



Why This Matters

Public Law 110-53, Implementing Recommendations of the 9/11 Commission Act of 2007, requires the Department of Homeland Security (DHS) Office of Inspector General (OIG) to audit individual States' management of State Homeland Security Program and Urban Areas Security Initiative grants. This report responds to the reporting requirement for the State of Wyoming.

The audit included a review of \$4 million in State Homeland Security Program grants awarded to the State of Wyoming during fiscal years 2010 through 2012.

DHS Response

The Federal Emergency Management Agency (FEMA) concurred with the six of the nine recommendations and is taking steps or planning to take steps for corrective actions to address the audit findings.

For Further Information:

Contact our Office of Public Affairs at (202)254-4100, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

Wyoming's Management of State Homeland Security Program Grants Awarded During Fiscal Years 2010 Through 2012

What We Determined

In most instances, the State of Wyoming distributed and spent State Homeland Security Program grant funds in compliance with applicable Federal laws and regulations; however, the process still needs improvement. For example, the State of Wyoming did not 1) establish a means to measure progress toward preparedness as a result of receiving grant funds; 2) allocate funding based on risks; 3) ensure that grant funds were expended in compliance with Federal requirements and in alignment with the purpose of the grant; or 4) have a plan to sustain preparedness capabilities if grant funds are reduced or eliminated. We also identified \$393,752 in questioned costs, primarily resulting from the expenditures not complying with Federal or State procurement and employee compensation requirements.

What We Recommend

We recommend that FEMA require the Wyoming Office of Homeland Security to:

- Take steps to improve preparedness performance measurement and self-assessments.
- Include State, local, and tribal governments in the development of its Threat and Hazard Identification and Risk Assessment.
- Consider using risk factors in its grant allocation methodology to ensure effective use of grant funds.
- Consider using subgrantee project budgets or spend plans to ensure that the planned use of the grant funds support hazard and terrorism preparedness activities.
- Develop policies and procedures for day-to-day monitoring of grant funds usage.
- Complete a review of the questioned costs and report its findings to FEMA for final determination and recovery of unallowable costs.
- Consider the development of a plan to sustain preparedness capabilities in the event of reduced or eliminated Federal funding.

We recommend that FEMA:

- Provide performance measurement guidance to States to support their preparedness self-assessments.
- Develop and implement a process to validate the information reported in the State self-assessments.