection's Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry	11/29/2010	We recommend the Assistant Commissioner, Office of Field Operations establish a firm target date for moving from informed compliance to full enforcement of WHTI land document requirements.	4	CBP
164	OIG-11-23	Management Advisory Report: FEMA's Disaster Assistance Employee Payroll and Deployment Data	1/3/2011	We recommend that the Associate Administrator, Mission Support: Determine if the duplicate payments were returned, and if not, ensure that restitution occurs.	3	FEMA
165	OIG-11-26	U.S. Immigration and Customs Enforcement Identification of Criminal Aliens in Federal and State Custody Eligible for Removal from the United States	1/10/2011	We recommend that the Executive Associate Director for Enforcement and Removal Operations conduct a workload analysis of CAP to determine whether the current allocation of immigration enforcement agents is sufficient to meet future CAP mission requirements. If the analysis identifies a gap in meeting mission requirements, develop a plan to identify and obtain appropriate resources to meet mission requirements.	1	ICE
166	OIG-11-30	The State of New York's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2006 through 2008	1/13/2011	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the New York Office of Homeland Security to ensure that New York City Police Department complies with federal procurement regulations and provides the visibility necessary for independent reviews of the Department's procurement activities.	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
167	OIG-11-30	The State of New York's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2006 through 2008	1/13/2011	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the New York Office of Homeland Security to conduct a review to determine allowable cost and recover any unreasonable amount (up to \$4.1 million) from the City of New York used to pay for equipment items not purchased in accordance with the grant procurement requirements under the confidential and special expense process.	6	FEMA
168	OIG-11-32	FEMA's Progress in Implementing the Remedial Action Management Program	1/19/2011	We recommend that the Director, National Preparedness Assessment Division: Enforce the Remedial Action Management Program's policy to conduct after-action reviews for all disasters.	1	FEMA
169	OIG-11-32	FEMA's Progress in Implementing the Remedial Action Management Program	1/19/2011	We recommend that the Director, National Preparedness Assessment Division: Require that Division Directors appoint after-action review facilitators according to program policy.	2	FEMA
170	OIG-11-32	FEMA's Progress in Implementing the Remedial Action Management Program	1/19/2011	We recommend that the Director, National Preparedness Assessment Division: Disseminate lessons learned and best practices more widely.	4	FEMA
171	OIG-11-32	FEMA's Progress in Implementing the Remedial Action Management Program	1/19/2011	We recommend that the Director, National Preparedness Assessment Division: Develop and distribute a Remedial Action Management Program status report to senior FEMA leadership according to program policy.	6	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
172	OIG-11-40	FEMA's Oversight and Management of Debris Removal Operations	2/23/2011	We recommend that the Associate Administrator, Response and Recovery: Provide a provision of an additional 5% federal cost share, not to exceed 100%, to applicants with a FEMA-approved debris management plan and at least two prequalified debris and wreckage removal contractors identified prior to a disaster. Require disposal site identification to be part of the debris management plan. Allow qualified states that have completed their own plan to approve local jurisdictions' debris disposal plans.	1	FEMA
173	OIG-11-40	FEMA's Oversight and Management of Debris Removal Operations	2/23/2011	We recommend that the Associate Administrator, Response and Recovery: Develop a performance measurement that FEMA headquarters and regional personnel can use to measure, analyze, and improve debris program performance. This should be designed for easy analysis of cost and performance data across regions, disasters, and contractors.	10	FEMA
174	OIG-11-40	FEMA's Oversight and Management of Debris Removal Operations	2/23/2011	We recommend that the Associate Administrator, Response and Recovery: To the greatest extent possible, provide applicants, FEMA employees, and other appropriate officials clear and unambiguous rules, guidance, and procedures for debris operations, including checklists and sample contracts.	2	FEMA
175	OIG-11-40	FEMA's Oversight and Management of Debris Removal Operations	2/23/2011	We recommend that the Associate Administrator, Response and Recovery: Work with the states to provide a variety of readily accessible training concerning rules, guidance, procedures, and recent developments in debris removal, contracting, and cost containment.	3	FEMA

Department of Homeland Security Open Recommendations as of July 30, 2014

	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
176	OIG-11-40	FEMA's Oversight and Management of Debris Removal Operations	2/23/2011	We recommend that the Associate Administrator, Response and Recovery: Provide force account labor reimbursement to cover local governments' costs of employing workers to monitor debris collections and to remove leaning trees and hanging branches, and encourage them to undertake such responsibilities.	4	FEMA
177	OIG-11-47	DHS Department-wide Management of Detection Equipment	3/2/2011	We recommend that the Deputy Under Secretary for Management reestablish the Joint Requirements Council.	1	MGMT
178	OIG-11-49	Opportunities to Improve FEMA's Public Assistance Appeals Process	3/1/2011	We recommend that the Associate Administrator, Response and Recovery: Analyze the headquarters' second-level appeals process and identify opportunities for improved effectiveness, such as establishing backlog reduction goals and standards for key steps in the process.	2	FEMA
179	OIG-11-49	Opportunities to Improve FEMA's Public Assistance Appeals Process	3/1/2011	We recommend that the Associate Administrator, Response and Recovery: Establish time standards for the regional work performed on second-level appeals and include this time in the 90-day period that FEMA headquarters has to issue a final decision on a second-level appeal.	4	FEMA
180	OIG-11-62	Management of Mental Health Cases in Immigration Detention	3/28/2011	We recommend that Immigration and Customs Enforcement: Establish protocols for retaining and sharing mental health information in Alien registration files. At a minimum, protocols should include: requirements for documenting detainees' mental health status to be used by Enforcement and Removal Operations officers and ICE attorneys; and, guidance for ensuring detainees' privacy rights.	13	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
181	OIG-11-62	Management of Mental Health Cases in Immigration Detention	3/28/2011	We recommend that Immigration and Customs Enforcement: Publish guidance on eligible custodians.	14	ICE
182	OIG-11-62	Management of Mental Health Cases in Immigration Detention	3/28/2011	We recommend that Immigration and Customs Enforcement: Publish internal procedures for handling cases where custodians must be used for mentally incompetent detainees.	15	ICE
183	OIG-11-62	Management of Mental Health Cases in Immigration Detention	3/28/2011	We recommend that Immigration and Customs Enforcement: Expand the use of tele-psychiatry to those facilities located in areas with limited access to psychiatrists.	18	ICE
184	OIG-11-62	Management of Mental Health Cases in Immigration Detention	3/28/2011	We recommend that Immigration and Customs Enforcement: Establish procedures to ensure that tele-psychiatry is used efficiently. At a minimum, this should include a review of current tele-psychiatry connections, equipment, and any overlaps with existing mental health services.	19	ICE
185	OIG-11-62	Management of Mental Health Cases in Immigration Detention	3/28/2011	We recommend that the Chief Information Officer, FEMA: Establish a consolidated modernization approach for FEMA's mission-critical IT systems, to include DHS plans for integrated asset management, financial, and acquisition solutions.	9	ICE
186	OIG-11-69	Federal Emergency Management Agency Faces Challenges in Modernizing Information Technology	4/1/2011	We recommend that the Chief Information Officer, FEMA: Establish a consolidated modernization approach for FEMA's mission-critical IT systems, to include DHS plans for integrated asset management, financial, and acquisition solutions.	6	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
187	OIG-11-77	Opportunities to Improve FEMA's Mass Care and Emergency Assistance Activities	4/20/2011	We recommend that the Assistant Administrator, Recovery: Develop and finalize the mass care and emergency assistance SOPs and other procedural documents for the National Response Coordinating Center, Regional Response Coordinating Centers, and Joint Field Offices.	1	FEMA
188	OIG-11-77	Opportunities to Improve FEMA's Mass Care and Emergency Assistance Activities	4/20/2011	We recommend that the Deputy Assistant Administrator, Protection and National Preparedness: Evaluate the effectiveness of the planning tools and initiatives through a documented coordinated exercise program at the state or regional level.	3	FEMA
189	OIG-11-77	Opportunities to Improve FEMA's Mass Care and Emergency Assistance Activities	4/20/2011	We recommend that the Deputy Administrator, Protection and National Preparedness: Revise internal policies and procedures to ensure that mass care and emergency assistance plans and procedures are included, as appropriate, in FEMA's national and regional exercise programs.	6	FEMA
190	OIG-11-78	Design and Implementation of the Federal Emergency Management Agency's Emergency Management Performance Grant	4/21/2011	We recommend that the Assistant Administrator, Grant Programs Directorate, issue preliminary EMPG guidance and begin reviewing applications several months before the start of the grant period of performance.	1	FEMA
191	OIG-11-81	Supervision of Aliens Commensurate with Risk	5/10/2011	We recommend that the ICE ERO Director enforce current policy and procedures for screening aliens against the NCIC prior to release, and develop procedures to ensure that personnel comply with the policy.	1	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
192	OIG-11-81	Supervision of Aliens Commensurate with Risk	5/10/2011	We recommend that the ICE ERO Director revise ICE's current policy to require officers to conduct TAC screenings for all aliens from SDCs, not just those held in ICE detention facilities.	2	ICE
193	OIG-11-84	Assessment of FEMA's Fraud Prevention Efforts	5/19/2011	We recommend that the Administrator, Federal Emergency Management Agency: Reallocate resources to increase the staff and budget of the Fraud Prevention and Investigation Branch.	1	FEMA
194	OIG-11-84	Assessment of FEMA's Fraud Prevention Efforts	5/19/2011	We recommend that the Administrator, Federal Emergency Management Agency: Issue a FEMA Directive that establishes the Fraud Prevention and Investigation Branch as the agency-wide office with the authority to: (1) review all claims of potential fraud, waste, and abuse for fact-finding and referral to appropriate entities for action; (2) proactively review disaster assistance payments; and (3) recommend improvements to internal controls.	2	FEMA
195	OIG-11-84	Assessment of FEMA's Fraud Prevention Efforts	5/19/2011	We recommend that the Administrator, Federal Emergency Management Agency: Adopt cutting-edge technology, similar to that used by the Recovery Accountability and Transparency Board.	3	FEMA
196	OIG-11-84	Assessment of FEMA's Fraud Prevention Efforts	5/19/2011	We recommend that the Administrator, Federal Emergency Management Agency: Require the Internal Control Board to assess and govern internal controls across FEMA, including all Individual Assistance, Public Assistance, and Mitigation Programs, and to identify and implement improvements on a quarterly basis.	7	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
197	OIG-11-86	U.S. Coast Guard's Marine Safety Program – Offshore Vessel Inspections	6/1/2011	We recommend that the Assistant Commandant for Marine Safety, Security and Stewardship, U.S. Coast Guard complete and disseminate to field units New Construction Project Inspector Performance Qualification Standards and update the Marine Safety Manual accordingly.	1	USCG
198	OIG-11-86	U.S. Coast Guard's Marine Safety Program – Offshore Vessel Inspections	6/1/2011	We recommend that the Assistant Commandant for Marine Safety, Security and Stewardship, U.S. Coast Guard develop and disseminate MISLE user guidance for domestic vessel safety inspections.	2	USCG
199	OIG-11-86	U.S. Coast Guard's Marine Safety Program – Offshore Vessel Inspections	6/1/2011	We recommend that the Assistant Commandant for Marine Safety, Security and Stewardship, U.S. Coast Guard augment MISLE access controls, and develop subsequent policy, so that the same person cannot open, complete, and close an inspection case.	3	USCG
200	OIG-11-86	U.S. Coast Guard's Marine Safety Program – Offshore Vessel Inspections	6/1/2011	We recommend that the Assistant Commandant for Marine Safety, Security and Stewardship, U.S. Coast Guard develop and implement formal policy and procedures for the periodic review of MISLE inspection activities, including the review of open activities, overdue deficiencies, follow-up, and closure.	4	USCG
201	OIG-11-87	Management Oversight and Additional Automated Capabilities Needed to Improve Intelligence Information Sharing	6/3/2011	We recommend that the Under Secretary for Intelligence and Analysis: Develop and implement an overarching policy to facilitate the exchange of intelligence information across the department. The policy should (1) delineate I&A's roles and responsibilities as the leader of DHS' intelligence enterprise, and (2) requires components to develop operational guidance to identify the types of data and mechanism for sharing intelligence information throughout the department.	1	I&A

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
202	OIG-11-87	Management Oversight and Additional Automated Capabilities Needed to Improve Intelligence Information	6/3/2011	We recommend that the Under Secretary for Intelligence and Analysis: Perform intelligence information sharing assessment in the other mission areas to identify areas of improvement and develop operational policies and procedures to address deficiencies.	3	I&A
203	OIG-11-87	Management Oversight and Additional Automated Capabilities Needed to Improve Intelligence Information Sharing	6/3/2011	We recommend that the Under Secretary of Intelligence and Analysis collaborate with the DHS CIO, to: Acquire technology to enable automated federated query and search capabilities across the portals to facilitate simultaneous searches across multiple databases.	5	I&A
204	OIG-11-89	Planning, Management, and Systems Issues Hinder DHS' Efforts To Protect Cyberspace and the Nation's Cyber Infrastructure	6/10/2011	We recommend that the Assistant Secretary, Office of CS&C: Define its program areas' responsibilities, priorities, and goals based on cybersecurity policy and the results of the Cyberspace Policy Review, Quadrennial Homeland Security Review, and Bottom-Up Review.	1	NPPD
205	OIG-11-89	Planning, Management, and Systems Issues Hinder DHS' Efforts To Protect Cyberspace and the Nation's Cyber Infrastructure	6/10/2011	We recommend that the Assistant Secretary, Office of CS&C: Ensure that each program area develops and implements strategic plans that are focused on the critical tasks necessary to support DHS' efforts to safeguard and secure cyberspace and protect critical infrastructures, with an emphasis on the IT and communications sectors.	2	NPPD
206	OIG-11-89	Planning, Management, and Systems Issues Hinder DHS' Efforts To Protect Cyberspace and the Nation's Cyber Infrastructure	6/10/2011	We recommend that the Assistant Secretary, Office of CS&C: Develop a comprehensive strategic implementation plan that defines its mission and priorities, identifies milestones, and is aligned with its program areas' responsibilities and plans to support DHS' overall mission to secure cyberspace and protect CIKR.	3	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
207	OIG-11-89	Planning, Management, and Systems Issues Hinder DHS' Efforts To Protect Cyberspace and the Nation's Cyber Infrastructure	6/10/2011	We recommend that the Assistant Secretary, Office of CS&C: Develop and implement objective performance criteria and measures that can be used to track and evaluate the effectiveness of actions defined in its strategic implementation plan and used by management to assess CS&C's overall progress in attaining its strategic goals and milestones.	4	NPPD
208	OIG-11-92	Efficacy of Customs and Border Protection's Bonding Process	6/27/2011	We recommend that Customs and Border Protection's Assistant Commissioners appoint a centralized office with the responsibility for developing and implementing single transaction bond policy, reporting on activities, and monitoring results.	1	CBP
209	OIG-11-92	Efficacy of Customs and Border Protection's Bonding Process	6/27/2011	We recommend that Customs and Border Protection's Assistant Commissioners consider automating the single transaction bond process to provide enhanced tracking ability and control over these bonds.	2	CBP
210	OIG-11-92	Efficacy of Customs and Border Protection's Bonding Process	6/27/2011	We recommend that Customs and Border Protection's Assistant Commissioners develop formal policies and procedures for the validation, approval, sufficiency, and storage processes for single transaction bonds.	3	CBP
211	OIG-11-92	Efficacy of Customs and Border Protection's Bonding Process	6/27/2011	We recommend that Customs and Border Protection's Assistant Commissioners improve revenue risk management by developing a risk-based bonding methodology for use on high-risk revenue imports that incorporates continuous bonds and single transaction bonds.	4	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
212	OIG-11-102	Effectiveness and Costs of FEMA's Disaster Housing Assistance Program	8/12/2011	We recommend that the Assistant Administrator, Recovery: Conduct a cost-benefit analysis for DHAP and renew the program only if the analysis demonstrates the program to be the same as or superior to other housing program options available to FEMA.	1	FEMA
213	OIG-11-114	Improving FEMA's Individual Assistance, Technical Assistance Contracts	9/27/2011	We recommend that the Federal Emergency Management Agency's Director, Individual Assistance Division, coordinate with the Chief Procurement Officer to: Replace, as soon as practicable, the Individual Assistance, Technical Assistance Contracts. Future contracts should include specific performance requirements and deliverables that— Are developed in coordination with key stakeholders, Include realistic planning assumptions, Support needed capabilities, and Ensure a minimum readiness level in key mission areas.	1	FEMA
214	OIG-11-114	Improving FEMA's Individual Assistance, Technical Assistance Contracts	9/27/2011	We recommend that the Federal Emergency Management Agency's Director, Individual Assistance Division, work with the Chief Procurement Officer to: Require separately priced deliverables in future Individual Assistance, Technical Assistance Contracts.	3	FEMA
215	OIG-11-114	Improving FEMA's Individual Assistance, Technical Assistance Contracts	9/27/2011	We recommend that the Federal Emergency Management Agency's Director, Individual Assistance Division, work with the Chief Procurement Officer to: Ensure that acquisition personnel assigned to manage and monitor contracts have the skills and abilities to match the complexity of the contract and that they comply with the Federal Acquisition Regulation.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
216	OIG-11-114	Improving FEMA's Individual Assistance, Technical Assistance Contracts	9/27/2011	We recommend that the Federal Emergency Management Agency's Director, Individual Assistance Division, work with the Chief Procurement Officer to: Identify and recover payments for unallowable costs made during the 2005 hurricane season under the Individual Assistance, Technical Assistance Contracts.	5	FEMA
217	OIG-11-116	DHS Continues to Face Challenges in the Implementation of Its OneNet Project	9/28/2011	We recommend that the DHS Chief Information Officer: Complete the transition and connection (peering) of components to OneNet.	1	MGMT
218	OIG-11-116	DHS Continues to Face Challenges in the Implementation of Its OneNet Project	9/28/2011	We recommend that the DHS Chief Information Officer: Develop, approve, and implement key planning documents, network service agreements, and interconnection security agreements.	2	MGMT
219	OIG-12-06	Transportation Security Administration Penetration Testing of Advanced Imaging Technology	11/21/2011	This recommendation is SSI. It is related to AIT usage.	1	TSA
220	OIG-12-06	Transportation Security Administration Penetration Testing of Advanced Imaging Technology	11/21/2011	This recommendation is SSI. It is related to acquisition of AIT and ATR.	3	TSA
221	OIG-12-06	Transportation Security Administration Penetration Testing of Advanced Imaging Technology	11/21/2011	This recommendation is SSI. It is related to development of technology.	4	TSA
222	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that the Coast Guard, Establish new or improve existing policies, procedures, and related internal controls to ensure that: The year-end close-out process, reconciliations, and financial data and account analysis procedures are supported by documentation, including evidence of effective management review and approval, and beginning balances in the following year are determined to be reliable and auditable.	I.A.3.a	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
223	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that the Coast Guard, Establish new or improve existing policies, procedures, and related internal controls to ensure that: All intra-governmental activities and balances are reconciled on a timely basis, accurately reflected in the financial statements, and differences are resolved in a timely manner in coordination with the Department's Office of Financial Management (OFM).	I.A.3.e	USCG
224	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that CBP Develop supervisory and monitoring controls to ensure that erroneous transactions are identified and remediated promptly.	II.C.2.c	CBP
225	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that FEMA fully implement new review procedures for budgetary funding transactions recorded in the general ledger.	II.E.5	FEMA
226	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that FEMA Implement monitoring procedures over completing financial site visits, obtaining and reviewing required quarterly grantee reports, and maintaining related documentation.	III.H.2	FEMA
227	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that FEMA Develop and implement procedures to create and track comprehensive lists of FEMA grants that are eligible for close-out.	III.H.3	FEMA
228	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that CBP Related to drawback Analyze current policies and procedures performed at the Drawback Centers. Determine the benefit of current procedures and revise as necessary.	III.I.1.c	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
229	OIG-12-07	Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting	11/11/2011	We recommend that CBP Related to the Entry Process: Increase CBP headquarters monitoring over the BW and FTZ compliance review program by developing a method to determine the program's overall effectiveness.	III.I.2.d	CBP
230	OIG-12-10	DHS' Efforts to Coordinate and Enhance its Support and Information Sharing with Fusion Centers	11/16/2011	We recommend that the Director, State and Local Program Office, Office of Intelligence and Analysis: Develop a plan that ensures sustainable component deployments to the National Network of Fusion Centers.	2	I&A
231	OIG-12-10	DHS' Efforts to Coordinate and Enhance its Support and Information Sharing with Fusion Centers	11/16/2011	We recommend that the Director, State and Local Program Office, Office of Intelligence and Analysis: Work with DHS component leadership to develop and issue written guidance that ensures requirements and expectations for supporting the National Network of Fusion Centers are communicated and implemented.	5	I&A
232	OIG-12-14	The State of Minnesota's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2007 through 2009	11/29/2011	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Minnesota Department of Public Safety and its Division of Homeland Security and Emergency Management to implement Office of Legislative Audit's recommendation that the Department of Public Safety documents its risks, control activities, and internal control monitoring functions for federal program requirements.	14	FEMA
233	OIG-12-14	The State of Minnesota's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2007 through 2009	11/29/2011	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Minnesota Department of Public Safety and its Division of Homeland Security and Emergency Management to establish a process that documents significant control deficiencies and risks that are periodically identified, as well as the corrective actions taken to address the deficiencies.	15	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
234	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	We recommend that the Associate Administrator, Response and Recovery: Work with FEMA regional personnel to evaluate the process of insurance reviews to determine how to complete these reviews earlier in the project formulation process.	1	FEMA
235	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	We recommend that the Associate Administrator, Response and Recovery: Modify EMMIE or EDW so that FEMA can use the data stored in EMMIE to determine if an applicant previously received disaster assistance for a damaged facility.	3	FEMA
236	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	We recommend that the Associate Administrator, Response and Recovery: Complete the rulemaking process begun in 2000 and issue a final rule that resolves the longstanding problems with Public Assistance insurance regulations, including the topics of deductibles, self-insurance, and state insurance commissioners' determinations of reasonably available insurance, among others.	5	FEMA
237	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	We recommend that the Associate Administrator, Response and Recovery: Prepare and issue additional revised Public Assistance insurance policy and guidance to address definitions of insurance, deductibles, and self-insurance, and clarify issues that include, among other topics, deductibles, self-insurance, and state insurance commissioners' impact on the PA insurance requirement.	6	FEMA
238	OIG-12-19	Homeland Security Grant Program Funds Awarded for Project Shield	12/21/2011	We recommend that the Assistant Administrator, Grant Programs Directorate, determine that Project Shield costs are reasonable, allowable, and allocable.	3	FEMA
239	OIG-12-24	The Effects of USCIS Adjudication Procedures and Policies on Fraud Detection by Immigration Services Officers	1/5/2012	We recommend that United States Citizenship and Immigration Services, in consultation with the DHS Office of General Counsel: Develop a policy to establish limitations for managers and attorneys when they intervene in the adjudication of specific cases.	10	USCIS

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
240	OIG-12-24	The Effects of USCIS Adjudication Procedures and Policies on Fraud Detection by Immigration Services Officers	1/5/2012	We recommend that United States Citizenship and Immigration Services: Issue policy that ends any informal appeals process and the special review of denied cases.	11	USCIS
241	OIG-12-26	Transportation Security Administration Covert Testing of Access Controls to Secured Airport Areas	1/6/2012	This is a classified report.	5	TSA
242	OIG-12-26	Transportation Security Administration Covert Testing of Access Controls to Secured Airport Areas	1/6/2012	This is a classified report.	6	TSA
243	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to withhold federal grant fund awards until the grantee is in compliance with the property records and inventory requirements.	10	FEMA
244	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to conduct physical inventory and reconcile to property records.	11	FEMA
245	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to provide documentation that supports the questioned salary costs of \$568,069, including the amounts that exceeded the 15% limit, or recover the amount not supported.	16	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
246	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to establish and comply with procedures to ensure that financial status reports are prepared and submitted in a timely manner in accordance with federal requirements.	19	FEMA
247	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate assess the Virgin Islands grant performance and based on that assessment determine if the State Administrative Agency should be declared a high-risk grantee.	20	FEMA
248	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate develop and implement procedures with special conditions and restrictions to ensure that future grants to the Virgin Islands are managed in accordance with federal requirements and that the funds are adequately safeguarded against fraud, waste, abuse, and mismanagement.	21	FEMA
249	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to establish policies and procedures to adequately justify sole source procurements, ensure that cost analyses are performed in accordance with federal regulations, and obtain FEMA approval, as necessary, for sole source procurements.	3	FEMA
250	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to establish policies and procedures to ensure that documentation regarding contract activities is maintained.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
251	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to disallow the amount paid under the contract and recover the \$472,167 in State Homeland Security Program grant funds for return to FEMA.	5	FEMA
252	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to reconstruct the records supporting the \$3,429,214 drawn down for the FY 2007, 2008, and 2009 grant awards.	7	FEMA
253	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to refund to FEMA any funds for expenditures that cannot be supported by appropriate documentation.	8	FEMA
254	OIG-12-29	The U.S. Virgin Islands Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	1/27/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director of the Virgin Islands Territorial Emergency Management Agency to develop and implement procedures to ensure that property records comply with inventory requirements for equipment purchased with federal funds.	9	FEMA
255	OIG-12-30	Efforts to Expedite Disaster Recovery in Louisiana	1/31/2012	We recommend that the Assistant Administrator, Recovery Directorate: Develop and implement specific policies, procedures and timelines to ensure that projects with 100% federal funding are closed timely.	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
256	OIG-12-30	Efforts to Expedite Disaster Recovery in Louisiana	1/31/2012	We recommend that the Assistant Administrator, Recovery Directorate: Evaluate the status of all Public Assistance projects in Louisiana associated with Hurricane Katrina. Develop, in conjunction with the State of Louisiana, a process to close completed projects and expedite the completion of open projects.	2	FEMA
257	OIG-12-39	Information Sharing on Foreign Nationals: Border Security	2/29/2012	We recommend that the Department of Homeland Security: Establish department-level oversight to address Customs and Border Protection, Office of Border Patrol, and Immigration and Customs Enforcement, Homeland Security Investigations operational challenges.	3	SEC/DSEC/ COS
258	OIG-12-39	Information Sharing on Foreign Nationals: Border Security	2/29/2012	We recommend that the Transportation Security Administration: Provide all Coordination Centers with live video feeds from security cameras in the airport terminals.	6	TSA
259	OIG-12-39	Information Sharing on Foreign Nationals: Border Security	2/29/2012	We recommend that the Transportation Security Administration: Provide all Coordination Centers with access to federal law enforcement data systems.	7	TSA
260	OIG-12-43	Inspection of FEMA's Regional Offices – Region IX	2/24/2012	We recommend that the Deputy Administrator, Protection and National Preparedness, FEMA Headquarters address the Region's request for staff dedicated to Individual and Community Preparedness initiatives and outreach.	1	FEMA
261	OIG-12-43	Inspection of FEMA's Regional Offices – Region IX	2/24/2012	We recommend that the Chief Financial Officer, FEMA headquarters ensure that the FEMA Regional Offices are enforcing the terms and conditions of FEMA/State agreements and using the remedies for noncompliance under the federal regulations to improve the timeliness of the disaster grant closeout process.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
262	OIG-12-43	Inspection of FEMA's Regional Offices – Region IX	2/24/2012	We recommend that the Regional Administrator, FEMA Region IX realign staffing and resources to ensure that Region IX's Grants Division can properly conduct financial and programmatic monitoring of disaster and nondisaster grant programs.	5	FEMA
263	OIG-12-43	Inspection of FEMA's Regional Offices – Region IX	2/24/2012	We recommend that the Regional Administrator, FEMA Region IX coordinate, engage, and consult with qualified stakeholders from the access and functional needs community to completely integrate their needs into regionwide disaster planning efforts.	6	FEMA
264	OIG-12-43	Inspection of FEMA's Regional Offices – Region IX	2/24/2012	We recommend that the Regional Administrator, FEMA Region IX complete the Regional Training Program, developing a standardized or coordinated training curriculum that includes training to incorporate those with access and functional needs into disaster planning.	7	FEMA
265	OIG-12-43	Inspection of FEMA's Regional Offices – Region IX	2/24/2012	We recommend that the Regional Administrator, FEMA Region IX reconvene the Regional Advisory Council and identify alternative methods to facilitate meetings with council members.	8	FEMA
266	OIG-12-63	United States Customs and Border Protection's Management of the Federal Employees' Compensation Act Program	3/27/2012	We recommend that the Assistant Commissioner, Office of Human Resources Management, Customs and Border Protection revise current policy to require the distribution of quarterly chargeback reports and annual chargeback bills to United States Customs and Border Protection stakeholders responsible for controlling workers' compensation costs.	2	CBP
267	OIG-12-63	United States Customs and Border Protection's Management of the Federal Employees' Compensation Act Program	3/27/2012	We recommend that the Assistant Commissioner, Office of Human Resources Management, Customs and Border Protection review, develop, and approve policies and procedures that detail compensation case management responsibilities to provide a systematic process for injury compensation coordinators and injury compensation specialists.	5	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
268	OIG-12-63	United States Customs and Border Protection's Management of the Federal Employees' Compensation Act Program	3/27/2012	We recommend that the Assistant Commissioner, Office of Human Resources Management, Customs and Border Protection review all workers' compensation cases for employees who have been medically cleared to return to work and return those employees to work.	6	CBP
269	OIG-12-64	Operations of United States Immigration and Customs Enforcement's Secure Communities	3/27/2012	We recommend that the Immigration and Customs Enforcement's Enforcement and Removal Operations, Executive Associate Director develop procedures to eliminate duplication in the identification process. If necessary, develop short-term and long-term procedures.	1	ICE
270	OIG-12-64	Operations of United States Immigration and Customs Enforcement's Secure Communities	3/27/2012	We recommend that the Immigration and Customs Enforcement's Enforcement and Removal Operations, Executive Associate Director develop procedures and system controls to ensure that officers complete all records for individuals identified through Secure Communities.	2	ICE
271	OIG-12-65	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2011 Financial Statements	3/27/2012	We recommend that CBP continue to pursue alternative compensating controls and measures that may ultimately identify the potential revenue loss exposure to CBP, as the incorporation of drawback processing is not in the near-term schedule for ACE production. These alternative internal controls over drawback claims may result in the ability to compare, verify, and track essential information on drawback claims to the related UCEs and export documentation for which the drawback claim is based, and identify duplicate or excessive drawback claims.	A.1	CBP
272	OIG-12-79	Opportunities to Improve FEMA's Public Assistance Preliminary Damage Assessment Process	5/2/2012	We recommend that the Assistant Administrator, Recovery Directorate: Improve the Public Assistance Preliminary Damage Assessment to estimate more accurately the magnitude and economic impact of a disaster.	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
273	OIG-12-79	Opportunities to Improve FEMA's Public Assistance Preliminary Damage Assessment Process	5/2/2012	We recommend that the Assistant Administrator, Recovery Directorate: Update the current statewide per capita indicator criteria to better reflect current economic conditions.	2	FEMA
274	OIG-12-79	Opportunities to Improve FEMA's Public Assistance Preliminary Damage Assessment Process	5/2/2012	We recommend that the Assistant Administrator, Recovery Directorate: Evaluate other Federal data source measures to determine whether they provide a better indicator of a State's capacity to respond to a disaster and, if appropriate, replace the current statewide per capita indicator measurement.	3	FEMA
275	OIG-12-83	U.S. Citizenship and Immigration Services' Laptop Safeguards Need Improvements	5/4/2012	We recommend that the Chief Information Officer develop a consistent process to record when laptops are initially provided as government-furnished equipment.	2	USCIS
276	OIG-12-84	Free and Secure Trade Program – Continued Driver Eligibility	5/21/2012	We recommend that the Customs and Border Protection's Assistant Commissioner for Field Office Operations coordinate with the Department of State and the Mexican government to [Redaction not shown] to determine the eligibility of Mexican participants in the FAST program.	1	CBP
277	OIG-12-84	Free and Secure Trade Program – Continued Driver Eligibility	5/21/2012	We recommend that the Customs and Border Protection's Assistant Commissioner for Field Office Operations develop and implement a process for land ports of entry to assess and continuously monitor the effect of the FAST program on border security risk and implement remedial actions as needed.	3	CBP
278	OIG-12-85	CBP's Use of Unmanned Aircraft Systems in the Nation's Border Security	5/30/2012	We recommend that the Assistant Commissioner, Office of Air and Marine, analyze requirements and develop plans to achieve the UAS mission availability objective and acquire funding to provide necessary operations, maintenance, and equipment.	1	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
279	OIG-12-85	CBP's Use of Unmanned Aircraft Systems in the Nation's Border Security	5/30/2012	We recommend that the Assistant Commissioner, Office of Air and Marine, postpone additional UAS purchases until recommendation #1 has been implemented.	4	CBP
280	OIG-12-87	DHS' Intelligence Community Members' Continuity of Operations and Intelligence Readiness Capabilities	6/20/2012	We recommend that the Under Secretary for Intelligence and Analysis/Chief Intelligence Officer: Develop an I&A COOP policy to codify COOP roles and responsibilities of all I&A divisions and offices in maintaining and enhancing COOP plans.	1	I&A
281	OIG-12-88	DHS Needs to Address Portable Device Security Risks	6/14/2012	We recommend that the Chief Information Officer coordinate with the Chief Administrative Officer and component CIOs to update their asset management policies to ensure that USB thumb drives are recorded as sensitive personal property. In addition, components should record USB thumb drives as sensitive personal property in their asset management systems.	1	MGMT
282	OIG-12-88	DHS Needs to Address Portable Device Security Risks	6/14/2012	We recommend that the Chief Information Officer work with the ICE CIO to ensure compliance with DHS guidance on authentication requirements for Android and iOS devices.	3	MGMT
283	OIG-12-93	Progress Has Been Made in Securing Laptops and Wireless Networks at FEMA	6/27/2012	We recommend that the Chief Information Officer to establish a process to ensure that the loss or theft of laptops is reported timely as security incidents to the DHS EOC.	2	FEMA
284	OIG-12-93	Progress Has Been Made in Securing Laptops and Wireless Networks at FEMA	6/27/2012	We recommend that the Chief Information Officer ensure that all required USGCB configuration settings are implemented on FEMA laptops.	4	FEMA
285	OIG-12-93	Progress Has Been Made in Securing Laptops and Wireless Networks at FEMA	6/27/2012	We recommend that the Chief Information Officer ensure that security and software patches are deployed in a timely manner to all FEMA laptops.	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
286	OIG-12-93	Progress Has Been Made in Securing Laptops and Wireless Networks at FEMA	6/27/2012	We recommend that the Chief Information Officer account for all wireless networks within a recognized IT system and implement management controls to ensure that annual wireless security assessments are conducted.	7	FEMA
287	OIG-12-100	Effects of a Security Lapse on FPS' Michigan Guard Services Contract	7/27/2012	We recommend that the Director of the Federal Protective Service: Provide clear guidance on whose responsibility it is and the criteria for determining whether posts are clean and orderly and free of unauthorized items.	1	NPPD
288	OIG-12-102	The State of New Mexico's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	7/26/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the New Mexico Department of Homeland Security and Emergency Management to identify or determine the management and administrative expenses that will be charged to the FY 2009 State Homeland Security Program grant and refund any remaining balance that is not supported.	10	FEMA
289	OIG-12-102	The State of New Mexico's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2007 through 2009	7/26/2012	We recommend that the Assistant Administrator, Grant Programs Directorate, require the New Mexico Department of Homeland Security and Emergency Management to establish and implement procedures to ensure that subgrantees are only reimbursed for equipment or services that have been received.	13	FEMA
290	OIG-12-104	CBP Acquisition of Aviation Management Tracking System	7/27/2012	We recommend that the Acting Commissioner of the U.S. Customs and Border Protection terminate any new acquisitions by the Office of Air and Marine of aviation logistics and maintenance information management information technology systems.	1	USCG, CBP, MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
291	OIG-12-104	CBP Acquisition of Aviation Management Tracking System	7/27/2012	We recommend that the Acting Commissioner of the U.S. Customs and Border Protection direct the Office of Air and Marine to coordinate with the United States Coast Guard to transition its aircraft reporting and material control processes and procedures to the United States Coast Guard's Asset Logistics Management Information System.	2	USCG, CBP, MGMT
292	OIG-12-107	Adherence to Acquisition Management Policies Will Help Reduce Risks to the Technology Integration Program	7/30/2012	We recommend that the Chief Administrative Officer, in coordination with the Chief Information Officer report TIP as a major acquisition and include it on the DHS Major Acquisition Oversight List.	1	MGMT
293	OIG-12-107	Adherence to Acquisition Management Policies Will Help Reduce Risks to the Technology Integration Program	7/30/2012	We recommend that the Chief Administrative Officer, in coordination with the Chief Information Officer prepare the required OMB Exhibit 300 and include TIP cost and funding in the Department's Exhibit 53 for applicable reporting periods.	2	MGMT
294	OIG-12-111	US-VISIT Faces Challenges in Identifying and Reporting Multiple Biographic Identities	8/13/2012	We recommend that the Director, US-VISIT: Review data inconsistencies that we have provided to the US-VISIT office to determine if additional examples of biographic fraud exist beyond the two cases that it previously referred to ICE.	1	NPPD
295	OIG-12-111	US-VISIT Faces Challenges in Identifying and Reporting Multiple Biographic Identities	8/13/2012	We recommend that the Director, US-VISIT: Provide information on individuals determined to be using multiple biographic identities to appropriate law enforcement entities for identity fraud resolution and possible inclusion on the biometric watch list so they are identifiable when entering the United States.	2	NPPD
296	OIG-12-112	DHS Can Strengthen Its International Cybersecurity Programs	8/22/2012	We recommend that the Under Secretary, NPPD: Develop a comprehensive strategic implementation plan that defines CS&C's mission and priorities, specific roles and responsibilities, and detailed milestones for supporting the requirements outlined in the President's Strategy.	1	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
297	OIG-12-117	Audit of Customs and Border Protection's Office of Regulatory Audit	9/7/2012	We recommend that the Assistant Commissioner for International Trade direct the Office of Regulatory Audit to identify audit standards to be followed and ensure that all audit activities are conducted in compliance with those standards or identify exceptions in audit reports.	1	CBP
298	OIG-12-117	Audit of Customs and Border Protection's Office of Regulatory Audit	9/7/2012	We recommend that the Assistant Commissioner for International Trade direct the Office of Regulatory Audit to update, issue, and implement guidance to improve coordination with ORA stakeholders involved in the revenue collection process.	5	CBP
299	OIG-12-128	TSA Management and Oversight at Honolulu International Airport	9/28/2012	We recommend that the Transportation Security Administration Deputy Administrator revise the position descriptions to clarify the roles and responsibilities for checked baggage supervisors and managers and define the expectations for direct supervision. This should ensure that assigned staff are performing screening duties in accordance with all standard operating procedures.	2	TSA
300	OIG-12-128	TSA Management and Oversight at Honolulu International Airport	9/28/2012	We recommend that the Transportation Security Administration Deputy Administrator develop and implement performance metrics to evaluate the supervision of checked baggage areas as part of supervisory performance plans and evaluations. These metrics should include frequent direct observation of baggage screening activities.	4	TSA
301	OIG-12-131	U.S. Customs and Border Protection's Penalty Process – Statute of Limitations	9/27/2012	We recommend that CBP's Assistant Commissioners for Office of International Trade and Office of Field Operations: Develop, document, and implement a Headquarters oversight plan to ensure that the office responsible for oversight of penalty case management has the authority to monitor field offices' compliance with CBP guidance and to ensure effective processing of penalty cases.	2	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
302	OIG-12-132	CBP's Strategy to Address Illicit Cross-Border Tunnels	9/26/2012	We recommend that the Chief, U.S. Border Patrol complete the planned Concept of Operations document describing how the identified capabilities need to function from a cross-component perspective to support the Analysis of Alternatives for the Tunnel Detection and Technology Program.	2	CBP
303	OIG-13-04	Evaluation of DHS' Information Security Program for Fiscal Year 2012	10/23/2012	We recommend that the Chief Information Security Officer: Improve the process to ensure that DHS baseline configuration settings are implemented and maintained on components' information systems. The process should include testing and the use of automated tools and security templates.	3	MGMT
304	OIG-13-05	Personnel Security and Internal Control at TSA's Legacy Transportation Threat Assessment and Credentialing Office	10/26/2012	We recommend that the TSA Administrator: For a minimum of 2 years, direct legacy TTAC offices to refer all personnel-related complaints, grievances, disciplinary actions, investigations, and inspections to appropriate TSA or DHS offices with primary oversight responsibility.	6	TSA
305	OIG-13-05	Personnel Security and Internal Control at TSA's Legacy Transportation Threat Assessment and Credentialing Office	10/26/2012	We recommend that the TSA Chief Human Capital Officer: Establish an independent review panel reporting to the Office of the Chief Human Capital Officer through which legacy TTAC employees may request a review of desk audits and reassignments.	8	TSA
306	OIG-13-06	DHS' Oversight of Interoperable Communications	11/2/2012	We recommend that the Under Secretary for Management: Create a structure with the necessary authority to ensure that the components achieve interoperability.	1	MGMT
307	OIG-13-06	DHS' Oversight of Interoperable Communications	11/2/2012	We recommend that the Under Secretary for Management: Develop and disseminate policies and procedures to standardize Department-wide radio activities, including program settings such as naming conventions to ensure interoperability.	2	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
308	OIG-13-07	The Visa Waiver Program	11/2/2012	We recommend the Office of Policy staff the VWPO at a level to maximize its effectiveness in assessing VWP countries' compliance with program requirements.	3	PLCY
309	OIG-13-14	TSA's National Deployment Force – FY 2012 Follow-Up	12/4/2012	We recommend that the Assistant Administrator for Security Operations develop and implement a strategy to reduce hiring difficulties and improve retention. At a minimum, this effort should include an assessment of quality housing alternatives, additional pay incentives and bonuses, and transportation considerations.	2	TSA
310	OIG-13-19	Identification, Reutilization, and Disposal of Excess Personal Property by the United States Coast Guard	12/21/2012	We recommend that the Assistant Commandant for Resources and Chief Financial Officer define and clarify CG-844's authorities and responsibilities over the reutilization and disposal processes for the USCG at the Executive Management Council Internal Controls Audit Readiness Board.	1	USCG
311	OIG-13-19	Identification, Reutilization, and Disposal of Excess Personal Property by the United States Coast Guard	12/21/2012	We recommend that the Assistant Commandant for Resources and Chief Financial Officer develop and implement a demilitarization program, in coordination with the Department of Defense Demilitarization Office, that includes training and certification for United States Coast Guard personnel who manage, oversee, or process personal property from acquisition to disposal.	3	USCG
312	OIG-13-19	Identification, Reutilization, and Disposal of Excess Personal Property by the United States Coast Guard	12/21/2012	We recommend that the Assistant Commandant for Resources and Chief Financial Officer develop and implement a process to enter and track all classified personal property in the Oracle Fixed Asset Module. Develop and implement standardized policies and procedures to ensure accountability, monitoring, and oversight of disposal of classified personal property components (e.g., hard drives and printer cartridges).	4	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
313	OIG-13-19	Identification, Reutilization, and Disposal of Excess Personal Property by the United States Coast Guard	12/21/2012	We recommend that the Assistant Commandant for Resources and Chief Financial Officer conduct a formal organizational review of the current property management structure to assess, identify, and define property management resource requirements and to analyze the knowledge, skills, and abilities needed to perform these jobs. Ensure that asset reutilization and disposal oversight responsibilities are clearly defined in relevant position descriptions.	5	USCG
314	OIG-13-19	Identification, Reutilization, and Disposal of Excess Personal Property by the United States Coast Guard	12/21/2012	We recommend that the Assistant Commandant for Resources and Chief Financial Officer develop and implement a comprehensive training program, to include reutilization and disposal, for property managers, tailored to each level of personal property management responsibility. The training should include Commanding Officers, Accountable Property Officers, Personal Property Administrators, and Property Custodians and mandatory training for Oracle Fixed Asset Module users before granting future access.	6	USCG
315	OIG-13-19	Identification, Reutilization, and Disposal of Excess Personal Property by the United States Coast Guard	12/21/2012	We recommend that the Assistant Commandant for Resources and Chief Financial Officer develop and implement policies and procedures to account for newly purchased computers that comply with the U.S. Coast Guard's Personal Property Management Manual requirement for entry of personal property into the Oracle Fixed Asset Module within 30 calendar days of receipt from the vendor.	7	USCG
316	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that USCG, Establish new or improve existing policies, procedures, and related internal controls to ensure that: All non-standard adjustments (i.e., journal entries, top side adjustments, and scripts) impacting the general ledger are adequately researched, supported, and reviewed prior to their recording in the general ledger.	I.A.1.c .i	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
317	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that USCG, Establish new or improve existing policies, procedures, and related internal controls to ensure that: All "non-GAAP" policies are identified and their quantitative and qualitative financial statement impacts have been documented.	I.A.1.c .ii	USCG
318	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that ICE, Assess resource needs and assign sufficient staff to respond to audit inquiries with accurate and complete information in a timely manner.	I.A.3.c	ICE
319	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that ICE, Develop formal policies and procedures to ensure compliance with the USSGL requirements at the transaction level.	I.A.3.d	ICE
320	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that the DHS Office of the Chief Information Officer (OCIO), in coordination with the Office of the Chief Financial Officer (OCFO) continue the Financial Systems Modernization initiative, and make necessary improvements to the Departments financial management systems and supporting IT security controls. Specific recommendations are provided in a separate Limited Official Use letter provided to DHS management.	I.B.1	OCIO - OCFO
321	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: USCG: Continue to improve the enforcement of existing policies and procedures related to processing obligation transactions and the periodic review and validation of undelivered orders. In particular, emphasize the importance of performing effective reviews of open obligations, obtaining proper approvals, and retaining supporting documentation	I.E.1.a	USCG

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322	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: USCG: Continue with current remediation efforts to develop and implement policies, procedures, and internal controls over the monitoring of reimbursable agreements and unfilled customer orders to ensure activity, including closeout and de-obligation, is recorded timely and accurately.	I.E.1.b	USCG
323	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: USCG: Implement sufficient policies and procedures for recording the appropriate budgetary entries timely upon receipt of goods, and prior to payment.	I.E.1.c	USCG
324	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: FEMA: Develop and implement monitoring controls to ensure that management reviews of the monthly SF-132 to SF-133 reconciliations are completed timely and effectively.	I.E.2.d	FEMA
325	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: ICE: Improve controls over the verification and validation of undelivered orders to identify outstanding obligations that need to be closed out and/or adjusted for financial statement presentation.	I.E.3.a	ICE
326	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: ICE: Implement policies and procedures to ensure that financial managers work with field office personnel to perform a rigorous review of the open obligations and maintain appropriate documentation of these reviews.	I.E.3.b	ICE
327	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that: ICE: Improve the process of recording recoveries and upward adjustments of prior year obligations, including identification and adjustment for offsetting transactions.	I.E.3.c	ICE

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328	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that ICE: Implement an effective process to match advances to obligations at the transaction level.	I.E.3.d	ICE
329	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that FEMA: Complete the implementation of policies and procedures to ensure full compliance with the Single Audit Act and the related OMB Circular No. A-133 related to receipt and review of grantees' single audit reports.	II.G.1	FEMA
330	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that FEMA: Implement a continuous quality assurance and grants monitoring process to include review of corrective actions resulting from implementation of the recommendations in 1 & 3 above.	II.G.4	FEMA
331	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that CBP: Related to the Entry Process: CBP headquarters should provide oversight and assistance to the field to ensure ports are following procedures and monitor and review the in-bond process to ensure a high in-bond compliance rate.	II.H.2. b	CBP
332	OIG-13-20	Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting	11/14/2012	We recommend that FEMA implement the recommendations in Comment II-G, Grants Management.	III.K	FEMA
333	OIG-13-21	(U) Further Development and Reinforcement of Department Policies Can Strengthen DHS' Intelligence Systems Security Program	1/10/2013	This recommendation is classified.	1	USSS

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
334	OIG-13-21	(U) Further Development and Reinforcement of Department Policies Can Strengthen DHS' Intelligence Systems Security Program	1/10/2013	This recommendation is classified.	7	USSS
335	OIG-13-23	FEMA Should Recover \$48 Million of Public Assistance Grant Funds Awarded to Erie County, New York - Severe Weather October 2006	3/29/2013	We recommend that the Acting Regional Administrator, FEMA Region II: Disallow \$39,391,260 (Federal share \$29,543,445) of improperly procured contract costs as ineligible, unless FEMA grants an exemption for all or part of the costs as provided for in 44 CFR 13.43(a) and section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act as amended. preferences. (Finding A)	1	FEMA
336	OIG-13-23	FEMA Should Recover \$48 Million of Public Assistance Grant Funds Awarded to Erie County, New York - Severe Weather October 2006	3/29/2013	We recommend that the Acting Regional Administrator, FEMA Region II: Disallow \$9,030,634 (Federal share \$6,772,976) in unsupported costs. (Finding B)	2	FEMA
337	OIG-13-23	FEMA Should Recover \$48 Million of Public Assistance Grant Funds Awarded to Erie County, New York -- Severe Weather October 2006	3/29/2013	We recommend that the Acting Regional Administrator, FEMA Region II: Disallow \$33,066 (Federal share \$24,800) as indirect administrative costs. (Finding C)	3	FEMA
338	OIG-13-23	FEMA Should Recover \$48 Million of Public Assistance Grant Funds Awarded to Erie County, New York -- Severe Weather October 2006	3/29/2013	We recommend that the Acting Regional Administrator, FEMA Region II: Disallow \$10,456 as duplicate expenditures. (Finding D)	4	FEMA
339	OIG-13-23	FEMA Should Recover \$48 Million of Public Assistance Grant Funds Awarded to Erie County, New York - Severe Weather October 2006	3/29/2013	We recommend that the Acting Regional Administrator, FEMA Region II: Develop and enforce policies and procedures to ensure that grantees and subgrantees in the State of New York follow proper Federal procurement procedures when expending public assistance grant funds. (Finding E)	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
340	OIG-13-23	FEMA Should Recover \$48 Million of Public Assistance Grant Funds Awarded to Erie County, New York - Severe Weather October 2006	3/29/2013	We recommend that the Acting Regional Administrator, FEMA Region II: Develop and enforce policies and procedures to ensure that grantees and subgrantees in the State of New York follow proper accounting practices and procedures when accounting for public assistance grant funds. (Finding E)	6	FEMA
341	OIG-13-25	Erie County, New York, Generally Followed Regulations for Spending Public Assistance Grant Funds for Flooding in August 2009	1/29/2013	We recommend that the Regional Administrator, FEMA Region II: Deobligate \$73,251 (Federal share \$54,938) as unneeded project funding.	1	FEMA
342	OIG-13-25	Erie County, New York, Generally Followed Regulations for Spending Public Assistance Grant Funds for Flooding in August 2009	1/29/2013	We recommend that the Regional Administrator, FEMA Region II: Disallow \$13,567 (Federal share \$10,175) as unsupported costs.	2	FEMA
343	OIG-13-39	DHS Can Make Improvements to Secure Industrial Control Systems	2/14/2013	We recommend that the Undersecretary, NPPD collaborate with OCIO to streamline HSIN portal to ensure that ICS cyber information is shared effectively.	1	NPPD
344	OIG-13-39	DHS Can Make Improvements to Secure Industrial Control Systems	2/14/2013	We recommend that the Undersecretary, NPPD promote collaboration with Sector Specific Agencies and private sector owners/operators by communication preliminary technical and onsite assessment results to address and mitigate potential security threats on ICS.	2	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
345	OIG-13-43	Connecticut's Management of Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	2/28/2013	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the Connecticut Department of Emergency Services and Public Protection's Division of Emergency Management and Homeland Security to review and update its obligation and approval process to identify ways to shorten the time needed to meet grant requirements.	2	FEMA
346	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require EOPSS to assess and streamline the current processes and procedures for obligating funds to subgrantees so that funds are obligated within a reasonable period.	3	FEMA
347	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require EOPSS to return funds that exceeded the statutory limits for management and administrative expenses.	4	FEMA
348	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate correct the Homeland Security Grant Program Guidance to comply with statutory limitations on management and administration expenditures.	5	FEMA
349	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate encourage EOPSS to complete a review of all grant-funded purchases using FYs 2008, 2009, 2010, and 2011 State Homeland Security Program and Urban Areas Security Initiative grant funds that were exempt from the requirements of the State Procurement Manual. These reviews should ensure that the procurements complied with the Federal requirements in 44 CFR § 13.36.	7	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
350	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require EOPSS to revise and update the site visit protocol to ensure that it includes a review of subgrantee compliance with Federal procurement requirements.	8	FEMA
351	OIG-13-47	Department of Homeland Security's FY 2012 Compliance with the Improper Payments Elimination and Recovery Act of 2010	3/12/2013	We recommend that the Chief Financial Officer, Department of Homeland Security ensure that DHS Risk Management and Assurance Division requires all components to provide detailed explanations and references to supporting documentation as to how they determined each risk weight and risk score.	2	CFO
352	OIG-13-49	DHS Involvement in OCEDEF Operation Fast and Furious	3/15/2013	We recommend that the Director of ICE enhance ICE policy, Accountability Requirements for Enforcement Operations Involving Contraband and Other Sensitive Items, to ensure that the language in the ICE/ATF MOU does not continue to foster an expectation that HSI SACs resolve all conflicts with ATF in the field. The language of the policy should be modified to state that the duty to report conflicts covered by the policy overrides the ICE/ATF MOU language, which may seem to place the responsibility on SACs to resolve all conflicts at the field level.	3	ICE
353	OIG-13-51	FEMA's Efforts To Recoup Improper Payments in Accordance With the Disaster Assistance Recoupment Fairness Act of 2011 (5)	3/22/2013	We recommend that FEMA's Chief Financial Officer and Acting Associate Administrator, Response and Recovery: Review and refer DARFA debt recoupment cases totaling approximately \$29 million to Treasury since the debtor's response time to FEMA inquiries have expired.	1	FEMA
354	OIG-13-51	FEMA's Efforts To Recoup Improper Payments in Accordance With the Disaster Assistance Recoupment Fairness Act of 2011 (5)	3/22/2013	We recommend that FEMA's Chief Financial Officer and Acting Associate Administrator, Response and Recovery: Review and process remaining DARFA debt recoupment cases totaling more than \$101 million.	2	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
355	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Modify Chemical Security Assessment Tools to capture facility data efficiently and ensure that the tools provide meaningful end products for industry users and ISCD.	1	NPPD
356	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Develop a methodology and reporting process to identify and address errors and anomalies that arise in the CFATS tiering methodology and risk engine.	12	NPPD
357	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Provide the external peer review results, including comments on the V Factor, and ISCD's action plan to implement external peer review recommendations.	13	NPPD
358	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Develop and implement a learning curriculum that (1) describes position roles and responsibilities clearly; (2) provides comprehensive training plans to prepare employees to perform assigned duties; and (3) communicates measures to assess performance.	15	NPPD
359	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Document engagement with Office of Infrastructure Protection and DHS regulatory and voluntary programs to identify and implement existing tools and processes that can be leveraged to make Top Screen, Security Vulnerability Assessments, and the Site Security Plan tools more efficient, effective, and easier to use for the CFATS Program.	2	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
360	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Improve the clarity of guidance provided to the CFATS-regulated industry so that it can benefit from regular and timely comments on facility submissions.	24	NPPD
361	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Develop a strategy and implement a plan to address facility resubmissions and requests for redetermination as prescribed in the CFATS regulation.	6	NPPD
362	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Limit funding for Personnel Surety Program vetting until the Office of Management and Budget has approved the program's Information Collection Request.	7	NPPD
363	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Develop an action plan and guidance for implementing the Ammonium Nitrate Program, which incorporates lessons learned from CFATS Program challenges.	8	NPPD
364	OIG-13-55	Effectiveness of the Infrastructure Security Compliance Division's Management Practices to Implement the Chemical Facility Anti-Terrorism Standards Program	3/25/2013	We recommend that the Director of the Infrastructure Security Compliance Division: Develop and implement a curriculum and timeline for training inspectors to perform both Ammonium Nitrate and CFATS Program duties and responsibilities.	9	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
365	OIG-13-58	IT Management Letter for the DHS Financial Statement Audit	4/4/2013	We recommend that the DHS Office of the Chief Information Officer (OCIO), in coordination with the Office of the Chief Financial Officer (OCFO) continue the Financial Systems Modernization initiative, and make necessary improvements to the Department's financial management systems and supporting IT security controls.	1	MGMT
366	OIG-13-72	Mississippi's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	4/9/2013	We recommend that the Assistant Administrator, Grant Programs Directorate require the Mississippi Office of Homeland Security to identify and ensure all stakeholders are included in the grant allocation process.	4	FEMA
367	OIG-13-72	Mississippi's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	4/9/2013	We recommend that the Assistant Administrator, Grant Programs Directorate require the Mississippi Office of Homeland Security to ensure that cost analyses are performed in accordance with Federal procurement regulations, and conduct fair market analysis as directed by the State for sole-source procurements.	6	FEMA
368	OIG-13-72	Mississippi's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	4/9/2013	We recommend that the Assistant Administrator, Grant Programs Directorate require the Mississippi Office of Homeland Security to review the amounts paid for unjustified sole-source procurements and recover any unallowable costs of the \$329,928 in State Homeland Security Program grant funds.	7	FEMA
369	OIG-13-72	Mississippi's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	4/9/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate assist the Mississippi Office of Homeland Security to ensure that subgrantees maintain property and inventory records to support the retention and transfer of equipment to subrecipients, and ensure accountability of sensitive or pilferable equipment.	8	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
370	OIG-13-72	Mississippi's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	4/9/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate assist the Mississippi Office of Homeland Security to implement procedures to identify equipment purchased with Homeland Security Grant Program funds.	9	FEMA
371	OIG-13-74	North Carolina's Management of Homeland Security Program Grants Awarded During Fiscal Years 2008 Through 2010	4/8/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the North Carolina Division of Emergency Management to ensure that, where practicable, subgrantees and the Charlotte Urban Area mark purchases made with Department of Homeland Security funds with "Purchased with funds provided by the U.S. Department of Homeland Security."	5	FEMA
372	OIG-13-77	FEMA Can Improve Its Purchase Controls at Joint Field Offices	4/10/2013	We recommend that the Federal Emergency Management Administrator: Implement an agency-wide electronic tracking system for JFO acquisitions, accessible on the FEMA headquarters Intranet.	2	FEMA
373	OIG-13-80	U.S. Immigration and Customs Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	4/24/2013	We recommend that the Executive Associate Director for Enforcement and Removal Operations develop a plan in conjunction with the Office of Acquisition to ensure that ICE contracting officials provide written notification to vendors indicating that funds are available before the performance start date of services for all contracts with the SAF clause.	1	ICE
374	OIG-13-80	U.S. Immigration and Customs Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	4/24/2013	We recommend that the Executive Associate Director for Enforcement and Removal Operations coordinate with Burlington Finance Center officials to revise standard operating procedures to ensure that invoices related to detention contracts with the SAF clause are not rejected solely because funds are not readily available to pay them.	2	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
375	OIG-13-80	U.S. Immigration and Customs Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	4/24/2013	We recommend that the Executive Associate Director for Enforcement and Removal Operations ensure timely and sufficient obligation of funds within the financial system, in compliance with laws and regulations.	3	ICE
376	OIG-13-80	U.S. Immigration and Customs Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	4/24/2013	We recommend that the Executive Associate Director for Enforcement and Removal Operations continue implementation of corrective actions, ensuring that pertinent officials and personnel are aware of official plans.	4	ICE
377	OIG-13-82	Transportation Security Administration Logistics Center - Inventory Management	4/26/2013	We recommend that the Deputy Administrator, Transportation Security Administration, implement detailed inventory management procedures for equipment at the Transportation Security Administration Logistics Center.	1	TSA
378	OIG-13-82	Transportation Security Administration Logistics Center - Inventory Management	4/26/2013	We recommend that the Deputy Administrator, Transportation Security Administration, develop and implement procedures to assess and adjust warehouse space on an annual basis.	2	TSA
379	OIG-13-89	DHS' H-60 Helicopter Programs	5/17/2013	We recommend that the Deputy Secretary direct U.S. Customs and Border Protection to apply all the requirements of the Acquisition Life Cycle Framework established through Management Directive 102-01 to each individual program or project within its Strategic Air and Marine Plan.	2	SEC/DSEC/ COS, USCG, CBP, MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
380	OIG-13-92	Marine Accident Reporting, Investigations, and Enforcement in the United States Coast Guard	5/23/2013	We recommend that the USCG Assistant Commandant for Resources and Chief Financial Officer implement an investigations and inspections retention plan to ensure qualified personnel are retained within the inspections and investigations specialties.	1	USCG
381	OIG-13-92	Marine Accident Reporting, Investigations, and Enforcement in the United States Coast Guard	5/23/2013	We recommend that the USCG Assistant Commandant for Resources and Chief Financial Officer revise and strengthen its personnel management policies by implementing provisions of the 2010 Coast Guard Authorization Act, which allows promotions by specialty for marine inspectors and investigators to foster retention and continuity.	2	USCG
382	OIG-13-92	Marine Accident Reporting, Investigations, and Enforcement in the United States Coast Guard	5/23/2013	We recommend that the USCG Assistant Commandant for Resources and Chief Financial Officer develop a complete process with sufficient resources to review, track, and address all recommendations resulting from investigations reports.	3	USCG
383	OIG-13-92	Marine Accident Reporting, Investigations, and Enforcement in the United States Coast Guard	5/23/2013	We recommend that the USCG Assistant Commandant for Resources and Chief Financial Officer provide training and guidance to all investigations personnel on all enforcement options.	5	USCG
384	OIG-13-92	Marine Accident Reporting, Investigations, and Enforcement in the United States Coast Guard	5/23/2013	We recommend that the USCG Assistant Commandant for Resources and Chief Financial Officer develop Civil Penalty enforcement training guidelines for preparing and supporting Civil Penalty cases for all investigations staff. USCG should consider using officers with previous experience in the Hearing Office to complete this task.	6	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
385	OIG-13-93	USCG Must Improve the Security and Strengthen the Management of Its Laptops	5/29/2013	We recommend that the Assistant Commandant for Command, Control, Communications, Computers, and Information Technology (CG-6) implement required USGCB and DHS configuration settings on all USCG laptops or follow applicable DHS policy to submit a waiver to acknowledge and accept the risk of non-compliance.	2	USCG
386	OIG-13-93	USCG Must Improve the Security and Strengthen the Management of Its Laptops	5/29/2013	We recommend that the Assistant Commandant for Command, Control, Communications, Computers, and Information Technology (CG-6) resolve the technical incompatibility with mission-critical applications that has caused delays in deploying security patches on USCG standard laptops.	3	USCG
387	OIG-13-93	USCG Must Improve the Security and Strengthen the Management of Its Laptops	5/29/2013	We recommend that the Assistant Commandant for Command, Control, Communications, Computers, and Information Technology (CG-6) evaluate the security risks associated with using non-standard laptops and establish a centralized configuration and patch management process according to applicable DHS and NIST guidance.	4	USCG
388	OIG-13-93	USCG Must Improve the Security and Strengthen the Management of Its Laptops	5/29/2013	We recommend that the Assistant Commandant for Command, Control, Communications, Computers, and Information Technology (CG-6) develop and implement documented procedures to ensure that data are erased and rendered unrecoverable from laptops before the equipment is disposed of or transferred, including the maintenance of records.	5	USCG
389	OIG-13-93	USCG Must Improve the Security and Strengthen the Management of Its Laptops	5/29/2013	We recommend that the Assistant Commandant for Command, Control, Communications, Computers, and Information Technology (CG-6) establish procedures to ensure that all occurrences of lost or stolen laptops are reported to DHS SOC as security incidents and reflected in the USCG inventory database as exceptions.	6	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
390	OIG-13-95	DHS Can Take Actions to Address Its Cybersecurity Responsibilities	6/5/2013	We recommend that the Acting Assistant Secretary, CS&C: Improve communication and coordination with Federal agencies by providing additional clarity regarding the FISMA reporting metrics.	3	NPPD
391	OIG-13-95	DHS Can Take Actions to Address Its Cybersecurity Responsibilities	6/5/2013	We recommend that the Acting Assistant Secretary, CS&C: Implement a process to analyze and provide detailed feedback to Federal agencies concerning monthly vulnerability data feeds.	4	NPPD
392	OIG-13-96	DHS' Policies and Procedures Over Conferences	6/11/2013	We recommend that the Chief Financial Officer, Department of Homeland Security: Comply with OMB and Department guidance to ensure that— <ul style="list-style-type: none"> • Components are submitting all conferences for review and approval; • The attendance tracking tool actually captures attendance data and is being used by all Components; • All Components have updated their Conference policy requirements to ensure compliance with DHS-wide policy requirements; and, • The Annual Quality Control Review is conducted for FY 2013, and a plan is implemented to conduct testing for all future fiscal years. 	1	CFO
393	OIG-13-103	DHS' Efforts to Screen Members of Foreign Terrorist Organizations	7/22/2013	We recommend that the Director for U.S. Immigration and Customs Enforcement: Work with visa security partners to develop a threshold for interagency notifications, when information developed during the visa adjudication process identifies potential issues concerning an applicant's admissibility to the United States.	1	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
394	OIG-13-103	DHS' Efforts to Screen Members of Foreign Terrorist Organizations	7/22/2013	We recommend that the U.S. Immigration and Customs Enforcement's Executive Associate Director for Homeland Security Investigations: Develop and implement a process that ensures the Pre-Adjudicated Threat Recognition Intelligence Operations Team program is effective in addressing visa security concerns. At a minimum, this should include establishing and implementing protocols for conducting periodic reviews.	2	ICE
395	OIG-13-103	DHS' Efforts to Screen Members of Foreign Terrorist Organizations	7/22/2013	This recommendation is Law Enforcement Sensitive - FOUO.	3	ICE
396	OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	7/10/2013	We recommend that the CBP Chief Information Officer provide ISSOs with real time security alerts and the capability to review audit logs.	1	CBP
397	OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	7/10/2013	We recommend that the ICE Chief Information Officer complete activities to include the HSI communications analysis and surveillance system server as part of a recognized FISMA-inventoried system.	12	ICE
398	OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	7/10/2013	We recommend that the CBP Chief Information Officer assign the responsibility to review DHCP server automatic messages and ATL LAN audit logs.	6	CBP
399	OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	7/10/2013	We recommend that the ICE Chief Information Officer resolve high system vulnerabilities in a timely fashion.	8	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
400	OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	7/10/2013	We recommend that the ICE Chief Information Officer scan all ICE servers at the SAC Atlanta and AAG sites annually.	9	ICE
401	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Develop additional performance metrics that document all Watchlisting Cell operations accurately and demonstrate program effectiveness.	1	I&A
402	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Develop and implement a sustainability plan for the Watchlisting Cell that describes and prioritizes current and planned goals, operations, and resources for the next 5 years.	10	I&A
403	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Evaluate current watchlisting processes to identify opportunities to improve information sharing and ensure information integrity. At a minimum, this evaluation should include measures to streamline processes that are labor intensive and susceptible to human error.	2	I&A
404	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Survey DHS operational components to determine which components have sufficient intelligence reporting quality controls to enable the Watchlisting Cell to reduce its duplicative quality assurance review.	3	I&A
405	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Identify and incorporate procedures and capabilities to streamline the Watchlisting Cell's internal quality assurance process to improve timeliness.	4	I&A

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
406	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for the Office of Intelligence and Analysis: Survey DHS operational components to determine which components have the operational capacity and resources to manage a watchlist nomination caseload, and determine how the Watchlisting Cell will prioritize the remaining caseload.	5	I&A
407	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Develop and document a process with CBP that ensures CBP has a sufficient number of trained and certified analysts to maintain CBP's watchlisting operations to include consideration of a train-the-trainer option.	6	I&A
408	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Develop and implement, in collaboration with the DHS Offices for Civil Rights and Civil Liberties, Privacy, General Counsel, and Screening Coordination Office, an oversight plan for certified DHS operational component analysts conducting watchlisting operations.	7	I&A
409	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Develop and implement a quality assurance review methodology for DHS operational components conducting watchlisting operations.	8	I&A
410	OIG-13-105	DHS' Watchlisting Cell's Efforts to Coordinate Departmental Nominations	7/23/2013	We recommend that the Acting Under Secretary for Intelligence and Analysis: Develop and implement a financial plan that addresses funding, staffing, and resources to sustain the Watchlisting Cell's operations for the next 5 years.	9	I&A

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
411	OIG-13-106	Reducing Over-classification of DHS' National Security Information	8/2/2013	We recommend that the Office of the Chief Security Officer: Create and implement a standard method for components to collect and report information for the SF 311 Agency Security Classification Management Program Data form.	2	MGMT
412	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that USCIS Publish new guidance to clarify the USCIS interpretation of specialized knowledge. This guidance should be sufficiently explicit to give adjudicators an improved basis for determining whether employees of a petitioning entity possess specialized knowledge.	1	USCIS
413	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that USCIS: Update existing guidance on the Visa Reform Act anti-"job-shop" provisions that Immigration Service Officers can use in the interim while a regulation is created.	10	USCIS
414	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that USCIS: Screen L-1 beneficiaries against a list of persons previously denied visas by Department of State (DOS) consular officers.	2	USCIS
415	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that USCIS: Develop broader working-level communications opportunities between Immigration Services Officers adjudicating L petitions and DOS consular officers adjudicating L visa applications.	3	USCIS
416	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that CBP: Provide thorough L-1 visa training to all Customs and Border Protection Officers (CBPO) processing L-1 travelers at ports of entry or preclearance/preflight stations in Canada. Training should include determining petitioner's eligibility, L-1 fraud detection, correct assessment of fees, specialized knowledge, and the provisions of the Visa Reform Act.	4	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
417	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that CBP, in concert with USCIS: Establish fraud fee collection guidelines for CBPOs processing L-1 travelers. At a minimum, procedures should prevent incorrect charges to travelers, provide an audit trail, and show CBPOs a history of the traveler's previous fee payments.	5	USCIS, CBP
418	OIG-13-107	Implementation of L-1 Visa Regulations	8/15/2013	We recommend that USCIS: Create a regulation on the Visa Reform Act anti-"job-shop" provisions that will increase consistency in decision-making.	9	USCIS
419	OIG-13-109	Nebraska's Management of State Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	8/19/2013	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the Nebraska Emergency Management Agency to review and update its obligation and approval process to identify ways to shorten the process so subgrantees have sufficient time to procure and spend their grant funds.	4	FEMA
420	OIG-13-109	Nebraska's Management of State Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	8/19/2013	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the Nebraska Emergency Management Agency to: Develop and implement controls to require and ensure its subgrantees maintain up to date property records that include all data required by Federal regulations and conduct physical inventories of equipment pursuant to Federal regulations; Revise its Grant Management Handbook Equipment Inventory template to include all required data elements; and Require documentation of equipment receipt to ensure proper accountability of equipment bought with State Homeland Security Program and Urban Areas Security Initiative grant funds.	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
421	OIG-13-109	Nebraska's Management of State Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	8/19/2013	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the Nebraska Emergency Management Agency to develop and implement controls to ensure that all subgrantee procurements are conducted using competitive procedures or are supported by written sole source justifications and cost analyses in accordance with Federal procurement regulations.	6	FEMA
422	OIG-13-109	Nebraska's Management of State Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	8/19/2013	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the Nebraska Emergency Management Agency to Monitor grant and subgrant supported programs, functions, and activities for the State Homeland Security Program and Urban Areas Security Initiative grants to assure compliance with Federal requirements and that performance goals are being achieved, and Require its monitors to document their reviews.	7	FEMA
423	OIG-13-111	Improvements Needed for SAVE To Accurately Determine Immigration Status of Individuals Ordered Deported	11/20/2012	We recommend that USCIS develop an automated interface that will result in SAVE accurately reflecting the immigration status of individuals who have lost status as a result of a final removal order or expiration of time permitted to file an appeal.	2	USCIS
424	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer develop a Headquarters Information Technology Disaster Recovery Plan for the transition of its headquarters critical information systems and communications assets from its primary location to the alternate location, as instructed in the DHS Continuity of Operations Plan.	1	MGMT
425	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer perform a business impact analysis of the Office of the Chief Information Officer's mission essential function and update the plan every 2 years in accordance with Federal Continuity Directive 2.	2	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
426	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer develop policies and processes for monitoring the availability of all DHS mission essential systems.	3	MGMT
427	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer update mission essential systems contingency plans regularly.	4	MGMT
428	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer prepare business impact analyses for enterprise mission essential systems.	5	MGMT
429	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer develop and implement a process to maintain backup data for enterprise mission essential systems	6	MGMT
430	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer Identify and establish adequate alternate facilities for the NOC and SOC.	7	MGMT
431	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer Implement contingency training for enterprise mission essential systems.	8	MGMT
432	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	We recommend that the Acting Chief Information Officer perform full failover contingency testing for enterprise mission essential systems.	9	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
433	OIG-13-113	DHS Needs to Manage Its Radio Communication Program Better	8/29/2013	We recommend the Under Secretary for Management establish a single point of accountability at the Department level with the authority, resources, and information to ensure a portfolio approach is implemented for its radio communication program.	1	MGMT
434	OIG-13-113	DHS Needs to Manage Its Radio Communication Program Better	8/29/2013	We recommend the Under Secretary for Management develop a single portfolio of radio equipment and infrastructure, which will- - Identify and describe the data elements needed to manage radio equipment and infrastructure; - Develop policies and implement procedures for standard data reporting of radio equipment and infrastructure; and - Develop policies and implement procedures for verifying the accuracy and completeness of reported radio inventory data.	2	MGMT
435	OIG-13-114	CBP Use of Force Training and Actions to Address Use of Force Incidents	9/12/2013	We recommend that U.S. Customs and Border Protection: Develop a process to incorporate information regarding assaults on agents that did not result in agents using force into its analysis of use of force incidents.	2	CBP
436	OIG-13-114	CBP Use of Force Training and Actions to Address Use of Force Incidents	9/12/2013	We recommend that U.S. Customs and Border Protection: Develop a formal process to evaluate use of force training field audit results and follow up on field audit recommendations.	3	CBP
437	OIG-13-115	DHS Uses Social Media To Enhance Information Sharing and Mission Operations, But Additional Oversight and Guidance Are Needed	9/5/2013	We recommend that the Office of Policy complete the Department-wide social media policy to provide legal, privacy, and information security guidelines for approved uses of social media.	3	PLCY

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
438	OIG-13-118	U.S. Customs and Border Protection Has Taken Steps to Address Insider Threat but Challenges Remain	9/9/2013	We recommend that the Assistant Commissioner and Chief Information Officer Office of Information and Technology for CBP: Establish an agency-wide insider threat program responsible for identifying and remediating the risk posed by the insider threat.	1	CBP
439	OIG-13-118	U.S. Customs and Border Protection Has Taken Steps to Address Insider Threat but Challenges Remain	9/9/2013	We recommend that the Assistant Commissioner and Chief Information Officer Office of Information and Technology for CBP: Implement an insider threat training and awareness program for the entire CBP workforce.	2	CBP
440	OIG-13-118	U.S. Customs and Border Protection Has Taken Steps to Address Insider Threat but Challenges Remain	9/9/2013	We recommend that the Assistant Commissioner and Chief Information Officer Office of Information and Technology for CBP: Strengthen technical security controls and processes of IT assets and operations including applying critical security patches and preventing use of unauthorized devices and exfiltration of sensitive information.	3	CBP
441	OIG-13-119	CBP's and USCG's Controls Over Exports Related to Foreign Military Sales	9/9/2013	We recommend that the Acting Assistant Commissioner for the Office of Field Operations at U.S. Customs and Border Protection: Create and implement a centralized process for tracking Foreign Military Sales-related shipments and enhance the Foreign Military Sales export data validation process.	1	CBP
442	OIG-13-119	CBP's and USCG's Controls Over Exports Related to Foreign Military Sales	9/9/2013	We recommend that the Acting Assistant Commissioner for the Office of Field Operations at U.S. Customs and Border Protection: Update the U.S. Customs Control Handbook for Department of State Licenses to reflect current export-related laws, regulations, and responsibilities; and provide formal training to CBP export officers on Foreign Military Sales policies and procedures.	2	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
443	OIG-13-119	CBP's and USCG's Controls Over Exports Related to Foreign Military Sales	9/9/2013	We recommend that the Chief of the Office of International Acquisitions for the United States Coast Guard: Require future USCG contracts related to Foreign Military Sales to specify that the contents are being shipped under the Foreign Military Sales program, and require shippers to verify that shipments have been identified as Foreign Military Sales in the Automated Export System.	3	USCG
444	OIG-13-120	Transportation Security Administration's Deployment and Use of Advanced Imaging Technology	9/16/2013	We recommend that the Deputy Administrator, Transportation Security Administration: Develop and approve a single, comprehensive deployment strategy that addresses short- and long term goals for screening equipment.	1	TSA
445	OIG-13-120	Transportation Security Administration's Deployment and Use of Advanced Imaging Technology	9/16/2013	We recommend that the Deputy Administrator, Transportation Security Administration: Develop and implement a disciplined system of internal controls from data entry to reporting to ensure PMIS data integrity.	2	TSA
446	OIG-13-123	Transportation Security Administration Office of Inspection's Efforts to Enhance Transportation Security	9/24/2013	We recommend that the Assistant Administrator for the Office of Inspection ensure that the Office of Inspection completes its action to have an external peer review of its efforts to audit air carriers expeditiously and continues to have an external peer review of this work at least once every 3 years. Those reports previously issued that were not in compliance with Government Auditing Standards need to be modified and reissued with language identifying that the Office of Inspection was not in full compliance with Government Auditing Standards at the time the audits were conducted.	10	TSA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
447	OIG-13-123	Transportation Security Administration Office of Inspection's Efforts to Enhance Transportation Security	9/24/2013	We recommend that the TSA Deputy Administrator conduct an objective workforce analysis of the Office of Inspection, including a needs assessment, to determine the appropriate staffing levels to accomplish the office's mission cost effectively. In conjunction with this analysis, perform a position classification review of the Office of Inspection to ensure that all staff positions are properly classified and ensure that those conducting the review, such as the TSA Office of Human Capital or the Office of Personnel Management, are independent of the process.	3	TSA
448	OIG-13-123	Transportation Security Administration Office of Inspection's Efforts to Enhance Transportation Security	9/24/2013	We recommend that the Deputy Administrator for the Office of Inspection, upon completion of the workforce analysis and position classification review, reclassify primary criminal investigators who do not meet the Federal 50 percent minimum legal workload requirement appropriately. In addition, ensure that secondary law enforcement positions are properly classified in accordance with Federal regulations. Their proper classification depends on the correct classification of the individuals they supervise.	4	TSA
449	OIG-13-123	Transportation Security Administration Office of Inspection's Efforts to Enhance Transportation Security	9/24/2013	We recommend that the Assistant Administrator for the Office of Inspection require the Office of Inspection to develop a detailed annual work plan to be approved by its Assistant Administrator. This plan should contain project-specific information, including purpose, duration, realistic cost estimates, and required staffing.	5	TSA
450	OIG-13-123	Transportation Security Administration Office of Inspection's Efforts to Enhance Transportation Security	9/24/2013	We recommend that the Assistant Administrator for the Office of Inspection periodically assess the results of the Office of Inspection's performance measures to determine the office's progress toward meeting the intended goals and revise programs as necessary.	7	TSA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
451	OIG-13-123	Transportation Security Administration Office of Inspection's Efforts to Enhance Transportation Security	9/24/2013	We recommend that the Assistant Administrator for the Office of Inspection establish a quality assurance program to ensure that the Office of Inspection complies with applicable professional standards such as Government Auditing Standards and the Council of the Inspectors General on Integrity and Efficiency Quality Standards for Inspection and Evaluation. The program should include the following: - Tests of the quality and reliability of data in the office's management information system. - Evidence that staff meet continuing professional education requirements. - Documentation of staff's independence for each project. - Quality control reviews to ensure that the work products meet professional standards.	9	TSA
452	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD procure or develop tools and technologies with enhanced incident management and analytical capabilities that can link situational awareness products to cyber incidents.	1	NPPD
453	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD collaborate with DoD and NIST to develop a standard set of incident categories to ensure seamless information sharing between all Federal cyber operations centers.	2	NPPD
454	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD augment staffing by adding additional staffing to execute ICS-CERT mission to provide full coverage on the operations floor.	3	NPPD
455	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD collaborate with I&A management to increase the number of its analysts available for continuous coverage at the NCCIC to provide more intelligence and analysis to all sectors.	4	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
456	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD revise the training and exercise plan to include the new qualifications and standards specified in the Concept of Operations to ensure NCCIC personnel receive the proper training, certifications, and qualifications to perform their assigned duties.	5	NPPD
457	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD update their COOP Plan to reflect the current operational structure of its subcomponents and include a risk management process to ensure continuity plans are coordinated between subcomponents and continuity objectives are accomplished.	6	NPPD
458	OIG-14-02	DHS Efforts To Coordinate the Activities of Federal Cyber Operations Centers	10/25/2013	We recommend that the Acting Under Secretary for NPPD finalize CS&C's COOP Plan to reflect the recent alignment and test the plan to ensure that component personnel understand their roles in the event of emergency.	7	NPPD
459	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, conduct an immediate onsite review of the Fusion Center to ensure it is operational.	1	FEMA
460	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require OPSS to visit subgrantee sites to locate equipment or to return to FEMA \$63,937 if the equipment cannot be located.	10	FEMA
461	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require that OPSS establish policies and procedures for annual procurement of Single Audit services.	11	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
462	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require that OPSS implement policies and procedures to ensure timely procurement of annual Single Audit services.	12	FEMA
463	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the Finance Department of the Puerto Rico Office for Public Safety and Security to establish internal controls to ensure accurate reporting of amounts obligated and expended in the Biannual Strategy Implementation Reports in accordance with grant guidance.	14	FEMA
464	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the Puerto Rico OPSS to develop and enforce procedures to ensure that funds are obligated to subgrantees in accordance with grant guidance.	15	FEMA
465	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, monitor sustainability of the Fusion Center on a periodic basis.	2	FEMA
466	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require OPSS to develop a management plan for operation of the Fusion Center that addresses continuity of operations and sustainability without DHS funding.	3	FEMA
467	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require OPSS to return to FEMA the full cost of \$501,430 if FEMA determines the Fusion Center to be non-operational.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
468	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the Director, Puerto Rico Office for Public Safety and Security, to develop policies and procedures for review and approval of grant expenditures that include procedures to: - Obtain approval from FEMA for purchases of equipment not included on the Authorized Equipment List. - Ensure expenditures are in accordance with the approved strategy and investment justification. - Consult the FEMA Preparedness Officer when there is ambiguity, uncertainty, or doubt about a potential purchase. - File and maintain supporting documentation for expenditures.	5	FEMA
469	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the Director, Puerto Rico Office for Public Safety and Security, to provide to FEMA sufficient support for the questioned costs totaling \$2,001,841 related to unsupported, unauthorized, or improper grant expenditures, or return the funds to FEMA.	6	FEMA
470	OIG-14-04	Puerto Rico's Management of Homeland Security Grant Program Awards for Fiscal Years 2009-2011	11/4/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require OPSS to develop policies and procedures for subgrantee monitoring that include: - Monitoring program performance, - Ensuring subgrantee compliance with appropriate guidance, including property records, - Ensuring proper training for OPSS personnel on subgrantee monitoring, and - Scheduling, staffing, and conducting on-site visits as appropriate.	9	FEMA
471	OIG-14-05	The Commonwealth of the Northern Mariana Islands' Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require HSEM to develop and update, as necessary, policies, procedures and controls to ensure timely submission of all reports in accordance with the grant guidelines in conjunction with Department of Administration.	7	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
472	OIG-14-05	The Commonwealth of the Northern Mariana Islands' Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, obtain all late BSIR submissions, enforce the Federal Financial Report and BSIR submission requirements, and provide technical assistance as needed to facilitate timely submission.	8	FEMA
473	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, advise the SAA to develop formal written policies and procedures to: - Regularly evaluate, assess, and revise the State Homeland Security Strategy to reflect current objectives of the Territory, and - Establish goals, objectives, and implementation steps.	1	FEMA
474	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the SAA to develop, document, and implement a system for monitoring and assessing subgrantee performance, including regular site visits of subgrantees that address programmatic and financial performance.	10	FEMA
475	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the SAA to retain sources of information and documentation used to develop and compile threat and hazard analyses.	4	FEMA
476	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the SAA, in conjunction with Guam's Department of Administration, to develop and update, as necessary, policies, procedures, and controls to ensure timely submission of financial and progress reports in accordance with the grant guidelines.	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
477	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, instruct the SAA management to obtain training for the staff on Federal Financial Report (FFR) and Biannual Strategy Implementation Report (BSIR) reporting and submission process and deadlines, and communicate to all relevant employees the 30-day due date for the FFR and BSIR submissions.	6	FEMA
478	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, enforce the Federal Financial Report (FFR) and Biannual Strategy Implementation Report (BSIR) submission requirements and provide technical assistance as needed to facilitate timely submission.	7	FEMA
479	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the SAA to assess the administrative subgrantee obligation approval process to determine areas for increased efficiencies.	8	FEMA
480	OIG-14-06	Guam's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	11/7/2013	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate, require the SAA to provide instructions to, and coordinate with, subgrantees in advance of grant award to determine MOU signature requirements and ensure required signatures are obtained as quickly and efficiently as possible.	9	FEMA
481	OIG-14-07-D	FEMA Should Recover \$154,143 of Public Assistance Grant Funds Awarded to Brevard County, Florida, under Hurricane Wilma	11/20/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$75,494 of insurance benefits that the County did not deduct from project costs unless the County can provide sufficient evidence that the costs were not covered by insurance (finding A).	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
482	OIG-14-07-D	FEMA Should Recover \$154,143 of Public Assistance Grant Funds Awarded to Brevard County, Florida, under Hurricane Wilma	11/20/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$45,577 of debris removal costs that the County claimed on Federal-aid roads that are the responsibility of the FHWA unless the County provides sufficient evidence that FHWA is not responsible for these costs (finding B).	2	FEMA
483	OIG-14-07-D	FEMA Should Recover \$154,143 of Public Assistance Grant Funds Awarded to Brevard County, Florida, under Hurricane Wilma	11/20/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$33,072 of costs the County claimed to repair damaged facilities for which the County failed to obtain and maintain insurance coverage to protect the facilities against future losses unless the County provides sufficient evidence that it obtained the required insurance (finding C).	3	FEMA
484	OIG-14-08-D	FEMA Should Recover \$615,613 of Public Assistance Grant Funds Awarded to Orlando Utilities Commission under Hurricane Jeanne	11/21/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$615,613 (Federal share \$554,053) of ineligible contract costs the Utility claimed unless FEMA grants the Utility an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines the costs were reasonable.	1	FEMA
485	OIG-14-08-D	FEMA Should Recover \$615,613 of Public Assistance Grant Funds Awarded to Orlando Utilities Commission under Hurricane Jeanne	11/21/2013	We recommend that the Regional Administrator, FEMA Region IV: Instruct FDEM to remind the Utility of the requirement to comply with applicable Federal procurement standards when acquiring goods and services under a FEMA award.	2	FEMA
486	OIG-14-09	Evaluation of DHS' Information Security Program for Fiscal Year 2013	11/21/2013	We recommend the Chief Information Security Officer ensure that all operational information systems have current authorization to operate.	2	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
487	OIG-14-09	Evaluation of DHS' Information Security Program for Fiscal Year 2013	11/21/2013	We recommend the Chief Information Security Officer improve the ISO's POA&M review process to ensure that all POA&Ms, including "Top Secret" systems, are being remediated timely and in compliance with DHS guidance.	3	MGMT
488	OIG-14-11-D	FEMA Should Recover \$6.1 Million of Public Assistance Grand Funds Awarded to Orlando Utilities Commission under Hurricane Frances	12/3/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$6,122,935 (Federal share \$5,510,642) of ineligible contract costs the Utility claimed unless FEMA grants the Utility an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines the costs were reasonable.	1	FEMA
489	OIG-14-11-D	FEMA Should Recover \$6.1 Million of Public Assistance Grand Funds Awarded to Orlando Utilities Commission under Hurricane Frances	12/3/2013	We recommend that the Regional Administrator, FEMA Region IV: Instruct FDEM to remind the Utility of the requirement to comply with Federal procurement standards when acquiring goods and services under a FEMA award.	2	FEMA
490	OIG-14-09	Evaluation of DHS' Information Security Program for Fiscal Year 2013	11/21/2013	We recommend the Chief Information Security Officer strengthen the Department's oversight on its "Top Secret" systems by performing critical control reviews on selected systems to ensure the required controls are implemented.	5	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
491	OIG-14-12-D	FEMA Should Recover \$10.9 Million of Improper Contracting Costs from Grant Funds Awarded to Columbus Regional Hospital, Columbus, Indiana	12/5/2013	We recommend that the Regional Administrator FEMA Region V: Direct IDHS to instruct the Hospital to comply with Federal procurement regulations in future disasters by- - Not using prohibited cost-plus-percentage-of-cost contracts; - Performing all procurements using open and free competition to the maximum extent practicable; - Including required provisions in its contracts; - Making positive efforts to use small businesses, minority-owned firms, and women's business enterprises whenever possible; - Performing a cost or price analysis on all procurements; and - Documenting the project requirements in its solicitations (finding B).	2	FEMA
492	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: review and remedy the questioned costs within the Oregon Office of Emergency Management's Planning, Training, Exercise, and M&A subgrants for FYs 2010 through 2012, returning to FEMA the cost of any ineligible expenditures, and reallocating to eligible activities funds that have not yet been spent.	1	FEMA
493	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: Require the Oregon Office of Emergency Management to develop proper procedures to support and document the number of hours employees work on Federal grant activities.	2	FEMA
494	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: Require the Oregon Office of Emergency Management to finalize and implement a monitoring plan to ensure that subgrantees comply with applicable Federal requirements. The monitoring plan should include a schedule for subgrantee site visits in addition to desk monitoring for all SHSP and UASI	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
495	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: Require the Oregon Office of Emergency Management to implement internal controls to ensure that its grant administration processes are consistent with its financial administration processes. These controls should include a process to ensure that the Office of Emergency Management's Initial Strategy Implementation Plans and Biannual Strategy Implementation Reports are accurate.	5	FEMA
496	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: Develop a process to verify that States accurately report projects as either State, local, or State Administrative Agency projects on their Initial Strategy Implementation Plans and Biannual Strategy Implementation Reports.	6	FEMA
497	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: Review all costs charged to the Oregon Office of Emergency Management's Planning, Training, Exercise, and M&A subgrants for the Homeland Security Grant Program for at least 3 years preceding the scope of our audit and remedy any ineligible expenditures.	8	FEMA
498	OIG-14-14	Oregon's Management of State Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	12/9/2013	We recommend that the Assistant Administrator, Federal Emergency Management Agency, Grant Programs Directorate: Review the accuracy of the Oregon Office of Emergency Management's M&A, personnel, and indirect costs charged to the Emergency Management Performance Grant Program, Interoperable Emergency Communications Grant Program, and Emergency Operations Center Grant Program and any other grants potentially impacted by the Office of Emergency Management's grant management practices.	9	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
499	OIG-14-15-D	The City of Chattanooga, Tennessee Properly Accounted for and Expended FEMA Public Assistance Grant Funds	12/11/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$44,353 (Federal share \$33,265) of ineligible contract fuel charges.	1	FEMA
500	OIG-14-15-D	The City of Chattanooga, Tennessee Properly Accounted for and Expended FEMA Public Assistance Grant Funds	12/11/2013	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$26,687 (Federal share \$20,015) of ineligible contract labor costs.	2	FEMA
501	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to complete a cycle of exercise activity that includes the stakeholders and organizations that would be involved in an actual incident or event.	10	FEMA
502	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to provide HSEEP training to individuals who have responsibility relevant to conducting exercises.	11	FEMA
503	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to establish and implement standard operating procedures to ensure compliance with Federal requirements concerning the use of grant funds for personnel activity costs.	14	FEMA
504	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to ensure that employees prepare activity reports, or develop a substitute system that can identify the grant against which employees' hours should be charged.	15	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
505	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to determine the amount of personnel costs, including the \$52,292 in costs associated with FY 2012 management and administration activities, which were inappropriately charged to the FYs 2008 through 2011 grants, and adjust the financial records to reflect the appropriate costs by grant year.	16	FEMA
506	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, disallow all claimed personnel costs for management and administration activities that were not in compliance with Federal requirements.	17	FEMA
507	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to establish a firm requirement for HSAC and working group meetings to be held quarterly or more frequently for the purpose of: - Providing a risk and capabilities based blueprint for comprehensive, Territory wide planning for the homeland security efforts; - Identifying realistic strategic goals, SMART objectives, and implementation steps that provide sufficient information on how goals and objectives would be achieved; - Monitoring State strategy progress, compiling key management information, tracking trends, and keeping the strategy on track; and, - Mitigating the political influences affecting homeland security management decisions.	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
508	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to require that each HSAC and Working Group meeting be fully documented, reviewed, and acknowledged by ASDHS officials; and, as appropriate, be forwarded to FEMA officials for review and approval.	4	FEMA
509	OIG-14-16	American Samoa's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	12/10/2013	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, American Samoa Department of Homeland Security to identify the stakeholders in exercise activities by contact information, areas of expertise, and prior exercise experience, and integrate the stakeholders into the exercise program.	9	FEMA
510	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that Coast Guard: Implement financial reporting policies and procedures that support process level internal controls to ensure that existing transactional and management review internal control activities are operating effectively.	A.1.b	USCG
511	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that MGMT: Improve communications with its general ledger service provider to ensure that general ledger activity is accounted for timely, completely and accurately.	A.2.a	MGMT
512	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that MGMT: Consider changes to the financial accounting and reporting structure to ensure effective internal control including supervisory reviews in all financial reporting processes.	A.2.b	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
513	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that MGMT: Examine existing policies and procedures and consider updates and new policies to accommodate different operating environments such as the Working Capital Fund.	A.2.c	MGMT
514	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that MGMT: Establish processes and internal controls to ensure compliance with the USSGL requirements at the transaction level.	A.2.d	MGMT
515	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that MGMT: Improve the accessibility of reliable and complete financial data for use by management and to support the annual audit.	A.2.e	MGMT
516	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Design and implement internal controls that ensure effective monitoring and communication of financial policies and procedures throughout the NPPD organization.	A.3.a	NPPD
517	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Examine key financial reporting processes for critical deficiencies in financial policies, establish procedures, and internal controls, and develop and implement corrective action plans, to ensure the accuracy and completeness of NPPD financial statements.	A.3.b	NPPD
518	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Establish processes and internal controls to ensure compliance with the USSGL requirements at the transaction level.	A.3.c	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
519	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Consider changes to the financial reporting structure, or the service level agreement with ICE, to ensure effective control in all financial reporting processes at NPPD.	A.3.d	NPPD
520	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Continue to analyze alternatives, including evaluation of systems, to enable FFMIA compliance.	A.3.e	NPPD
521	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that ICE: Emphasize and train employees on the critical aspects of key transactional and supervisory review controls including the precision of the review, the need for supporting documentation, and impact to the financial statements.	A.4.a	ICE
522	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that ICE: Continue to analyze alternatives, including evaluation of systems, to enable FFMIA compliance.	A.4.c	ICE
523	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that U.S. Secret Service evaluate the effectiveness of its review and understanding over the actuarial pension estimate, to ensure the appropriate review and recording of pension expense, gains, and losses.	A.5	USSS
524	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that the DHS OFM: Perform a review of its personnel structure in FY 2014, considering the turn-over in management and restructuring of responsibilities in FY 2013, to ensure that resources are committed to areas of greatest financial statement risk, and to improve the organization, facilitation, and controls over processes that involve multiple components.	A.6.a	OFM

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
525	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that the DHS OFM: Strengthen management review controls that involve analysis of component data to provide effective quality reviews of component data. Consider separating the gathering and consolidation of data, from the analysis function to improve the effectiveness of review controls.	A.6.b	OFM
526	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that the DHS OFM: Implement procedures to ensure application of policies is consistently performed throughout the Department. For example, computation and reporting of minimum future lease disclosures.	A.6.c	OFM
527	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that Coast Guard: Continue with planned remediation efforts, and adhere to existing policies and procedures associated with PP&E balances in FY 2014. Specifically, continue to implement controls over the transfer of completed CIP assets to in-use, accurately recording leasehold improvements, asset impairments, and CIP activity.	C.1.a	USCG
528	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that Coast Guard: Fully adhere to established inventory policies and procedures.	C.1.b	USCG
529	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that Coast Guard: Establish new or improve existing policies, procedures, and related internal controls to sufficiently support all assumptions, and underlying data for estimated personal and real property balances, including electronic, internal-use software, land, buildings and other structure assets.	C.1.c	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
530	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that Coast Guard: Establish new or improve existing processes to identify and evaluate lease agreements to ensure that they are appropriately classified as operating or capital, and are properly reported in the financial statements and related disclosures.	C.1.d	USCG
531	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that CBP: Reinforce existing policies and procedures for recording asset additions, reclassifications, and retirements.	C.2.a	CBP
532	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that Coast Guard: Develop and implement monitoring controls to ensure that management reviews of the monthly SF-132 to SF-133 reconciliations are completed timely and effectively.	D.1.d	USCG
533	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that FEMA: Enforce existing policies that require timely review and de-obligation of undelivered orders. Continue to improve procedures for storing and locating documentation supporting undelivered order information, including points of contact, so that supporting information is readily available for management review and audit purposes. Implement procedures to ensure that obligations recorded in the general ledger agreed to the approved obligation amounts.	D.2.a	FEMA
534	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Develop and implement processes to ensure that recoveries of prior year expired funds and adjustments to unfilled customer orders are recorded timely.	D.4.a	NPPD
535	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Improve controls over the verification and validation of undelivered orders to identify outstanding obligations that need to be closed out and/or adjusted for financial statement presentation.	D.4.b	NPPD

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
536	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that NPPD: Improve controls over reconciliations of budgetary accounts, and accurate recording of apportionments and obligations, and over periodic adjustments to obligations.	D.4.c	NPPD
537	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that MGMT: Develop and implement improved controls over budgetary accounting to ensure timely recording of apportionments and obligations, timely deobligations of invalid obligations, and reconciliation of balances.	D.5.a	MGMT
538	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend to the Department: Risk assessments should be improved at both the Department level by OCFO, and individual components annually, and updated during the year as needed.	E.1	DHS
539	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend to the Department: Communications between the Department and components should be improved to ensure, 1) Consistency in application of guidance and accounting policy (e.g., such as disclosures for future minimum lease payments in the financial statements, coordination of year-end close and recording top-side journal entries, and review of the effects of non-GAAP policies), 2) Understanding of roles and responsibilities between components and shared services providers (e.g., between ICE and NPPD and MGMT), and 3) Roles and responsibilities of program and field personnel that provide key financial information are fully defined and that those personnel understand and comply with policies.	E.2	DHS
540	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend to the Department: the Department and each component should design monitoring controls around its annual risk assessment to ensure transactions with higher risk of error are adequately monitored.	E.3	DHS

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
541	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that the Coast Guard complete a risk assessment at the beginning of FY 2014 to identify financial statement and control risk exposure in financial statement account balances. With the completion of PP&E remediation in FY 2013, the Coast Guard should reconsider resource needs, and direct appropriate management attention to address financial statement and control risks.	F.1	USCG
542	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that CBP: Related to the Entry Process: Develop policies and procedures, and provide training to port-level personnel related to the In-Bond Compliance Module.	H.2.a	CBP
543	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that CBP: Related to the Entry Process: Address limitations in reporting capabilities within the In-Bond Compliance Module.	H.2.b	CBP
544	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that CBP: Related to the Entry Process: Develop procedures to evaluate the completeness of the compliance review results submitted to CBP headquarters.	H.2.d	CBP
545	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that CBP: Related to the Entry Process: Continue to strengthen monitoring efforts related to bond sufficiency.	H.2.e	CBP
546	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that the Department continue its corrective actions to address internal control deficiencies, in order to ensure full compliance with FMFIA and its OMB Circular No. A-123 approved plan in FY 2014.	I.1	DHS

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
547	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that DHS improve its financial management systems to ensure compliance with the FFMIA, and implement the recommendations provided in Exhibits I and II in FY 2014.	J.1	DHS
548	OIG-14-18	Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting	12/11/2013	We recommend that the Department and the other components complete the internal reviews currently planned or being performed, and properly report the results in compliance with the ADA, where necessary.	L.1	DHS
549	OIG-14-19	United States Citizenship and Immigration Services' Employment-Based Fifth Preference (EB-5) Regional Center Program	12/12/2013	We recommend that the Director of the U.S. Citizenship and Immigration Services: Update and clarify the Federal regulations to - provide the U.S. Citizenship and Immigration Services with the authority to deny or terminate EB-5 regional center participants at any phase of the process when identifying know connections to national security and/or fraud risks without compromising any ongoing or potential investigation; make explicit that fraud and national security concerns can constitute cause for revocation of regional center status under 8 CFR 205.2; give the U.S. Citizenship and Immigration Services the authority to verify that the foreign funds were invested in companies creating U.S. jobs; and ensure requirements for the EB-5 regional center program are applied consistently to all participants.	1	USCIS
550	OIG-14-19	United States Citizenship and Immigration Services' Employment-Based Fifth Preference (EB-5) Regional Center Program	12/12/2013	We recommend that the Director of the U.S. Citizenship and Immigration Services: Develop memoranda of understanding with the Departments of Commerce and Labor and the Securities and Exchange Commission to provide expertise and involvement in the adjudication of applications and petitions for the EB-5 regional center program.	2	USCIS

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
551	OIG-14-19	United States Citizenship and Immigration Services' Employment-Based Fifth Preference (EB-5) Regional Center Program	12/12/2013	We recommend that the Director of the U.S. Citizenship and Immigration Services: Conduct comprehensive reviews to determine how EB-5 funds have actually stimulated growth in the U.S. economy in accordance with the intent of the program. If necessary, employ other specialists or work with other Federal agencies to assist and confirm the results.	3	USCIS
552	OIG-14-19	United States Citizenship and Immigration Services' Employment-Based Fifth Preference (EB-5) Regional Center Program	12/12/2013	We recommend that the Director of the U.S. Citizenship and Immigration Services: Establish quality assurance steps to promote program integrity and ensure that regional centers to comply with the Code of Federal Regulations requirements.	4	USCIS
553	OIG-14-21	DHS Home-to-Work Transportation	12/17/2013	We recommend that the Under Secretary for Management strengthen the DHS Manual 112-05-001 Home-to-Work Transportation with clear definitions and guidelines for eligibility to participate and establishing guidance for periodic reauthorization of all approved home-to-work authorizations.	1	USCG, CBP, FEMA, ICE, NPPD, USSS, TSA
554	OIG-14-21	DHS Home-to-Work Transportation	12/17/2013	We recommend that the Under Secretary for Management implement policies to ensure Components collect reliable information necessary to track, monitor, analyze, and report on home-to-work transportation use and costs.	2	MGMT
555	OIG-14-21	DHS Home-to-Work Transportation	12/17/2013	We recommend that the Under Secretary for Management enforce the DHS Manual 112-05-001 Home-to-Work Transportation annual reporting requirement.	3	MGMT
556	OIG-14-21	DHS Home-to-Work Transportation	12/17/2013	We recommend that the Under Secretary for Management perform a thorough assessment of the home-to-work transportation program annually to validate the accuracy and completeness of the information reported, Component monitoring efforts, compliance with DHS guidance, and home-to-work transportation participant eligibility.	4	MGMT

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
557	OIG-14-21	DHS Home-to-Work Transportation	12/17/2013	We recommend that the Under Secretary for Management implement a centralized, department-wide, home-to-work data system, accessible by headquarters and Component personnel, to collect, track, and monitor home-to-work transportation-related information, such as authorizations, costs, vehicle use, and number of users.	5	MGMT
558	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to develop and comply with a schedule for monitoring subgrantees using the subgrantee monitoring procedures.	11	FEMA
559	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to monitor subgrantee compliance with property management requirements.	13	FEMA
560	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to direct subgrantees to establish and maintain property management records in accordance with Federal requirements, conduct the required 2-year inventory inspections, and reconcile the results to the property records.	14	FEMA
561	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to develop and implement procedures to ensure compliance with the SHSP grant requirements concerning written consent from subgrantees when funds are retained by the State.	15	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
562	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to determine what the retained funds of \$3,275,509 were used for and evaluate if the funding and expenditures were for the benefit of each respective subgrantee.	16	FEMA
563	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office, if the funds that were retained were not used for the benefit of the subgrantees, the funds from expired grants should be returned to FEMA and the unexpired grant funds should be awarded to the appropriate subgrantees for their use.	17	FEMA
564	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to Develop and implement procedures, with appropriate controls (such as enforced deadlines), to ensure that grant funds are expended in a timely manner.	18	FEMA
565	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to complete a reconciliation of the expenditures in the Grant Management System to determine the accuracy and timeliness of the recordings. Funds for any claimed expenditures that cannot be supported should be returned to FEMA.	19	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
566	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to develop and implement procedures to ensure that future expenditures are accurately recorded in a timely manner in the Grant Management System, properly supported with appropriate documentation, and are readily reconcilable to supporting invoices and other related documents.	20	FEMA
567	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to establish and implement procedures to ensure that equipment procured is needed and ready for use as intended in a timely manner.	22	FEMA
568	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office, for the unused equipment observed during the audit: - Determine if the equipment is needed; - If the equipment is needed, ensure that appropriate maintenance is routinely conducted and that it is ready for use when needed; - If not needed, reassign the equipment to other subgrantees who do need it; or - If not needed in Hawaii, consult with FEMA on the best use for the unused equipment.	23	FEMA
569	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to develop a sustainability plan that includes future funding sources and options for achieving the federally required national information sharing capabilities.	24	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
570	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to focus the Center's goals and objective to include supporting national information sharing capabilities as contained in the FY 2011 Homeland Security Grant Program Guidance and Application Kit.	25	FEMA
571	OIG-14-25	Hawaii's Management of Homeland Security Grant Program Awards for Fiscal Years 2009 Through 2011	1/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Office of the Adjutant General, Grant Management Office to establish and enforce a protocol for assessing the Center's progress in achieving the goals and objectives included in the sustainability plan.	26	FEMA
572	OIG-14-26-D	George County, Mississippi, Successfully Managed FEMA's Hazard Mitigation Grant Funds - Hurricane Katrina	1/24/2014	We recommend that the Regional Administrator, FEMA Region IV: Require MEMA to correct the net \$146,617 Federal overpayment (finding A) by adjusting future Federal drawdowns for expenditures under the Hazard Mitigation Grant Program.	1	FEMA
573	OIG-14-27	(U) Evaluation of DHS' Intelligence Systems Compliance with Federal Information Security Management Act Requirements for Fiscal Year 2013	1/27/2014	This recommendation is classified.	2	USCG
574	OIG-14-27	(U) Evaluation of DHS' Intelligence Systems Compliance with Federal Information Security Management Act Requirements for Fiscal Year 2013	1/27/2014	This recommendation is classified.	3	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
575	OIG-14-27	(U) Evaluation of DHS' Intelligence Systems Compliance with Federal Information Security Management Act Requirements for Fiscal Year 2013	1/27/2014	This recommendation is classified.	4	USCG
576	OIG-14-27	(U) Evaluation of DHS' Intelligence Systems Compliance with Federal Information Security Management Act Requirements for Fiscal Year 2013	1/27/2014	This recommendation is classified.	5	USCG
577	OIG-14-28-D	FEMA Should Recover \$302,775 of Public Assistance Funds Awarded to the City of Oakland, California	1/29/2014	We recommend that the Regional Administrator, FEMA Region IX: Disallow \$194,037 (Federal share \$145,528) for Projects 941, 2470, 2550, 2814, 2948, 2959, and 3694 as unsupported unless the City provides adequate documentation to support these costs.	1	FEMA
578	OIG-14-28-D	FEMA Should Recover \$302,775 of Public Assistance Funds Awarded to the City of Oakland, California	1/29/2014	We recommend that the Regional Administrator, FEMA Region IX: Disallow \$67,173 (Federal share \$50,380) as ineligible for three small projects (2866, 2998, and 3158) that the City either did not perform or did not complete according to the approved scope of work.	2	FEMA
579	OIG-14-28-D	FEMA Should Recover \$302,775 of Public Assistance Funds Awarded to the City of Oakland, California	1/29/2014	We recommend that the Regional Administrator, FEMA Region IX: Disallow \$34,010 (Federal share \$25,508) for Projects 2470, 2550, 2814, 2948, and 2959 as ineligible work.	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
580	OIG-14-28-D	FEMA Should Recover \$302,775 of Public Assistance Funds Awarded to the City of Oakland, California	1/29/2014	We recommend that the Regional Administrator, FEMA Region IX: Deobligate \$7,555 (Federal share \$5,666) in unused funding from Projects 2550 and 2959 and put those funds to better use.	4	FEMA
581	OIG-14-28-D	FEMA Should Recover \$302,775 of Public Assistance Funds Awarded to the City of Oakland, California	1/29/2014	We recommend that the Regional Administrator, FEMA Region IX: Direct the State to review subgrantee closeout documentation promptly to ensure adherence with FEMA guidelines.	5	FEMA
582	OIG-14-31	Wyoming's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	2/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require WOHS to: Develop and implement a performance measurement plan to assess its progress in attaining preparedness and response capabilities and to support its self-assessment in the SPR.	1	FEMA
583	OIG-14-31	Wyoming's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	2/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require WOHS to: Include State, local, and tribal governments in the development of the Threat and Hazard Identification and Risk Assessment.	2	FEMA
584	OIG-14-31	Wyoming's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	2/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Develop and implement a process to validate the information reported in the SPRs.	4	FEMA
585	OIG-14-31	Wyoming's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	2/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require WOHS to: Develop and implement policies and procedures for day-to-day monitoring of grant funds usage to ensure compliance with applicable Federal requirements and achievement of HSGP goals.	7	FEMA

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586	OIG-14-31	Wyoming's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	2/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require WOHS to: Complete a review of the questioned costs and report its findings to FEMA for final determination and recovery of unallowable costs.	8	FEMA
587	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Modify the Global Enrollment System to allow CBP officers to establish links between family member records under a common profile.	11	CBP
588	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Establish and implement a policy for recording Trusted Traveler Program fees to ensure that the appropriate fees are collected and linked to applicant files in the Global Enrollment System.	12	CBP
589	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Continue to pursue eliminating the vehicle inspection during the initial SENTRI enrollment interview, and provide the DHS OIG with quarterly updates regarding actions taken until a decision is made.	14	CBP
590	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Provide adequate staffing for the redetermination process to ensure continuity and efficiency of operations.	15	CBP
591	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Automate the CBP redetermination process to enhance the efficiency and effectiveness of operations.	16	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
592	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Explore the feasibility and value of limiting CBP Officer hometown region assignments to further mitigate the risk of officer or agent misconduct and corruption.	3	CBP
593	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Internal Affairs: Expand covert testing to all CBP Trusted Traveler Programs.	4	CBP
594	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the Commissioner, U.S. Customs and Border Protection: Develop and implement a plan with CBP's union to establish a program to conduct random polygraph screening of all CBP officers.	5	CBP
595	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Establish and implement a policy to ensure that CBP officers with disciplinary action relating to a Trusted Traveler Program are not involved in adjudicating membership applications or renewals.	6	CBP
596	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Establish and standardize information exchanges between the Vetting Center, Enrollment Centers, and headquarters Trusted Traveler Programs staff to enhance information sharing, coordinate policies and procedures, and increase transparency of respective roles and responsibilities.	7	CBP
597	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Develop and implement a uniform training program for Enrollment Center staff that includes Trusted Traveler Programs overviews, policies, interviewing techniques, data system queries, and evaluating results.	8	

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
598	OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	2/18/2014	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Establish and disseminate a policy to ensure that officers enter comprehensive comments into the Global Enrollment System throughout all SENTRI processing stages.	9	CBP
599	OIG-14-33	U.S. Immigration and Customs Enforcement's Worksite Enforcement Administrative Inspection Process	2/11/2014	We recommend that the U.S. Immigration and Customs Enforcement Executive Associate Director for Homeland Security Investigations enforce its oversight procedures to ensure consistent application of the worksite enforcement strategy administrative inspection process nationwide.	1	ICE
600	OIG-14-33	U.S. Immigration and Customs Enforcement's Worksite Enforcement Administrative Inspection Process	2/11/2014	We recommend that the U.S. Immigration and Customs Enforcement Executive Associate Director for Homeland Security Investigations develop a process to evaluate the effectiveness of the administrative inspection process and modify the process based on the evaluation.	2	ICE
601	OIG-14-33	U.S. Immigration and Customs Enforcement's Worksite Enforcement Administrative Inspection Process	2/11/2014	We recommend that the U.S. Immigration and Customs Enforcement Executive Associate Director for Homeland Security Investigations to direct Homeland Security Investigations field offices to provide consistent, accurate, and timely reporting and reconciliation of information on worksite enforcement strategy administrative inspections.	3	ICE
602	OIG-14-36	USCIS Controls To Ensure Employers Sponsoring H-1B and L-1 Employees Pay Applicable Border Security Fee	2/12/2014	We recommend that the Associate Director, Service Center Operations: Develop a method to capture electronically employers' information related to the number of employees and composition of their workforce to facilitate USCIS data analysis in comparing employer information from one petition to another.	1	USCIS

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
603	OIG-14-36	USCIS Controls To Ensure Employers Sponsoring H-1B and L-1 Employees Pay Applicable Border Security Fee	2/12/2014	We recommend that the Associate Director, Service Center Operations: Implement procedures to compare the number of employees listed on Form I-129 against employer responses regarding their workforce.	2	USCIS
604	OIG-14-36	USCIS Controls To Ensure Employers Sponsoring H-1B and L-1 Employees Pay Applicable Border Security Fee	2/12/2014	We recommend that the Associate Director, Service Center Operations: Perform periodic reviews to identify employers who submit different fees for the same type of petitions.	3	USCIS
605	OIG-14-36	USCIS Controls To Ensure Employers Sponsoring H-1B and L-1 Employees Pay Applicable Border Security Fee	2/12/2014	We recommend that the Associate Director, Service Center Operations: Expand the use of data in VIBE to assess the reasonableness of employer declared data on the number and composition of employees.	4	USCIS
606	OIG-14-42	The USCG's Oversight of Recommendations from Deepwater Horizon After Action Reports	2/21/2014	We recommend that the Assistant Commandant for Response Policy (CG-5R) identify or develop a process to ensure that USCG initiatives and subsequent corrective actions, especially those developed as a result of recommendations from DWH after action reports, can be tracked back to individual recommendations.	1	USCG
607	OIG-14-42	The USCG's Oversight of Recommendations from Deepwater Horizon After Action Reports	2/21/2014	We recommend that the Assistant Commandant for Response Policy (CG-5R) evaluate the use of CPS or other USCG systems of record for tracking recommendations and corrective actions from oil spill response after action reports. Consider expanding the use of the identified system of record to all USCG after action reports.	2	USCG

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
608	OIG-14-44-D	FEMA Should Recover \$5.3 Million of the \$52.1 Million of Public Assistance Grant Funds Awarded to the Bay St. Louis Waveland School District in Mississippi - Hurricane Katrina	2/25/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$4,405,625 of ineligible costs the District claimed for contracts that it did not procure in accordance with Federal requirements, unless FEMA decides to grant an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines the costs are reasonable (finding A).	1	FEMA
609	OIG-14-44-D	FEMA Should Recover \$5.3 Million of the \$52.1 Million of Public Assistance Grant Funds Awarded to the Bay St. Louis Waveland School District in Mississippi - Hurricane Katrina	2/25/2014	We recommend that the Regional Administrator, FEMA Region IV: Instruct the State to remind subgrantees of their responsibility to comply with Federal procurement regulations and FEMA guidelines when acquiring goods and services under the FEMA award (finding A).	2	FEMA
610	OIG-14-44-D	FEMA Should Recover \$5.3 Million of the \$52.1 Million of Public Assistance Grant Funds Awarded to the Bay St. Louis Waveland School District in Mississippi - Hurricane Katrina	2/25/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$746,554 for ineligible contract costs the District received for mold remediation services under Project 8422 (finding B).	3	FEMA
611	OIG-14-44-D	FEMA Should Recover \$5.3 Million of the \$52.1 Million of Public Assistance Grant Funds Awarded to the Bay St. Louis Waveland School District in Mississippi - Hurricane Katrina	2/25/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$181,618 of duplicate benefits the District received from third-party donors for damaged items (finding C).	4	FEMA
612	OIG-14-44-D	FEMA Should Recover \$5.3 Million of the \$52.1 Million of Public Assistance Grant Funds Awarded to the Bay St. Louis Waveland School District in Mississippi - Hurricane Katrina	2/25/2014	We recommend that the Regional Administrator, FEMA Region IV: Reemphasize to the State and FEMA Region IV Public Assistance personnel their responsibility to adequately review costs subgrantees claim for compliance with Federal regulations and FEMA guidelines (finding D).	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
613	OIG-14-47	U.S. Customs and Border Protection's Advanced Training Center Acquisition	2/28/2014	We recommend that the CBP Deputy Commissioner: Implement policies, procedures, and internal controls to increase management oversight and to ensure agency compliance with all statutory, regulatory, departmental, and Component requirements governing the development, review, execution, and use of Interagency Agreements.	1	CBP
614	OIG-14-47	U.S. Customs and Border Protection's Advanced Training Center Acquisition	2/28/2014	We recommend that the CBP Deputy Commissioner: Develop and implement policies, processes, and internal controls to ensure CBP compliance with all statutory, regulatory, departmental, and Component requirements governing the development, review, execution, and use of Reimbursable Work Authorizations.	2	CBP
615	OIG-14-47	U.S. Customs and Border Protection's Advanced Training Center Acquisition	2/28/2014	We recommend that the CBP Deputy Commissioner: Develop and implement a plan to bring all outstanding Economy Act Reimbursable Work Authorizations into compliance with all statutory, regulatory, departmental, and Component requirements. The plan should include a detailed description of the actions to be taken; timelines, milestones, and progress reporting requirements; the identity of entities responsible for implementation; and any short and long-term funding requirements.	3	CBP
616	OIG-14-48	Vermont's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	3/7/2014	We recommend the Assistant Administrator, Grant Programs Directorate, Federal Emergency Management Agency require DPS to review and update its obligation and approval process to identify ways to shorten the process so subgrantees have sufficient time to procure and spend grant funds.	3	FEMA
617	OIG-14-48	Vermont's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	3/7/2014	We recommend the Assistant Administrator, Grant Programs Directorate, Federal Emergency Management Agency require DPS to ensure that subgrantees implement an inventory control policy and maintain property records that comply with 44 CFR § 13.32, as required.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
618	OIG-14-48	Vermont's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	3/7/2014	We recommend the Assistant Administrator, Grant Programs Directorate, Federal Emergency Management Agency require DPS to clarify the FY 2010 requirement to mark all equipment "Purchased with funds provided by the U.S. Department of Homeland Security." The State should determine which assets are deemed practicable to mark and ensure that the requirement is applied consistently.	5	FEMA
619	OIG-14-48	Vermont's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	3/7/2014	We recommend the Assistant Administrator, Grant Programs Directorate, Federal Emergency Management Agency require DPS to provide oversight of subgrantees' procurement practices to ensure competitive bidding for grant transactions.	6	FEMA
620	OIG-14-48	Vermont's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	3/7/2014	We recommend the Assistant Administrator, Grant Programs Directorate, Federal Emergency Management Agency require DPS to conduct on-site monitoring or other methods of monitoring programs, functions, and activities for State Homeland Security Program grants and clarify monitoring guidance.	7	FEMA
621	OIG-14-48	Vermont's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	3/7/2014	We recommend the Assistant Administrator, Grant Programs Directorate, Federal Emergency Management Agency require on-site monitors to document their reviews to ensure compliance with Federal inventory and procurement requirements and progress toward achieving performance goals.	8	FEMA
622	OIG-14-49-D	FEMA Should Recover \$8.2 Million of the \$14.9 Million of Public Assistance Grant Funds Awarded to the Harrison County School District, Mississippi - Hurricane Katrina	3/13/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$8,109,488 of ineligible costs the District claimed for contracts that did not comply with Federal requirements, unless FEMA decides to grant an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T Stafford Disaster Relief and Emergency Assistance Act, as amended (finding B).	2	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
623	OIG-14-49-D	FEMA Should Recover \$8.2 Million of the \$14.9 Million of Public Assistance Grant Funds Awarded to the Harrison County School District, Mississippi - Hurricane Katrina	3/13/2014	We recommend that the Regional Administrator, FEMA Region IV: Instruct the State to remind the District of its responsibility to comply with Federal procurement regulations and FEMA guidelines when acquiring goods and services under the FEMA award (finding B).	3	FEMA
624	OIG-14-49-D	FEMA Should Recover \$8.2 Million of the \$14.9 Million of Public Assistance Grant Funds Awarded to the Harrison County School District, Mississippi - Hurricane Katrina	3/13/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$61,958 of ineligible costs under small Projects 7878 and 8512 because the District received insurance proceeds to cover those costs (finding C).	4	
625	OIG-14-49-D	FEMA Should Recover \$8.2 Million of the \$14.9 Million of Public Assistance Grant Funds Awarded to the Harrison County School District, Mississippi - Hurricane Katrina	3/13/2014	We recommend that the Regional Administrator, FEMA Region IV: Reemphasize to the State its responsibility to ensure subgrantees are aware of Federal requirements and to adequately review subgrantees' costs for compliance with Federal regulations and FEMA guidelines (finding E).	6	FEMA
626	OIG-14-51-D	The City of Jacksonville, Florida, Successfully Accounted for and Expended FEMA Public Assistance Grant Funds Awarded for Tropical Storm Fay	3/19/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$28,865 (Federal share \$23,092) of ineligible contract costs the City claimed under Project 554 (finding A).	1	FEMA
627	OIG-14-51-D	The City of Jacksonville, Florida, Successfully Accounted for and Expended FEMA Public Assistance Grant Funds Awarded for Tropical Storm Fay	3/19/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$21,084 (Federal share \$16,867) of ineligible duplicate benefits the City received under Project 554 (finding B).	2	

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
628	OIG-14-52	Implementation Status of EINSTEIN 3 Accelerated	3/24/2014	We recommend that the Acting Assistant Secretary, Office of Cybersecurity and Communications develop implementation measures and a delivery timeline to monitor progress of the E3A program.	1	NPPD
629	OIG-14-52	Implementation Status of EINSTEIN 3 Accelerated	3/24/2014	We recommend that the Acting Assistant Secretary, Office of Cybersecurity and Communications update the PII training program to include the roles and responsibilities for the analysts to identify, minimize, and handle PII under E3A. A training schedule should be developed and offered periodically.	2	NPPD
630	OIG-14-52	Implementation Status of EINSTEIN 3 Accelerated	3/24/2014	We recommend that the Acting Assistant Secretary, Office of Cybersecurity and Communications revise standard operating procedures to include specifications of current and subsequent E3A operational capabilities as well as analysts' roles and responsibilities for identifying, minimizing, and handling PII under E3A.	3	NPPD
631	OIG-14-52	Implementation Status of EINSTEIN 3 Accelerated	3/24/2014	We recommend that the Acting Assistant Secretary, Office of Cybersecurity and Communications fix the identified vulnerabilities and out-of-compliance configuration settings on the Top Secret Mission Operating Environment or formally accept the residual risks for them in the appropriate Risk Management Matrix.	4	NPPD
632	OIG-14-53-D	FEMA Should Recover \$2.3 Million of Unsupported, Unused, and Ineligible Grant Funds Awarded to East Jefferson General Hospital, Metairie, Louisiana	3/21/2014	We recommend that the Regional Administrator FEMA Region VI: Disallow \$325,853 of unsupported contract costs unless the Hospital can provide adequate documentation to support these costs (finding A).	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
633	OIG-14-53-D	FEMA Should Recover \$2.3 Million of Unsupported, Unused, and Ineligible Grant Funds Awarded to East Jefferson General Hospital, Metairie, Louisiana	3/21/2014	We recommend that the Regional Administrator FEMA Region VI: Disallow \$135,319 of ineligible contract costs outside the approved project scopes of work and equipment costs not the legal responsibility of the Hospital (finding C)	3	FEMA
634	OIG-14-53-D	FEMA Should Recover \$2.3 Million of Unsupported, Unused, and Ineligible Grant Funds Awarded to East Jefferson General Hospital, Metairie, Louisiana	3/21/2014	We recommend that the Regional Administrator FEMA Region VI: Deobligate \$1,493,606 of unused Federal funds and put them to better use (finding D).	4	FEMA
635	OIG-14-54-D	FEMA Should Recover \$3.7 Million in Unneeded Funds and Review the Eligibility of \$344,319 of \$5.84 Million in Public Assistance Grant Funds Awarded to the Borough of Beach Haven, New Jersey, for Hurricane Sandy Debris Removal Activities	3/21/2014	We recommend the Director, New Jersey Sandy Recovery Office: Instruct the State to recover the \$651,592 of excess funds it advanced to the Borough under the project (finding B).	2	FEMA
636	OIG-14-54-D	FEMA Should Recover \$3.7 Million in Unneeded Funds and Review the Eligibility of \$344,319 of \$5.84 Million in Public Assistance Grant Funds Awarded to the Borough of Beach Haven, New Jersey, for Hurricane Sandy Debris Removal Activities	3/21/2014	We recommend the Director, New Jersey Sandy Recovery Office: Disallow \$321,229 (Federal share \$289,106) of unsupported costs unless the Borough provides additional documentation to adequately support these costs (finding C).	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
637	OIG-14-54-D	FEMA Should Recover \$3.7 Million in Unneeded Funds and Review the Eligibility of \$344,319 of \$5.84 Million in Public Assistance Grant Funds Awarded to the Borough of Beach Haven, New Jersey, for Hurricane Sandy Debris Removal Activities	3/21/2014	We recommend the Director, New Jersey Sandy Recovery Office: Disallow \$17,227 (Federal share \$15,504) as ineligible for unapplied credits the Borough received from the sale of disaster-related scrap metal unless FEMA authorizes the Borough to participate in the debris removal pilot program, which allows a subgrantee to retain income derived from recycled debris (finding D).	4	FEMA
638	OIG-14-54-D	FEMA Should Recover \$3.7 Million in Unneeded Funds and Review the Eligibility of \$344,319 of \$5.84 Million in Public Assistance Grant Funds Awarded to the Borough of Beach Haven, New Jersey, for Hurricane Sandy Debris Removal Activities	3/21/2014	We recommend the Director, New Jersey Sandy Recovery Office: Disallow \$5,863 (Federal share \$5,277) of ineligible, duplicate costs (finding E).	5	FEMA
639	OIG-14-56-D	Santa Cruz Port District Generally Followed Regulations for Spending FEMA Public Assistance Funds	3/24/2014	We recommend that the Regional Administrator, FEMA Region IX: Disallow \$56,878 (\$42,658 Federal share) as ineligible, duplicate costs.	1	FEMA
640	OIG-14-56-D	Santa Cruz Port District Generally Followed Regulations for Spending FEMA Public Assistance Funds	3/24/2014	We recommend that the Regional Administrator, FEMA Region IX: Disallow \$36,830 (\$27,622 Federal share) as unsupported costs unless the District can provide adequate documentation to support the costs.	2	FEMA
641	OIG-14-56-D	Santa Cruz Port District Generally Followed Regulations for Spending FEMA Public Assistance Funds	3/24/2014	We recommend that the Regional Administrator, FEMA Region IX: Disallow \$5,507 (\$4,130 Federal share) as ineligible costs.	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
642	OIG-14-56-D	Santa Cruz Port District Generally Followed Regulations for Spending FEMA Public Assistance Funds	3/24/2014	We recommend that the Regional Administrator, FEMA Region IX: Reemphasize to the State its grantee responsibilities and the need to provide FEMA better assurance on the timely closeout of large projects.	4	FEMA
643	OIG-14-57-D	FEMA Should Review the Eligibility of \$689,138 of \$5.57 Million in Public Assistance Grant Funds Awarded to Little Egg Harbor Township, New Jersey, for Hurricane Sandy Debris Removal Activities	3/24/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$296,769 (Federal share \$267,092) of unsupported force account labor costs unless the Township provides additional documentation to support the costs (finding A).	1	FEMA
644	OIG-14-57-D	FEMA Should Review the Eligibility of \$689,138 of \$5.57 Million in Public Assistance Grant Funds Awarded to Little Egg Harbor Township, New Jersey, for Hurricane Sandy Debris Removal Activities	3/24/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$31,444 (Federal share \$28,300) of ineligible force account labor costs that the Township planned to claim unless the Township provides evidence that the costs are eligible (finding A).	2	FEMA
645	OIG-14-57-D	FEMA Should Review the Eligibility of \$689,138 of \$5.57 Million in Public Assistance Grant Funds Awarded to Little Egg Harbor Township, New Jersey, for Hurricane Sandy Debris Removal Activities	3/24/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$338,448 (Federal share \$304,603) of unsupported force account equipment costs unless the Township provides additional documentation to support the costs (finding B).	3	FEMA
646	OIG-14-57-D	FEMA Should Review the Eligibility of \$689,138 of \$5.57 Million in Public Assistance Grant Funds Awarded to Little Egg Harbor Township, New Jersey, for Hurricane Sandy Debris Removal Activities	3/24/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$9,954 (Federal share \$8,959) of ineligible force account equipment costs that the Township planned to claim unless the Township provides evidence that the costs are eligible (finding B)	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
647	OIG-14-57-D	FEMA Should Review the Eligibility of \$689,138 of \$5.57 Million in Public Assistance Grant Funds Awarded to Little Egg Harbor Township, New Jersey, for Hurricane Sandy Debris Removal Activities	3/24/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$2,865 (Federal share \$2,579) of ineligible debris removal costs unless FEMA determines the costs are eligible (finding C).	5	FEMA
648	OIG-14-57-D	FEMA Should Review the Eligibility of \$689,138 of \$5.57 Million in Public Assistance Grant Funds Awarded to Little Egg Harbor Township, New Jersey, for Hurricane Sandy Debris Removal Activities	3/24/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$9,658 (Federal share \$8,692) as ineligible for unapplied credits the Township received from the sale of disaster-related scrap metal unless FEMA authorizes the Township to participate in the debris removal pilot program, which allows a subgrantee to retain income derived from recycled debris (finding D).	6	FEMA
649	OIG-14-58-D	The Village of Saltaire, New York, Generally Managed FEMA's Public Assistance Grant Funds Effectively	3/26/2014	We recommend that the Executive Director, New York Sandy Recovery Field Office, FEMA Disaster Number 4085-DR-NY: Direct the New York State Division of Homeland Security and Emergency Services to work with Saltaire officials to document direct administrative costs properly.	1	FEMA
650	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, continue to refine the drawback review process so that valid results and meaningful analysis of data can be provided and utilized by management.	A.3	CBP
651	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, identify and implement a robust audit process for ensuring in-bond shipments reach the intended destination.	C.1.1	CBP
652	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, continue to enhance the in-bond compliance module including the related systems tools.	C.1.2	CBP

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
653	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, provide additional training and guidance to personnel for the in-bond process.	C.1.3	CBP
654	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, continue to monitor the compliance review results and establish updated, formal guidance for monitoring and benchmarking performance.	C.2.1	CBP
655	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, continue to enhance procedures to determine whether all BW and FTZ facilities are subject to review and monitoring processes.	C.2.2	CBP
656	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, develop additional training and information-sharing processes as needed.	C.2.3	CBP
657	OIG-14-59	Independent Auditors' Report on U.S. Customs and Border Protection's FY 2013 Financial Statements	3/27/2014	We recommend that U.S. Customs and Border Protection, update its policies and procedures to establish the timeframes in which reports must be generated and reviewed. Additionally, CBP should continue to monitor the entry edit/exception reports through the Self Inspection Process and enforce adherence to policy.	C.3	CBP
658	OIG-14-61	Idaho's Management of Homeland Security Grant Program Awards For Fiscal Years 2010 Through 2012	4/3/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require IBHS to develop a regular monitoring plan and schedule to ensure that subgrantees comply with Federal requirements for maintaining grant records.	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
659	OIG-14-61	Idaho's Management of Homeland Security Grant Program Awards For Fiscal Years 2010 Through 2012	4/3/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require IBHS to evaluate and update current methods used to record state and subgrantee equipment purchases to ensure property records include all federally required data elements and ensure that physical inventories of equipment are conducted pursuant to Federal regulations.	2	FEMA
660	OIG-14-61	Idaho's Management of Homeland Security Grant Program Awards For Fiscal Years 2010 Through 2012	4/3/2014	We recommend that the Assistant Administrator, Grant Programs Directorate require IBHS to review and update its obligation and approval process to identify ways to streamline the process to work toward attaining the goal of obligating the funds to subgrantees within 45 days.	4	FEMA
661	OIG-14-62	Alaska's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/9/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Alaska Division of Homeland Security and Emergency Management to assess the current processes and procedures for allocating funds to ensure at least 80 percent of Homeland Security Grant Program funds are allocated to subgrantees as required.	1	FEMA
662	OIG-14-62	Alaska's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/9/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Alaska Division of Homeland Security and Emergency Management to develop comprehensive performance measurement systems for grant program goals and objectives that include target levels of performance and criteria against which to measure progress toward enhancing preparedness.	2	FEMA
663	OIG-14-62	Alaska's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/9/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Alaska Division of Homeland Security and Emergency Management to evaluate and update monitoring and oversight policies to ensure the policies and procedures align with Federal and state regulations as well as current practices.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
664	OIG-14-62	Alaska's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/9/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Alaska Division of Homeland Security and Emergency Management to ensure the SAA enforces all Federal and state policies and regulations for oversight of Federal grants.	5	FEMA
665	OIG-14-62	Alaska's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/9/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Alaska Division of Homeland Security and Emergency Management to ensure that any updated policies or guidance include a list of changes.	6	FEMA
666	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$1,419,000 of contract costs the City claimed under Project 4916 that the contractor did not bill according to the contract terms unless the City provides additional evidence to show the costs are eligible (finding A).	1	FEMA
667	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV Direct the City to comply with contract monitoring requirements for activities under the FEMA award (finding A).	2	FEMA
668	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$248,586 of ineligible costs the City claimed for contracts that it did not procure in accordance with Federal requirements, unless FEMA decides to grant an exception for all or part of the costs as provided for in 44 CFR 13.6(c) and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (finding B).	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
669	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV: Direct the State to advise its subgrantees of their responsibility to comply with Federal procurement regulations and FEMA guidelines when acquiring goods and services with FEMA funds (finding B).	4	FEMA
670	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV: Direct the City to remit \$21,440 of interest earned on FEMA advanced funds so that FEMA can put those funds to better use (finding C).	5	
671	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV: Direct the State to advise subgrantees of their responsibility to remit any interest earned on FEMA advanced funds at least quarterly (less \$100 allowed yearly for administrative costs), or reduce its requests to the State for reimbursement of project expenditures by any interest earned amount (finding C).	6	FEMA
672	OIG-14-63-D	FEMA Should Recover \$1.7 Million of Public Assistance Grant Funds Awarded to the City of Waveland, Mississippi - Hurricane Katrina	4/15/2014	We recommend that the Regional Administrator, FEMA Region IV: Remind the State that it is the grantee's responsibility to adequately review costs subgrantees claim for adherence to Federal regulations and FEMA guidelines (finding D).	7	
673	OIG-14-72-D	FEMA Should Review the Eligibility of \$523,007 of \$5.4 Million in Public Assistance Grand Funds Awarded to the Borough of Belmar, New Jersey, for Hurricane Sandy Debris Removal Activities	4/22/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$285,054 (Federal share \$256,549) of ineligible contracting costs that did not comply with Federal procurement standards unless FEMA grants an exception for all or parts of the costs as provided for in 44 CFR 13.6(c) and if FEMA determines the costs are reasonable pursuant to Section 705(c)(1) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (finding A).	1	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
674	OIG-14-72-D	FEMA Should Review the Eligibility of \$523,007 of \$5.4 Million in Public Assistance Grand Funds Awarded to the Borough of Belmar, New Jersey, for Hurricane Sandy Debris Removal Activities	4/22/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$53,147 (Federal share \$47,832) of ineligible force account labor costs unless the Borough provides evidence that the costs are eligible (finding B).	2	FEMA
675	OIG-14-72-D	FEMA Should Review the Eligibility of \$523,007 of \$5.4 Million in Public Assistance Grand Funds Awarded to the Borough of Belmar, New Jersey, for Hurricane Sandy Debris Removal Activities	4/22/2014	We recommend that the Director, New Jersey Sandy Recovery Office: Disallow \$184,806 (Federal share \$166,325) of unsupported costs unless the Borough provides additional documentation to support the costs (finding C).	3	FEMA
676	OIG-14-81	Iowa's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/24/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Ensure that HSEMD properly monitors and oversees its subgrantees' procurements to make certain that they comply with applicable Federal procurement regulations.	1	FEMA
677	OIG-14-81	Iowa's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/24/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Ensure that HSEMD reviews these non-competitive procurements to make certain that subgrantees properly justified them in accordance with applicable Federal procurement regulations. If not, recover the \$256,634 in unallowable SHSP grant funds expenditures.	2	FEMA
678	OIG-14-81	Iowa's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/24/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Require HSEMD to review and update its obligation and approval process to identify ways to shorten the time needed to meet grant obligation requirements.	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
679	OIG-14-81	Iowa's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/24/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Require HSEMD to develop and implement a monitoring plan to ensure that subgrantees comply with all Federal and State monitoring guidelines. The monitoring plan should include sample methodology and guidance for documenting onsite reviews, and follow-up procedures for recommended corrective actions.	4	FEMA
680	OIG-14-81	Iowa's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	4/24/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Require HSEMD to ensure that subgrantees maintain property and inventory records in accordance with Federal requirements.	5	FEMA
681	OIG-14-82	DHS Conference Spending	4/25/2014	We recommend that the Department of Homeland Security, Office of the Chief Financial Officer revise the Financial Management Policy Manual to require components to- a) Document assumptions and methodologies used to develop estimates on the Conference Activity form b) Update cost estimates and resubmit conference approval requests when there is a change in planning assumptions that will affect costs, such as location, fees, venue, attendance or dates.	1	CFO
682	OIG-14-82	DHS Conference Spending	4/25/2014	We recommend that the Department of Homeland Security, Office of the Chief Financial Officer require the Risk Management and Assurance Division to test the accuracy of conference costs reported to the Department in its future Annual Quality Reviews.	2	CFO
683	OIG-14-82	DHS Conference Spending	4/25/2014	We recommend that the Department of Homeland Security, Office of the Chief Financial Officer work with component senior financial officials to ensure accurate and complete reporting of conference costs incurred. These requirements should ensure that adequate documentation, such as invoices, travel reports, contract files, and conference agendas are maintained in a manner that facilitates timely audit or review.	3	CFO

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
684	OIG-14-82	DHS Conference Spending	4/25/2014	We recommend that the Department of Homeland Security, Office of the Chief Financial Officer require the Risk Management and Assurance Division, as part of its Annual Quality Control Review, to determine whether components maintain adequate documentation to support all reported conference-related costs. This determination should be made in conjunction with testing to evaluate the accuracy of reported conference-related costs.	4	CFO
685	OIG-14-82	DHS Conference Spending	4/25/2014	We recommend the Department of Homeland Security, Office of the Chief Financial Officer strengthen its Financial Management Policy Manual by: a) Establishing a maximum threshold allowable, with any limited exceptions approved only by DHS, for external event planning costs. This threshold should represent a maximum amount considered reasonable and necessary to identify potential conference locations and develop itemized cost estimates needed to submit a conference approval request. b) Requiring components to identify the amount of firm-fixed pricing and obtain approval for any external conference planning contracts that contain such pricing prior to contract award. c) Requiring components to demonstrate that external conference planners consider the use of Government-owned conference facilities before considering private facilities.	5	CFO
686	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to change its time allocation system to record the actual activity of staff, or get approval from FEMA on its current time allocation system.	10	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
687	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to verify that subgrantee procurement procedures are in compliance with Federal and state procurement regulations.	2	FEMA
688	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Review and remedy the \$89,500 in questioned costs for the two purchases made by subgrantees that did not comply with Federal and state procurement policies, returning to FEMA the cost of any unallowable expenditures.	3	FEMA
689	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to verify and document that equipment purchased by subgrantees is on the Authorized Equipment List, prior to reimbursement.	4	FEMA
690	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to obtain written consent from local jurisdictions prior to spending funds on their behalf.	5	FEMA
691	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to review and update its obligation and approval process to identify ways to shorten the process so subgrantees have sufficient time to procure and spend their grant funds.	6	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
692	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to document the methodology it uses to determine which subgrantees will be reviewed annually. This documentation should provide the total percentage of Federal funds that will be audited, as well as the percentage-breakdown of each Federal grant, including SHSP grant funding.	7	FEMA
693	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to conduct and document onsite monitoring of subgrantee programs, functions, and activities to ensure compliance with applicable Federal requirements and progress toward achieving performance goals.	8	FEMA
694	OIG-14-86	Maine's Management of Homeland Security Grant	4/28/2014	We recommend the FEMA Assistant Administrator, Grant Programs Directorate: Require MEMA to develop and implement procedures to ensure that all SHSP inventory records comply with the Code of Federal Regulations.	9	FEMA
695	OIG-14-87	Alabama's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require Alabama Department of Homeland Security (ALDHS) to obligate 80 percent of State Homeland Security Program (SHSP) funds to local units of government or obtain written consent from its local units of government before funding statewide initiatives.	1	FEMA
696	OIG-14-87	Alabama's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require ALDHS to assess and streamline the current process and procedures for obligating funds to subgrantees so that funds are obligated within 45 days.	2	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
697	OIG-14-87	Alabama's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grants Program Directorate require ALDHS to deny reimbursement claims for subgrantees that do not follow ALDHS' approval process as required by the grant agreements.	3	FEMA
698	OIG-14-87	Alabama's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require ALDHS to ensure that subgrantees maintain property records and perform a physical inventory as required by 44 CFR § 13.32(d).	5	FEMA
699	OIG-14-87	Alabama's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Federal Emergency Management Agency Assistant Administrator, Grant Programs Directorate require ALDHS to provide oversight of subgrantees' procurement practices to ensure competitive bidding for transactions over the established thresholds.	6	FEMA
700	OIG-14-89	South Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, South Dakota Office of Homeland Security to ensure that the new office based and on-site monitoring procedures provide an adequate protocol for monitoring subgrantees to include: a. tools, such as performance monitoring checklists, for evaluating subgrantee compliance with Federal requirements, and b. criteria and methodologies for assessing subgrantees efficiency and effectiveness in accomplishing program objectives.	2	FEMA
701	OIG-14-89	South Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, South Dakota Office of Homeland Security to provide sufficient resources to fully implement the new monitoring procedures.	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
702	OIG-14-89	South Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, South Dakota Office of Homeland Security to review the process used to obligate SHSP funding to subgrantees to identify ways to shorten the process to ensure that funds are available to subgrantees in a timelier manner.	4	FEMA
703	OIG-14-89	South Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, South Dakota Office of Homeland Security to develop and implement procedures, with appropriate controls such as enforced deadlines, to ensure that grant funds are expended in a timely manner, with special emphasis on the state agencies.	5	FEMA
704	OIG-14-89	South Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, South Dakota Office of Homeland Security to communicate and reinforce Federal requirements for subgrantees to maintain property management systems and property records for equipment purchased with Federal funds.	6	FEMA
705	OIG-14-89	South Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, South Dakota Office of Homeland Security to verify subgrantee compliance with property management requirements.	7	FEMA
706	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota Department of Emergency Services to consider allocating more resources to subgrantee monitoring in order to meet the NDDDES requirement of completing site visits for all subgrantees over a 3-year period.	10	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
707	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, FEMA, require the Director, North Dakota Department of Emergency Services to review the current procedures to award SHSP funds to local governments to streamline the process to ensure that funds are available to subgrantees in a timely manner.	2	FEMA
708	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota's Department of Emergency Services to establish and implement procedures to ensure compliance with Federal time reporting requirements for personnel costs charged to Federal awards by requiring employees to prepare activity reports, or develop a substitute system, to identify hours charged on Federal awards by activity.	3	FEMA
709	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota's Department of Emergency Services to document that personnel costs claimed for FY 2010 FEMA award were actually expended on SHSP activities and that activities were beneficial to the FEMA awards by providing documentation that adequately supports the \$686,710 questioned as valid charges to the FEMA award, or refund the amount not supported to FEMA.	4	FEMA
710	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota Department of Emergency Services to develop and implement procedures, with appropriate internal controls, to ensure that SHSP grant funds are expended in a timely manner and within the grant period.	5	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
711	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota Department of Emergency Services to develop property management procedures to ensure compliance with Federal property management requirements and incorporate the property management requirements in the notice of grant awards special conditions section of the awards.	6	FEMA
712	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota Department of Emergency Services to: Ensure that all subgrantees have adequate property management systems that comply with Federal requirements for equipment purchased with Federal funds.	7	FEMA
713	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota Department of Emergency Services to assess the protocol for monitoring subgrantees to include: - reviewing the performance monitoring checklist for evaluating subgrantee compliance with Federal requirements, such as procurement and equipment inventories, and - determining criteria and methodologies for assessing subgrantee efficiency and effectiveness in accomplishing program objectives.	8	FEMA
714	OIG-14-90	North Dakota's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	5/2/2014	We recommend that the Assistant Administrator, Grant Programs Directorate, require the Director, North Dakota Department of Emergency Services to implement the procedures for subgrantee monitoring that was revised in 2013 and determine whether the procedures need to be more specific.	9	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
715	OIG-14-91-D	FEMA Could Realize Millions in Savings by Strengthening Policies and Internal Controls Over Grant Funding for Permanently Relocated Damaged Facilities	5/6/2014	Recommendation We recommend that the Associate Administrator, Office of Response and Recovery: Review the current policy on when FEMA will participate in program income and (1) determine the best time within the grant cycle to end the program income requirement (project closeout, or FEMA-State Agreement Closeout); and (2) research the viability of placing a lien on or Notice of Federal Interest on properties when FEMA pays to permanently relocate damaged facilities	1	FEMA
716	OIG-14-91-D	FEMA Could Realize Millions in Savings by Strengthening Policies and Internal Controls Over Grant Funding for Permanently Relocated Damaged Facilities	5/6/2014	We recommend that the Associate Administrator, Office of Response and Recovery: Develop internal controls to ensure that applicants report program income from vacated facilities to offset project costs when FEMA funds the land and/or ancillary facilities to relocate the original facility.	2	
717	OIG-14-93	New Hampshire's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the New Hampshire Grants Management Unit to evaluate and abbreviate its process for approving and obligating subgrantee awards.	1	
718	OIG-14-93	New Hampshire's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Direct and ensure that the Grants Management Unit complies with Federal requirements and grant guidance as it relates to subawarding funds to local jurisdictions (80 percent pass-through requirement) or retaining funds with written consent of local subgrantees.	3	FEMA
719	OIG-14-93	New Hampshire's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Require the New Hampshire Grants Management Unit to establish an internal deadline for site visits during closeout audits.	4	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
720	OIG-14-93	New Hampshire's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Direct the New Hampshire Grants Management Unit to develop and implement procedures to ensure that inventory records comply with 44 CFR §13.32.	5	FEMA
721	OIG-14-93	New Hampshire's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012	5/7/2014	We recommend that the Assistant Administrator, Grant Programs Directorate: Ensure the New Hampshire Grants Management Unit compiles and provides reconciled inventory records for fiscal years 2010-12 SHSP to meet Federal requirements.	6	FEMA
722	OIG-14-95-D	FEMA Should Recover \$8.0 Million of \$26.6 Million in Public Assistance Grant Funds Awarded to St. Stanislaus College Preparatory in Mississippi - Hurricane Katrina	5/22/2014	We recommend that the Regional Administrator, FEMA Region IV: Disallow \$8,012,665 of ineligible costs that St. Stanislaus claimed for contracts that did not comply with Federal requirements, unless FEMA decides to grant an exception for all or part of the costs as provided for in Section 705(c) of the Robert T Stafford Disaster Relief and Emergency Assistance Act, as amended, and determines that the costs are reasonable (finding A).	1	FEMA
723	OIG-14-95-D	FEMA Should Recover \$8.0 Million of \$26.6 Million in Public Assistance Grant Funds Awarded to St. Stanislaus College Preparatory in Mississippi - Hurricane Katrina	5/22/2014	We recommend that the Regional Administrator, FEMA Region IV: Direct the State to remind St. Stanislaus of its responsibility to comply with Federal procurement regulations when acquiring goods and services with FEMA funds (finding A).	2	FEMA
724	OIG-14-95-D	FEMA Should Recover \$8.0 Million of \$26.6 Million in Public Assistance Grant Funds Awarded to St. Stanislaus College Preparatory in Mississippi - Hurricane Katrina	5/22/2014	We recommend that the Regional Administrator, FEMA Region IV: Remind the State of its grantee responsibilities to ensure that subgrantees are aware of and comply with Federal regulations (finding B).	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
725	OIG-14-99	Radio Frequency Identification Security at USCIS Is Managed Effectively, But Can Be Strengthened	6/5/2014	We recommend that the USCIS Chief Information Officer expedite CPSTR's integration into the USCIS network to facilitate the timely identification and deployment of security patches to protect the sensitive information processed and stored by the system.	1	USCIS
726	OIG-14-99	Radio Frequency Identification Security at USCIS Is Managed Effectively, But Can Be Strengthened	6/5/2014	We recommend that the USCIS Chief Information Officer perform the required assessments periodically to evaluate the effectiveness of management, operational, and technical security controls implemented in ICPS and document the assessment results.	2	USCIS
727	OIG-14-99	Radio Frequency Identification Security at USCIS Is Managed Effectively, But Can Be Strengthened	6/5/2014	We recommend that the USCIS Chief Information Officer implement procedures to ensure and verify that ICPS users receive the required privacy awareness training annually.	3	USCIS
728	OIG-14-104-D	FEMA Should Recover \$764,968 of Public Assistance Program Grant Funds Awarded to the University of Hawaii, Honolulu, Hawaii	6/10/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$36,909 (\$27,682 Federal share) in ineligible engineering costs for Project 3 (finding A).	1	FEMA
729	OIG-14-104-D	FEMA Should Recover \$764,968 of Public Assistance Program Grant Funds Awarded to the University of Hawaii, Honolulu, Hawaii	6/10/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$317,777 (\$238,333 Federal share) in excessive engineering costs for Project 3, unless FEMA can establish and document the eligibility and reasonableness of these costs (finding A).	2	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
730	OIG-14-104-D	FEMA Should Recover \$764,968 of Public Assistance Program Grant Funds Awarded to the University of Hawaii, Honolulu, Hawaii	6/10/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$321,003 (\$240,752 Federal share) in ineligible project improvement costs for Project 4 (finding B).	3	FEMA
731	OIG-14-104-D	FEMA Should Recover \$764,968 of Public Assistance Program Grant Funds Awarded to the University of Hawaii, Honolulu, Hawaii	6/10/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$73,906 (\$55,430 Federal share) in ineligible, duplicate costs the University claimed for Project 2 (finding C).	4	FEMA
732	OIG-14-104-D	FEMA Should Recover \$764,968 of Public Assistance Program Grant Funds Awarded to the University of Hawaii, Honolulu, Hawaii	6/10/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$15,373 (\$11,530 Federal share) in ineligible costs for Project 3 that were unrelated to the disaster (finding D).	5	FEMA
733	OIG-14-105	U.S. Immigration and Customs Enforcement's Management of the Federal Employees' Compensation Act Program	6/11/2014	We recommend that the Acting Human Capital Officer, U.S. Immigration and Customs Enforcement develop and implement policies and procedures for the effective management of workers compensation cases. The policies and procedures should address: -Reviewing claims for completeness, accuracy, and sufficient evidence; -Identifying third party liability; -Challenging questionable claims; -Recovering salaries for denied cases; -Managing cases; -Maintaining records; and -Training personnel with workers' compensation responsibilities.	1	ICE
734	OIG-14-105	U.S. Immigration and Customs Enforcement's Management of the Federal Employees' Compensation Act Program	6/11/2014	We recommend that the Acting Human Capital Officer, U.S. Immigration and Customs Enforcement develop and implement a policy for providing light duty assignments to claimants cleared to return to work with restrictions.	2	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
735	OIG-14-105	U.S. Immigration and Customs Enforcement's Management of the Federal Employees' Compensation Act Program	6/11/2014	We recommend that the Chief Human Capital Officer, Department of Homeland Security develop and implement policies and procedures that establish Department of Homeland Security and Component requirements for the effective management and administration of Federal Employees' Compensation Act programs within the Department.	3	MGMT
736	OIG-14-106-D	FEMA's Response to the Disaster in Galena, Alaska	6/17/2014	We recommend that the Associate Administrator for Response and Recovery, FEMA, to determine if, under Section 307 of the Stafford Act (Use of Local Firms and Individuals (42 U.S.C. 5150)), FEMA can require, to the extent feasible and practicable, grantees and subgrantees to use local geographic preferences in the evaluation of bids and proposals.	2	FEMA
737	OIG-14-106-D	FEMA's Response to the Disaster in Galena, Alaska	6/17/2014	We recommend that the Associate Administrator for Response and Recovery, FEMA in accordance with Executive Order 13175-Consultation and Coordination with Indian Tribal Governments-issue a consultation policy to address cultural gaps that exist with Tribes.	3	
738	OIG-14-107-D	FEMA Should Recover \$1.3 Million of Public Assistance Grant Funds Awarded to Desire Street Ministries, New Orleans, Louisiana, for Hurricane Katrina	6/17/2014	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$1,297,421 of ineligible contract costs that did not comply with Federal procurement standards, unless FEMA decides to grant an exception for all or part of the costs as provided for in 2 CFR 215.4 and Section 705(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (finding A).	1	FEMA
739	OIG-14-107-D	FEMA Should Recover \$1.3 Million of Public Assistance Grant Funds Awarded to Desire Street Ministries, New Orleans, Louisiana, for Hurricane Katrina	6/17/2014	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$3,794 of ineligible overpayments (finding B).	2	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
740	OIG-14-107-D	FEMA Should Recover \$1.3 Million of Public Assistance Grant Funds Awarded to Desire Street Ministries, New Orleans, Louisiana, for Hurricane Katrina	6/17/2014	We recommend that the Regional Administrator, FEMA Region VI: Disallow \$1,597 in eligible sales tax (finding C).	3	FEMA
741	OIG-14-107-D	FEMA Should Recover \$1.3 Million of Public Assistance Grant Funds Awarded to Desire Street Ministries, New Orleans, Louisiana, for Hurricane Katrina	6/17/2014	We recommend that the Regional Administrator, FEMA Region VI: Direct Louisiana to monitor Desire Street Ministries' construction of the alternate project to ensure compliance with Federal regulations and FEMA guidelines (finding D).	4	FEMA
742	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$241,755 (Federal share \$181,316) of ineligible contract costs that do not comply with Federal procurement regulations and are excessive and unreasonable according to FEMA guidelines, unless the District can provide proper documentation to support these costs (finding A).	1	FEMA
743	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Review the items of work for all engineering costs the District claimed and disallow (or reallocate) those costs that are not eligible engineering services (findings A and E).	2	FEMA
744	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$13,500 (Federal share \$10,125) in ineligible project costs that the statutory administrative allowance covers (finding B).	3	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
745	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Disallow \$3,233 (Federal share \$2,425) in ineligible costs that are not related to the disaster (finding C).	4	FEMA
746	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Ensure the District and California accurately reflect in its final claim the \$20,542 in proceeds the District received from its insurance provider (finding D).	5	FEMA
747	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Advise California to instruct its subgrantees to pursue all insurance proceeds for which they are entitled and deduct such proceeds from their final claims (finding D).	6	FEMA
748	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Review all costs claimed as engineering to determine actual engineering costs versus those costs eligible under the statutory administrative allowance, and instruct the District and California to claim all applicable costs under that allowance and exclude all other costs that are not direct project costs from the District's final claim (finding E).	7	FEMA
749	OIG-14-109-D	FEMA Should Recover \$258,488 of Public Assistance Grant Funds Awarded to the Graton Community Services District, California	6/25/2014	We recommend that the Acting Regional Administrator, FEMA Region IX: Direct California to improve its oversight and coordination of Federal grant funding to ensure that its subgrantees comply with Federal regulations and FEMA guidelines governing proper procurement, reasonable costs, insurance, and the statutory administrative allowance (findings A to F).	8	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
750	OIG-14-110-D	Mitigation Planning Shortfalls Precluded FEMA Hazard Mitigation Grants to Fund Residential Safe Room Construction During the Disaster Recovery Phase	6/25/2014	We recommend that the FEMA Associate Administrator, Federal Insurance and Mitigation Administration, work proactively with grantees to develop a strategy to enhance the development and maintenance of local mitigation plans.	1	FEMA
751	OIG-14-112	U.S. Citizenship and Immigration Services Information Technology Management Progress and Challenges	7/3/2014	We recommend that the Chief Information Officer, U.S. Citizenship and Immigration Services: Finalize and communicate USCIS' IT Strategic Plan to ensure that IT supports the mission of USCIS and the Department.	1	USCIS
752	OIG-14-112	U.S. Citizenship and Immigration Services Information Technology Management Progress and Challenges	7/3/2014	We recommend that the Chief Information Officer, U.S. Citizenship and Immigration Services: Develop and implement a plan of action and milestones to address senior level staffing vacancies including Chief of Staff, Chief Technology Officer, and Chief, Strategic Vendor Management.	2	USCIS
753	OIG-14-112	U.S. Citizenship and Immigration Services Information Technology Management Progress and Challenges	7/3/2014	We recommend that the Chief Information Officer, U.S. Citizenship and Immigration Services: Coordinate with the owners of ELIS and EDMS to ensure users are provided with adequate training.	3	USCIS
754	OIG-14-112	U.S. Citizenship and Immigration Services Information Technology Management Progress and Challenges	7/3/2014	We recommend that the Chief Information Officer, U.S. Citizenship and Immigration Services: Develop and communicate a plan of action and milestones to refresh outdated IT infrastructure, including computers, printers, and software.	4	USCIS
755	OIG-14-113	Domestic Nuclear Detection Office Has Taken Steps To Address Insider Threat, but Challenges Remain	7/21/2014	We recommend that the Director of DNDO implement insider threat procedures, upon receipt of policy issued by DHS OCIO that defines roles and responsibilities for addressing insider risks to unclassified networks and systems.	1	DNDO

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
756	OIG-14-113	Domestic Nuclear Detection Office Has Taken Steps To Address Insider Threat, but Challenges Remain	7/21/2014	We recommend that the Director of DNDO provide documentation that clearly shows the effectiveness of controls or processes in place to detect and respond to unauthorized data exfiltration from DNDO unclassified IT assets via email services provided by OCIO.	2	DNDO
757	OIG-14-113	Domestic Nuclear Detection Office Has Taken Steps To Address Insider Threat, but Challenges Remain	7/21/2014	We recommend that the Director of DNDO disable portable media ports on unclassified IT devices under direct DNDO control where no business need exists to have them enabled.	3	DNDO
758	OIG-14-113	Domestic Nuclear Detection Office Has Taken Steps To Address Insider Threat, but Challenges Remain	7/21/2014	We recommend that the Director of DNDO apply critical security patches on DNDO IT assets in accordance with DHS security policy.	4	DNDO
759	OIG-14-113	Domestic Nuclear Detection Office Has Taken Steps To Address Insider Threat, but Challenges Remain	7/21/2014	We recommend that the Director of DNDO perform periodic security assessments of DNDO sites to identify unauthorized wireless devices or connections.	5	DNDO
760	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Disallow \$2,740,002 (Federal share \$2,466,002) of ineligible contract costs the County claimed for debris removal activities (finding A) unless FEMA determines the costs were reasonable under the circumstances.	1	FEMA
761	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Disallow \$569,214 (Federal share \$512,293) of ineligible costs the County claimed for the management of debris sites (finding B) unless FEMA determines the costs were reasonable under the circumstances.	2	FEMA

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
762	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Disallow \$25,000 of costs insurance covered unless the County provides evidence that insurance did not cover the costs (finding C).	3	FEMA
763	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Disallow \$170,511 (Federal share \$153,460) for unsupported costs unless the County provides documentation adequate to support the costs (finding D).	4	FEMA
764	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Disallow \$48,485 (Federal share \$43,637) of ineligible costs for project work that the County did not complete (finding E) unless Alabama grants the County a time extension to complete the work.	5	FEMA
765	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Deobligate and put to better use \$344,552 of unneeded Federal funding (finding F).	6	FEMA
766	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Direct Alabama to recover \$60,910 of overpayments it made to the County under Projects 1832, 1833, 1834, and 1953 (finding G).	7	FEMA
767	OIG-14-114-D	FEMA Should Recover \$3.9 Million of Public Assistance Grand Funds Awarded to Jefferson County, Alabama, as a Result of Severe Storms in April 2011	7/21/2014	We recommend that the Acting Regional Administrator, FEMA Region IV: Reemphasize to Alabama the requirement to properly review costs subgrantees claim for adherence to Federal regulations and FEMA guidelines (finding H).	8	

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
768	OIG-14-115-D	New York City's Department of Design and Construction Needs Assistance To Ensure Compliance with Federal Regulations	7/21/2014	We recommend that the Executive Director, Hurricane Sandy Recovery Field Office: Review the reasonableness of the \$14.3 million that the New York City Department of Design and Construction is claiming for contract costs, and disallow any cost FEMA considers excessive, unreasonable, or unsupported.	1	FEMA
769	OIG-14-115-D	New York City's Department of Design and Construction Needs Assistance To Ensure Compliance with Federal Regulations	7/21/2014	We recommend that the Executive Director, Hurricane Sandy Recovery Field Office: Direct New York, as the grantee, to assist New York Department of Design and Construction in accounting for costs on a project-by-project basis.	2	FEMA
770	OIG-14-115-D	New York City's Department of Design and Construction Needs Assistance To Ensure Compliance with Federal Regulations	7/21/2014	We recommend that the Executive Director, Hurricane Sandy Recovery Field Office: Direct New York, as the grantee, to assist the New York Department of Design and Construction in properly accounting for direct administrative costs.	3	FEMA
771	OIG-14-116	ICE's Release of Immigration Detainees	7/21/2014	We recommend that the ICE Principal Deputy Assistant Secretary: Develop and implement a plan to provide Enforcement and Removal Operations reliable and transparent funding sources to manage detention bed space efficiently and effectively.	1	ICE
772	OIG-14-116	ICE's Release of Immigration Detainees	7/21/2014	We recommend that the ICE Principal Deputy Assistant Secretary: Develop and implement a plan to improve transparency in tracking and reporting ICE budget expenditures to the DHS Chief Financial Officer, the Executive Office of the President, and Congressional Appropriations committees.	2	ICE
773	OIG-14-116	ICE's Release of Immigration Detainees	7/21/2014	We recommend that the ICE Principal Deputy Assistant Secretary: Pursue budget authority to obtain no year or 5-year appropriations to fund detention of arriving aliens.	3	ICE

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	Report No.	Report Title	Date Issued	Recommendation	Rec. No.	DHS Component
774	OIG-14-116	ICE's Release of Immigration Detainees	7/21/2014	We recommend that the ICE Principal Deputy Assistant Secretary: Pursue budget authority to obtain funding for the full costs of the detention bed space mandate.	4	ICE
775	OIG-14-117	U.S. Customs and Border Protection's Workload Staffing Model	7/24/2014	We recommend the Acting Assistant Commissioner of U.S. Customs and Border Protection's Office of Field Operations: Establish written procedures for developing and using the Workload Staffing Model. Include procedures to catalogue, track, and validate all data sources.	1	CBP
776	OIG-14-117	U.S. Customs and Border Protection's Workload Staffing Model	7/24/2014	We recommend that the Acting Assistant Commissioner of U.S. Customs and Border protection's Office of Field Operations: Develop a systematic process to approve changes and additions to the WSM and periodically evaluate to ensure WSM inputs and assumptions are current and valid.	2	CBP
777	OIG-14-117	U.S. Customs and Border Protection's Workload Staffing Model	7/24/2014	We recommend that the Acting Assistant Commissioner of U.S. Customs and Border protection's Office of Field Operations: Conduct an independent verification and validation of WSM 2.0, after its completion, to ensure that it satisfies CBP's requirements and functions as CBP intended.	3	CBP
778	OIG-14-IC Joint Report	Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings	4/10/2014	DOJ and DHS OIGs recommend that the FBI and DHS clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.	1	CBP
779	W-08-02	Audit of Wellton-Mohawk Irrigation and Drainage District, Wellton, AZ	1/14/2002	Disallow \$5,143,679 on questioned costs.	1	FEMA

Total Open Recommendations: 779

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