

Spotlight

Department of Homeland Security



Office of Inspector General

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Why This Matters

Each year, issues related to information technology (IT) control deficiencies are identified at the component level during the Department of Homeland Security (DHS) annual financial statement audit. Although U.S. Customs and Border Protection (CBP) took corrective action to address its prior year IT control deficiencies, issues continued to be identified that impact CBP's financial data. Collectively these deficiencies negatively impact the internal controls over CBP's financial reporting and its operation.

Information Technology Management Letter for the FY 2013 CBP Financial Statement Audit

What We Determined

The Office of Inspector General contracted with the independent public accounting firm KPMG LLP (KPMG) to audit the DHS fiscal year (FY) 2013 consolidated financial statement. During FY 2013, CBP took corrective action to address prior year IT control deficiencies. For example, CBP made improvements over designing and implementing certain configuration management and security management controls over CBP information systems. However, during FY 2013, KPMG continued to identify IT application control deficiencies related to financial system functionality, and general information technology control deficiencies related to controls over physical and logical access (including the generation and review of audit logs), configuration management, and contingency planning, for CBP core financial and feeder systems and associated General Support System environments. Collectively, the IT control deficiencies limited CBP's ability to ensure that critical financial and operational data were maintained in such a manner to ensure confidentiality, integrity, and availability.

For Further Information:

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