



Why This Matters

Each year, issues related to general information technology (IT) control (GITC) deficiencies are identified at the component level during the Department of Homeland Security (DHS) annual financial statement audit. Although the National Protection and Programs Directorate (NPPD) took corrective action to address its prior year IT control deficiencies, issues continued to be identified that impact DHS financial data.

Information Technology Management Letter for the FY 2013 DHS Financial Statement Audit- NPPD

What We Determined

The Office of Inspector General contracted with the independent public accounting firm KPMG LLP (KPMG) to audit the DHS fiscal year (FY) 2013 consolidated financial statement. During FY 2013, NPPD took corrective action to address prior year IT control deficiencies. However, during FY 2013, KPMG continued to identify GITC deficiencies that could potentially impact DHS' financial data related to controls over security management, access control, and contingency planning for DHS' core financial system. Specifically, the auditors conducted limited after-hours physical security testing and social engineering at select NPPD facilities to identify potential control deficiencies in non-technical aspects of IT security. Collectively, the IT control deficiencies limited DHS' ability to ensure that critical financial and operational data were maintained in such a manner to ensure confidentiality, integrity, and availability. In addition, these deficiencies negatively impacted the internal controls over DHS' financial reporting and its operations.

For Further Information:

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