

**DHS Open Unresolved
Recommendations
Over Six Months Old,
as of March 31, 2016**





OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

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The Department of Homeland Security (DHS) Office of Inspector General (OIG) is committed to helping DHS achieve its critical missions. To do that, we conduct and supervise independent audits, investigations, and inspections of DHS programs and operations, and recommend ways for DHS to carry out its responsibilities in the most effective, efficient, and economical manner. We also issue recommendations and pursue cases to address fraud, abuse, mismanagement, and waste of taxpayer funds entrusted to DHS.

This *DHS Open Unresolved Recommendations Over Six Months Old* report lists recommendations we issued to DHS more than six months ago that require action. The Office of Management and Budget established the six month resolution timeframe to ensure prompt resolution and corrective actions on OIG findings and recommendations.

Recommendations remain open unresolved until DHS submits to DHS OIG a corrective action plan (plan) that addresses the findings and recommendations, and DHS OIG accepts the plan. A recommendation is closed once DHS completes the agreed upon corrective actions and DHS OIG verifies that the actions were completed. Recommendations may also be closed by DHS OIG at the request of DHS' Resolution Official or based on special circumstances.

Implementation of these recommendations should result in a more effective, efficient, and economical DHS. We continually strive to resolve the remainder of open and unresolved recommendations. In the last six months, working with DHS and component leadership, we have reduced the number of open unresolved recommendations over six months old from 21 to 16.

Additional information about each recommendation is included in the corresponding report. Please view the *Reports* section of our website at www.oig.dhs.gov to review our reports.

DHS Open Unresolved Recommendations Over 6 Months Old, as of March 31, 2016

No. of Reports	Report No.	Report Title	Date Issued	Rec. No.	Recommendation Text	Component	Age of Rec. on 3/31/16 (Days)
1	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	4	We recommend that the Federal Emergency Management Agency (FEMA) Assistant Administrator, Grant Programs Directorate require Executive Office of Public Safety and Security to return funds that exceeded the statutory limits for management and administrative expenses.	FEMA	1,110
	OIG-13-44	Massachusetts' Management of Homeland Security Grant Program Awards for Fiscal Years 2008 Through 2011	2/28/2013	5	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate correct the Homeland Security Grant Program Guidance to comply with statutory limitations on management and administration expenditures.	FEMA	1,110
2	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities (Redacted)	8/28/2013	6	We recommend that the Acting Chief Information Officer (CIO) develop and implement a process to maintain backup data for enterprise mission essential systems.	Management	933
	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities (Redacted)	8/28/2013	7	We recommend that the Acting CIO identify and establish adequate alternate facilities for the Network Operations Center and Security Operations Center.	Management	933
	OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities (Redacted)	8/28/2013	9	We recommend that the Acting CIO perform full failover contingency testing for enterprise mission essential systems.	Management	933
3	OIG-15-17	U.S. Customs and Border Protection's Unmanned Aircraft System Program Does Not Achieve Intended Results or Recognize All Costs of Operations	12/24/2014	1	We recommend that the Commissioner, U.S. Customs and Border Protection (CBP) coordinate with the DHS Office of the Chief Readiness Support Officer (OCRSO) to conduct an independent study, before acquiring more unmanned aircraft, to determine whether: additional unmanned aircraft are needed and justified; and future funding should be used to invest in the current program or invested in other alternatives, such as manned aircraft and ground assets, to enhance surveillance needs.	CBP	457

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4	OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport-Sensitive Security Information	12/16/2014	6	We recommend that the Transportation Security Administration (TSA) CIO designate the intrusion detection and surveillance Security Systems as DHS information technology (IT) systems and implement applicable management, technical, operational, and privacy controls and reviews.	TSA	465
	OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport-Sensitive Security Information	12/16/2014	14	We recommend that the U. S. Immigration and Customs Enforcement (ICE) CIO upgrade the closed-circuit television (CCTV) system and surveillance monitoring systems for the Joint Narcotics and Smuggling Unit at John F. Kennedy International Airport (JFK).	ICE	465
	OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport-Sensitive Security Information	12/16/2014	15	We recommend that the DHS CIO coordinate steps with DHS components located at JFK, to ensure their compliance with DHS Sensitive Systems Policy Directive 4300A, Section 1.4.8, and to designate the JFK CCTV cameras and surveillance systems as DHS IT systems.	Management	465
	OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport-Sensitive Security Information	12/16/2014	16	We recommend that the DHS Chief Privacy Officer require DHS components located at JFK to prepare privacy threshold assessments and, as applicable, privacy impact assessments for the JFK closed-circuit television (CCTV) cameras and surveillance systems as directed by privacy laws and policy.	Privacy	465
5	OIG-15-38	Science and Technology Directorate Needs to Improve Its Contract Management Procedures	2/27/2015	3	We recommend that the Under Secretary for Science & Technology (S&T) review S&T's contract portfolio to ensure all contract files contain sufficient evidence of program review to allow management to make well-informed decisions, including whether programs are meeting intended objectives.	S&T	394

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6	OIG-15-85	DHS Missing Data Needed to Strengthen its Immigration Enforcement Efforts	5/4/2015	1	We recommend that the DHS Deputy Secretary require the Office of Policy to develop and implement a plan to collect, analyze, and report data on the Department's use of prosecutorial discretion to assess immigration enforcement activities and improve future policy. The plan should include steps taken to ensure the completeness and accuracy of the prosecutorial discretion data.	Office of the Secretary	327
7	OIG-15-104-D	FEMA Should Recover \$337,135 of Ineligible or Unused Grant Funds Awarded to the Port of Tillamook Bay, Oregon	6/15/2015	3	We recommend that the Regional Administrator, FEMA Region X, disallow \$102,120 (Federal share \$76,590) as ineligible under Projects 912, 868, and 759 because the Port claimed equipment costs that exceeded the amount FEMA authorized (finding B).	FEMA	286
8	OIG-15-138	Accurate Reporting and Oversight Needed to Help Manage DHS' Warehouse Portfolio	8/28/2015	1	We recommend that the OCRSO oversee and manage DHS warehouses as real property assets by: implementing policies and procedures for consistently reviewing, classifying, justifying, and accounting for warehouses; and identifying opportunities to reduce the real property footprint.	Management	213
	OIG-15-138	Accurate Reporting and Oversight Needed to Help Manage DHS' Warehouse Portfolio	8/28/2015	2	We recommend that the OCRSO require the components to assess their real property inventories to ensure their inventories of warehouses are accurate and complete.	Management	213
	OIG-15-138	Accurate Reporting and Oversight Needed to Help Manage DHS' Warehouse Portfolio	8/28/2015	3	We recommend that the Assistant Commissioner for the Office of Administration at CBP put \$74,000 per year to better use by terminating the warehouse lease for the miscellaneous storage warehouse in Northern California.	CBP	213
Total Number of Recommendations				16			

ADDITIONAL INFORMATION AND COPIES

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