



Why This Matters

Each year, issues related to general information technology (IT) control deficiencies are identified at the component level during the Department of Homeland Security (DHS) annual financial statement audit. Although the Office of Financial Management (OFM) and Office of Chief Information Officer (OCIO) took corrective action to address its prior year IT control deficiencies, issues continued to be identified that impact DHS financial data.

Information Technology Management Letter for the FY 2013 DHS Financial Statement Audit — OFM and OCIO

What We Determined

The Office of Inspector General contracted with the independent public accounting firm KPMG LLP (KPMG) to audit the DHS fiscal year (FY) 2013 consolidated financial statement. During FY 2013, OFM and OCIO took corrective action to address prior year IT control deficiencies. For example, OFM and OCIO made improvements over strengthening controls around system security authorization and configuration management. However, during FY 2013, KPMG continued to identify IT control deficiencies that could potentially impact DHS' financial data related to controls over security management, access control, and contingency planning for DHS' core financial system. Collectively, the IT control deficiencies limited DHS' ability to ensure that critical financial and operational data were maintained in such a manner to ensure confidentiality, integrity, and availability. In addition, these deficiencies negatively impacted the internal controls over DHS' financial reporting and its operations.

For Further Information:

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