

Ongoing Projects

The following projects are being undertaken at this time by the OIG offices of Audits, Inspections, Emergency Management Oversight (EMO) and Information Technology Audits (IT Audits). Report release dates are to be determined.

PROJECT TOPIC	OBJECTIVE	OIG DIVISION
Single Audit Act Reviews	Review nonfederal auditors' work for compliance with OMB Circular A-133 requirements and applicable auditing standards and regulations.	Audits
DHS' Use of Reimbursable Work Authorizations and Interagency Agreements	Determine whether DHS' use of Reimbursable Work Authorizations is in compliance with statutory, regulatory, departmental, and component requirements.	Audits
Analysis of Recurring Audit Recommendations Could Improve FEMA's Oversight of the HSGP	Determine whether FEMA implemented permanent changes to its oversight of the Homeland Security Grant Program based on recurring recommendations from audits of states and territories. Determine if these changes improved the ability of states and territories to manage the program in accordance with the law, regulations, and guidance.	Audits
DHS Drug Interdiction Efforts	Determine the extent to which DHS is executing its responsibilities under the National Drug Control Strategy.	Audits
DHS' Use of Force	Determine if DHS and its components have controls to minimize risk of improper use of force by law enforcement officials.	Audits
Transportation Security Administration's Risk-Based Strategy	Determine the extent to which TSA's intelligence-driven, risk-based strategy informs security and resource decisions to protect the traveling public and the Nation's transportation systems.	Audits

Federal Emergency Management Agency's National Flood Insurance Program: Write Your Own Program	To determine the adequacy of FEMA's oversight of the Write Your Own Program.	Audits
DHS Pandemic Planning	Determine if DHS has implemented adequate preparedness plans to continue mission essential functions during a pandemic.	Audits
TSA Oversight of National Passenger Rail System Security	Determine the extent to which TSA has policies, processes, and oversight measures to improve security on our national passenger rail system (AMTRAK).	Audits
TSA's Office of Human Capital Contracts	Determine whether TSA's human capital contracts are managed effectively, comply with DHS Acquisition guidelines, and are achieving expected goals.	Audits
Improper Payments Elimination and Recovery Act of 2010 (P.L. 111-204)	Determine whether DHS is in compliance with the Improper Payment Elimination and Recovery Act of 2010 (Annual Requirement).	Audits
Customs and Border Protection's Criminal Investigative Authority	To determine whether CBP has an effective process for determining the required number of criminal investigators needed to accomplish its mission.	Audits
Port Security Grants Awarded to Lower Mississippi Port Wide Strategic Security Council	Determine whether the Lower Mississippi Port Wide Strategic Security Council managed, distributed, and spent Port Security Grant Program funds in compliance with applicable Federal laws, regulations, and guidance.	Audits
DHS Training Centers	Determine whether DHS's oversight over its training centers ensures the most cost-effective use of resources.	Audits
Oversight of the Colorado Emergency Management Performance Grant Program Needs Improvement	Determine if FEMA and the Colorado Division of Homeland Security and Emergency Management (DHSEM) are sufficiently monitoring the Emergency Management Performance Grant (EMPG) program to ensure that program funds are used in accordance with the grant program guidelines and other applicable state and Federal laws.	Audits

U.S. Secret Service Radio Communications	Determine the adequacy of the U. S. Secret Service radio communications program.	Audits
Assistance to Firefighter Grants	Determine the extent to which Assistance to Firefighter Grant recipients comply with grant requirements and guidance precluding waste, fraud, and abuse of grant funds.	Audits
ICE Detention Contracts	Determine whether the Department and ICE effectively solicited, awarded and managed detention center contracts in accordance with the Federal Acquisition Regulations and Departmental contract and procurement guidance.	Audits
DHS Response to Ebola	Determine whether DHS has effectively implemented DHS' enhanced screening measures for a response to an Ebola outbreak.	Audits
Review of U.S. Customs and Border Protection's FY 2015 Office of National Drug Control Policy Detailed Accounting Submission	Express a conclusion about the reliability of each assertion made in CBP's, ICE's, USCG's Office of National Drug Control Policy Detailed Accounting Submissions and Performance Summary Reports.	Audits
State of Maryland's Management of State Homeland Security Program and Urban Areas Security Initiative Grants	Determine whether Maryland distributed, administered, and spent HSGP funds, including SHSP and UASI funds, strategically, effectively, and in compliance with laws, regulations, and guidance. We will also address the extent to which funds awarded enhanced Maryland's ability to prevent, prepare for, protect against, and respond to natural disasters, acts of terrorism, and other manmade disasters.	Audits
Review of U.S. Customs and Border Protection's FY 2015 Office of National Drug Control Policy Performance Summary	Express a conclusion about the reliability of each assertion made in CBP's, ICE's, USCG's Office of National Drug Control Policy Detailed Accounting Submissions and Performance Summary Reports.	Audits

Review of U.S. Immigration and Customs Enforcement's FY 2015 Office of National Drug Control Policy Detailed Accounting Submission	Express a conclusion about the reliability of each assertion made in CBP's, ICE's, USCG's Office of National Drug Control Policy Detailed Accounting Submissions and Performance Summary Reports.	Audits
Review of U.S. Immigration and Customs Enforcement's FY 2015 Office of National Drug Control Policy Performance Summary	Express a conclusion about the reliability of each assertion made in CBP's, ICE's, USCG's Office of National Drug Control Policy Detailed Accounting Submissions and Performance Summary Reports.	Audits
Review of U.S. Coast Guard's FY 2015 Office of National Drug Control Policy Detailed Accounting Submission	Express a conclusion about the reliability of each assertion made in CBP's, ICE's, USCG's Office of National Drug Control Policy Detailed Accounting Submissions and Performance Summary Reports.	Audits
Review of U.S. Coast Guard's FY 2015 Office of National Drug Control Policy Performance Summary	Express a conclusion about the reliability of each assertion made in CBP's, ICE's, and USCG's Office of National Drug Control Policy Detailed Accounting Submissions and Performance Summary Reports.	Audits
Effectiveness of Customs and Border Protection's Special Operations Group	Determine whether CBP's Special Operations Groups are trained and ready to achieve their mission. Objective reworked for a more comprehensive audit/for announcement letter to - Determine the effectiveness of CBP's Special Operations Group when accomplishing its mission.	Audits
U.S. Customs and Border Protection's Fiscal Year 2015 Financial Statements	The auditors are required to: (1) report on the fairness of presentation of CBP's FY 2015 financial statements; (2) obtain an understanding of internal control over financial reporting, perform tests of those controls to determine audit procedures, and report on weaknesses identified during the audit; and (3) perform tests of compliance with certain laws, regulations, and provisions of contracts or grant agreements and report on non-compliance which could have a material effect on the financial statements. It is not an objective of this audit to provide an opinion on internal control over financial reporting or compliance with laws,	Audits

	regulations, and provisions of contracts or grant agreements.	
DHS' Working Capital Fund	Assess whether DHS manages its Working Capital Fund to provide cost-efficient support services throughout DHS.	Audits
Reliability of TWIC Background Check Process	Determine whether the screening process for TWIC is operating effectively and whether the program's continued eligibility processes ensure that only eligible TWIC card holders remain eligible for the program.	Audits
OAM and USCG - Duplication of Maritime Missions	To determine whether there is unnecessary duplication or fragmentation of the maritime missions and responsibilities of the Office of Air and Marine and the U.S. Coast Guard.	Audits
Review of DHS Report on All Contracts and Grants Awarded Through Other Than Full and Open Competition During Fiscal Year 2015	Review the DHS annual report on all contracts and grants awarded through other than full and open competition during Fiscal Year 2015.	Audits
DHS' Performance and Learning Management System(PALMS)	Evaluate DHS' acquisition, implementation, and oversight of the Performance and Learning Management System.	Audits
FEMA Flood Mapping Contracts	Determine whether FEMA effectively solicited, awarded, and managed flood mapping contracts in accordance with the Federal Acquisition Regulation and departmental policies.	Audits
FY 15 Risk Assessment of DHS Charge Card Abuse Prevention Program	Assess the level of risk associated with the design of internal controls implemented to prevent illegal, improper, or erroneous purchases and payments.	Audits
CBP's Forward Operating Bases on the Southwest Border	Determine whether the Forward Operating Bases are effective in deterring aliens and provide adequate living conditions, security, and safety for CBP employees.	Inspections
Intelligence Oversight and Quarterly Report, CY 2014, Q3	Validate assertions on a quarterly basis made by the USCG and Office of Intelligence and Analysis concerning their compliance with Executive Order 12333, and report other possible violations that come to our attention.	Inspections

CBP IA's Privacy Policies and Practices	Determine whether 1) CBP IA appropriately collected, stored, and shared personally identifiable information in this incident; 2) CBP IA's privacy policies and agreements for collecting, storing, and sharing personally identifiable information are adequate; and 3) CBP IA's privacy practices for sharing personally identifiable information comply with law and DHS policy.	Inspections
CBP Office of Internal Affairs' Sharing of Personally Identifiable Information	Determine whether 1) CBP inappropriately collected, stored, and shared information in this incident; 2) CBP Internal Affairs' privacy policies are adequate; and 3) whether CBP Internal Affairs privacy practices are in line with law and DHS policy.	Inspections
Oversight of Detainee Transport	Determine whether DHS effectively and efficiently manages and uses SGEs.	Inspections
Joint Review on Domestic Sharing of Counterterrorism Information	(1) how DHS component representatives contribute to the counterterrorism mission of field-based entities such as fusion centers; (2) what requirements DHS places on fusion centers receiving funding for counterterrorism activities; (3) DHS' process for sharing counterterrorism information with field-based entities; (4) how DHS components receive and process counterterrorism information from field-based entities; and (5) how DHS ensures the proper safeguarding of its shared counterterrorism information with field-based entities.	Inspections
TSA's Controls Over Access Media Badges	To identify and test selected controls over access media badges issued by airport operators.	Inspections
Policies and Training Governing Off-Duty Conduct for Department Employees Posted Overseas	To determine whether DHS has adequate policies and training for DHS employees posted overseas to govern off-duty conduct.	Inspections
FEMA Inspection	To determine whether: (1) the FEMA Office of Chief Security Officer (OCSO) hires unqualified individuals with criminal backgrounds; (2) management allows employees to violate overtime policies; (3) staff performs duties in accordance to the	Inspections

	position descriptions; and (4) OCSO uses the Disaster Relief Fund inappropriately for hiring.	
DHS Use of Biometric Information to Detect and Respond to Naturalization Fraud	1. Determine whether USCIS has granted naturalization to aliens without identifying biometric records that associated the aliens with multiple identities and Final Removal Orders. 2. Determine whether USCIS uses biometric information effectively to identify naturalization applicants with multiple identities and Final Removal Orders. 3. Determine whether USCIS, CBP, and ICE procedures for handling these suspected immigration fraud cases are effective.	Inspections
DHS Law Enforcement Hiring Practices	To determine whether DHS is efficient and effective in filling law enforcement vacancies.	Inspections
S&T's Research and Development Efforts and Advancements in Cybersecurity	Determine (1) the effectiveness of CSD's research and development lifecycle, (2) the adequacy of CSD research and development efforts in satisfying end-user needs, (3) whether CSD's program aligns with Federal policies, (4) whether CSD adequately communicates with the public and private sectors to coordinate and share cybersecurity research and development efforts.	Inspections
USSS Actions Related to Three Security Breaches	To determine (1) cause for each incident; (2) extent to which USSS staff followed the security plans and protocols; and (3) if security plans were followed, why security measures were not sufficient to prevent a breach.	Inspections
CBP's Implementation of the Prison Rape Elimination Act	To determine if CBP is meeting its scheduled milestones for implementing PREA.	Inspections
USCIS and ICE Data Use to Identify Potential Trafficking	We initiated a survey to determine whether human trafficking data exists and could be correlated with USCIS immigrant and nonimmigrant petitions. We converted the survey to an audit March 3, 2015 with audit objectives (1) Determine whether evidence exists that individuals charged or convicted of human trafficking used legal means to bring potential victims to the	IT Audits

	United States, 2) identify whether improvements in data quality can help identify potential victims, and 3) determine whether additional coordination between ICE and USCIS will lead to identification of additional human trafficking victims.	
Audit of DHS' Implementation of its Cybersecurity Responsibilities outlined in Federal Information Security Modernization Act of 2014 (FISMA)	Evaluate the National Protection and Programs Directorate's (NPPD) progress toward implementing the cybersecurity responsibilities in the Federal Information Security Modernization Act of 2014.	IT Audits
Review of USCIS' Automated Processing	Assess the effectiveness of USCIS' plans for automated processing of immigration benefit claims.	IT Audits
Annual Evaluation of DHS' Information Security Program (Intelligence Systems- Intelligence Community) for FY 2015	Determine whether DHS' information security program and practices are adequate and effective in protecting the information and the information systems that support DHS' intelligence operations and assets for FY 2015.	IT Audits
I&A Privacy Management	Determine whether I&A 1) instills a culture of privacy that is effective in protecting sensitive personally identifiable information and 2) ensures compliance with Federal privacy regulations.	IT Audits
Information Technology Security Controls for the Security Technology Integrated Program	Determine whether TSA has incorporated adequate information technology security controls for passenger and baggage screening equipment to ensure that these devices are performing as required.	IT Audits
Information Security Assessment of the United States Secret Service's Master Central Index (MCI) System	Perform an information security assessment of the USSS' Master Central Index System to determine the effectiveness of system safeguards to protect the confidentiality, integrity, and availability of sensitive law enforcement and personal information.	IT Audits
FEMA Public Assistance Program Grant Funds Awarded to Victor Valley Wastewater Reclamation Authority, California	Determine whether Victor Valley Wastewater Reclamation District, California, accounted for and expended Federal Emergency Management Agency (FEMA) funds according to Federal regulations and FEMA guidelines.	EMO

Aiken County, SC	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA's Adherence to the Closeout Timeline for Public Assistance Projects	Determine if FEMA is adhering to the Public Assistance closeout timeline of maximum 12 months for Categories A and B and the 48 months for Categories C through G.	EMO
EMOT- Wild Fires "Valley and Butte Fires"	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Baldwin County Commission	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Minneapolis Park and Recreation Board	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Public Assistance Funds Awarded to the Village of Pilger, NE	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Municipality of Jayuya, Puerto Rico	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Borough of Lavallette, NJ	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Public Assistance Funds Awarded to West School Administration	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Hope Academy, MS	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO

Public Assistance Funds Awarded Wisner-Pilger Public Schools, NE	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA Public Assistance Program Grant Funds Awarded to the City of Berkeley, CA	To determine whether the City of Berkeley, California's, policies, procedures, and business practices are adequate to account for and expend FEMA PA Program grant funds according to Federal regulations and FEMA guidelines.	EMO
Public Assistance Funds Awarded to University of Wisconsin	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA's Initial Response to the Texas Severe Storms, Straight-line Winds and Flooding-Disaster Number 4223	To determine whether FEMA has any potential systemic issues that occurred in its disaster response and recovery activities.	EMO
City of Tuscaloosa, AL	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Colorado Springs Utilities	Determine if grantees or subgrantees policies, procedures, and business practices are adequate to account for and expend Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA's Initial Response to South Carolina's Severe Storms and Flooding	To determine whether FEMA's response to South Carolina's severe storms and flooding was effective and efficient, and to evaluate FEMA's actions, resources, and authorities according to Federal regulations and FEMA guidelines in effect at the time of our fieldwork.	EMO
Audit of the City of San Diego, California	Determine if the City of San Diego, California, accounted for and expended Federal Emergency Management Agency (FEMA) funds according to Federal regulations and FEMA guidelines.	EMO
FEMA Public Assistance Program Grant Funds Awarded to the Town of Lyons, Colorado	Determine whether the City of Lyons, Colorado, is accounting for and expending Federal Emergency Management Agency (FEMA) Public Assistance (PA) Program grant funds according to Federal	EMO

	regulations and FEMA guidelines.	
Town of Stratford, CT	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA Public Assistance Funds awarded to the Omaha Tribe of Nebraska	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA Programs for Emergency Sheltering in New York	Determine whether FEMA funded emergency sheltering programs in New York are cost effective and comply with Federal laws and regulations and FEMA's policies and guidelines.	EMO
FEMA's Transitional Sheltering Assistance (TSA) for Hurricane Sandy	Determine whether FEMA's TSA program was effective for Hurricane Sandy.	EMO
City of Boulder, Colorado	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
San Bernardino County	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Public Assistance Funds Awarded to City of Austin, TX	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Long Beach City School, NY	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Summary of Disaster Grant Audit Procurement Findings and FEMA's Actions to Recover Improperly Spent Procurement Funds	Summarize procurement findings in disaster grant audit reports issued from FYs 2009-13, and determine the extent to which FEMA recovered money improperly spent.	EMO
Katrina-Rita PA Grants not Started	Identify the total number of projects and total project worksheet amounts for projects not yet started and determine why the subgrantees have not started the projects.	EMO

City of Colorado Springs	Determine whether grantees or subgrantees have adequate policies, procedures, and business practices to account for and expend Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Municipality of Villalba, Puerto Rico	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Oakwood Healthcare Systems	Determine whether the selected subgrantee's policies, procedures, and business practices are adequate to account for and expend FEMA grant funds according to Federal regulations and FEMA guidelines.	EMO
Town of North Hempstead, NY	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
City of Birmingham, AL	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Puerto Rico Electric Power Authority	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Augusta-Richmond County, Georgia	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA's Qualification System	Determine if the Qualification System and Automated Deployment Database of Federal Emergency Management Agency (FEMA) is effective in providing sufficient and qualified staff in a timely manner.	EMO
Audit of FEMA Public Assistance Program Grant Funds Awarded to the City of Longmont, Colorado	Determine whether the City of Longmont, Colorado, is accounting for and expending FEMA Public Assistance Program grant funds according to Federal regulations and FEMA guidelines.	EMO

Roman Catholic Diocese of Brooklyn	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Emergency Assistance FEMA Provided to New York City Commercial Properties Following Hurricane Sandy	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Washington County, FL	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
State, Tribal, and Community Level Incident Management Planning Efforts	Determine whether state, tribal, and local governments have developed plans that align with the 15 planning scenarios and to what extent these plans are integrated and mutually supportive of federal plans.	EMO
Public Assistance Funds Awarded to Pueblo of Jemez	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
FEMA Funds Awarded to Cimarron Electric Utility, Oklahoma	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Jamestown, Colorado	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Public Assistance Funds Awarded to Evans, CO	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Nashville-Davidson County, TN - Cat A & B	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Louisiana Office of Community Development HMGP (OCD)	The objective of the audit is to determine whether the OCD processed HMGP contract payments in a timely manner and according to Federal regulations, FEMA guidelines, and State laws	EMO

	for Hurricane Katrina. The audit methodology will include a review of selected supporting documents from the FEMA Region, Grantee (state), and subgrantee. We will also interview appropriate FEMA, Grantee (state), and subgrantee representatives.	
Ocean County, NJ	Determine if grantees or subgrantees accounted for and expended Federal Emergency Management Agency (FEMA) funds according to federal regulations and FEMA guidelines.	EMO
Hazard Mitigation Funds Awarded to Indiana	To determine whether the State of Indiana's policies, procedures, and business practices are adequate to account for and expend Federal Emergency Management Agency (FEMA) Hazard Mitigation Grant Program (HMGP) funds according to federal regulations and FEMA guidelines.	EMO
Audit of Pennsylvania's Cash Management Practices	Determine if Pennsylvania exercised proper cash management practices, according to federal regulations and FEMA guidelines.	EMO
Oversight Review of the Citizenship and Immigration Services, Office of Security and Integrity, Investigations Division	Assess compliance with the DHS Management Directive 0810.1, Office of Compliance and Security's Administrative Inquiry Handbook, and referenced guidelines established by the Council of the Inspectors General on Integrity and Efficiency, as applicable	IQO