

Appendix 4

Reports with Open Recommendations Over 6 Months Old

No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
1	OIG-10-11	<i>Independent Auditors' Report on DHS' FY 2009 Financial Statements and Internal Control Over Financial Reporting</i>	2	\$0	\$0	USCG
2	OIG-11-16	<i>Customs and Border Protection's Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry</i>	2	\$0	\$0	CBP
3	OIG-11-92	<i>Efficacy of Customs and Border Protection's Bonding Process</i>	1	\$0	\$0	CBP
4	OIG-12-07	<i>Independent Auditors' Report on DHS' FY 2011 Integrated Financial Statements and Internal Control over Financial Reporting</i>	5	\$0	\$0	USCG, FEMA
5	OIG-12-18	<i>FEMA's Process for Tracking Public Assistance Insurance Requirements</i>	2	\$0	\$0	FEMA
6	OIG-12-26	<i>(U) Transportation Security Administration Covert Testing of Access Controls to Secured Airport Areas</i>	1	\$0	\$0	TSA
7	OIG-12-79	<i>Opportunities to Improve FEMA's Public Assistance Preliminary Damage Assessment Process</i>	1	\$0	\$0	FEMA

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
8	OIG-12-132	<i>CBP's Strategy to Address Illicit Cross-Border Tunnels</i>	1	\$0	\$0	CBP
9	OIG-13-20	<i>Independent Auditors' Report on DHS FY 2012 Consolidated Financial Statements and Report on Internal Control Over Financial Reporting</i>	16	\$0	\$0	USCG, ICE, OCIO-OCFO, FEMA
10	OIG-13-80	<i>U.S. Immigration and Customs Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes</i>	3	\$0	\$0	ICE
11	OIG-13-92	<i>Marine Accident Reporting, Investigations, and Enforcement in the United States Coast Guard</i>	1	\$0	\$0	USCG
12	OIG-13-104	<i>Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport</i>	2	\$0	\$0	ICE
13	OIG-13-110	<i>DHS Needs to Strengthen Information Technology Continuity and Contingency Planning Capabilities</i>	2	\$0	\$0	MGMT
14	OIG-13-113	<i>DHS Needs to Manage Its Radio Communication Program Better</i>	1	\$0	\$0	MGMT

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15	OIG-13-115	<i>DHS Uses Social Media to Enhance Information Sharing and Mission Operations, But Additional Oversight and Guidance Are Needed</i>	1	\$0	\$0	PLCY
16	OIG-13-119	<i>CBP's and USCG's Controls Over Exports Related to Foreign Military Sales</i>	2	\$0	\$0	CBP
17	OIG-14-18	<i>Independent Auditors' Report on DHS' FY 2013 Financial Statements and Internal Control over Financial Reporting</i>	10	\$0	\$0	MGMT, ICE, USSS, USCG, DHS
18	OIG-14-32	<i>Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program</i>	1	\$0	\$0	CBP
19	OIG-14-47	<i>U.S. Customs and Border Protection's Advanced Training Center Acquisition</i>	1	\$0	\$0	CBP
20	OIG-14-105	<i>U.S. Immigration and Customs Enforcement's Management of the Federal Employees' Compensation Act Program</i>	1	\$0	\$0	ICE
21	OIG-14-132	<i>Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport</i>	8	\$0	\$0	TSA, ICE

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
22	OIG-14-142	<i>(U) Vulnerabilities Exist in TSA's Checked Baggage Screening Operations</i>	1	\$0	\$0	TSA
23	OIG-14-150-D	<i>FEMA and the State of Louisiana Need to Accelerate the Funding of \$812 Million in Hazard Mitigation Grant Program Funds and Develop a Plan to Close Approved Projects</i>	1	\$0	\$812,238,776	FEMA
24	OIG-14-151	<i>FEMA's Logistics Supply Chain Management System May Not Be Effective During a Catastrophic Disaster</i>	2	\$0	\$0	FEMA
25	OIG-14-153	<i>Use of Risk Assessment within Secure Flight</i>	1	\$0	\$0	TSA
26	OIG-15-06-D	<i>FEMA Needs To Track Performance Data and Develop Policies, Procedures, and Performance Measures for Long Term Recovery Offices</i>	2	\$0	\$0	FEMA
27	OIG-15-08	<i>Ohio's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012</i>	1	\$3,559,067	\$0	FEMA

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
28	OIG-15-10	<i>Independent Auditors' Report on DHS' FY 2014 Financial Statements and Internal Control over Financial Reporting</i>	31	\$0	\$0	USCG, FEMA, ICE, MGMT, NPPD, DHS
29	OIG-15-18	<i>Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport-Sensitive Security Information</i>	3	\$0	\$0	CBP, ICE
30	OIG-15-29	<i>Security Enhancements Needed to the TSA PreCheck™ Initiative</i>	4	\$0	\$0	TSA
31	OIG-15-38	<i>Science and Technology Directorate Needs to Improve its Contract Management Procedures</i>	1	\$0	\$0	S&T
32	OIG-15-45	<i>Allegations of Granting Expedited Screening through TSA PreCheck Improperly (OSC File No. DI-14-3679)</i>	1	\$0	\$0	TSA
33	OIG-15-80	<i>DHS Should Do More to Reduce Travel Reservation Costs</i>	1	\$0	\$0	CFO
34	OIG-15-85	<i>DHS Missing Data Needed to Strengthen Its Immigration Enforcement Efforts</i>	1	\$0	\$0	SEC, DSEC, COS

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
35	OIG-15-88	<i>Audit of Security Controls for DHS Information Technology Systems at San Francisco International Airport</i>	2	\$0	\$0	TSA
36	OIG-15-94	<i>Department of Homeland Security's FY 2014 Compliance with the Improper Payments Elimination and Recovery Act of 2010 (Revised)</i>	1	\$0	\$0	CFO
37	OIG-15-107	<i>New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-12</i>	8	\$67,360,082	\$0	FEMA
38	OIG-15-108-IQO	<i>Oversight Review of the Department of Homeland Security National Protection and Programs Directorate Internal Affairs Division</i>	1	\$0	\$0	NPPD
39	OIG-15-112	<i>Follow-up to Management Alert - U.S. Immigration and Customs Enforcement's Facility, San Pedro, California</i>	1	\$0	\$0	ICE
40	OIG-15-128-D	<i>FEMA's Process for Selecting Joint Field Offices Needs Improvement</i>	1	\$0	\$1,553,000	FEMA
41	OIG-15-140	<i>DHS Can Strengthen Its Cyber Mission Coordination Efforts</i>	3	\$0	\$0	PLCY, ICE

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
42	OIG-16-01-D	<i>FEMA Faces Challenges in Verifying Applicants' Insurance Policies for the Individuals and Households Program</i>	1	\$0	\$0	FEMA
43	OIG-16-02	<i>The FPS Vehicle Fleet Is Not Managed Effectively</i>	3	\$0	\$2,519,077	MGMT
44	OIG-16-06	<i>Fiscal Year 2015 Financial and Internal Controls Audit</i>	15	\$0	\$0	USCG, CFO, ICE, MGMT, NPPD, DHS, FEMA
45	OIG-16-10	<i>FEMA Faces Challenges in Managing Information Technology</i>	4	\$0	\$0	FEMA
46	OIG-16-17	<i>ICE and USCIS Could Improve Data Quality and Exchange to Help Identify Potential Human Trafficking Cases</i>	1	\$0	\$0	USCIS
47	OIG-16-20	<i>U.S. Secret Service Needs to Upgrade Its Radio Systems</i>	2	\$0	\$0	USSS
48	OIG-16-37	<i>Conditions at CBP's Forward Operating Bases along the Southwest Border</i>	1	\$0	\$0	CBP
49	OIG-16-47	<i>FEMA Does Not Provide Adequate Oversight of Its National Flood Insurance Write Your Own Program</i>	4	\$0	\$0	FEMA
50	OIG-16-51	<i>CBP Needs to Better Plan Its Implementation of the DHS Prison Rape Elimination Act Regulations</i>	1	\$0	\$0	CBP

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
51	OIG-16-54	<i>Independent Auditors' Report on U.S. Customs and Border Protection's FY 2015 Consolidated Financial Statements</i>	1	\$0	\$0	CBP
52	OIG-16-64	<i>2014 White House Fence Jumping Incident</i>	6	\$0	\$0	USSS
53	OIG-16-75	<i>CBP Needs Better Data to Justify Its Criminal Investigator Staffing</i>	5	\$0	\$0	CBP
54	OIG-16-78-D	<i>Colorado Should Provide the City of Evans More Assistance in Managing FEMA Grant Funds</i>	1	\$2,218,535	\$0	FEMA
55	OIG-16-87	<i>IT Management Challenges Continue in TSA's Security Technology Integrated Program</i>	11	\$0	\$0	TSA
56	OIG-16-88	<i>Department of Homeland Security's FY 2015 Compliance with the Improper Payments Elimination and Recovery Act of 2010</i>	1	\$0	\$0	CFO
57	OIG-16-91	<i>TSA Oversight of National Passenger Rail System Security</i>	2	\$0	\$0	TSA, OGC
58	OIG-16-96-IQO	<i>Oversight Review of the Citizenship and Immigration Services, Investigations Division</i>	2	\$0	\$0	USCIS

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
59	OIG-16-98	<i>FEMA's Grant Programs Directorate Did Not Effectively Manage Assistance to Firefighters Grant Program - SAFER Grants</i>	1	\$18,443,447	\$0	FEMA
60	OIG-16-100	<i>FEMA's Grant Programs Directorate Did Not Effectively Manage Assistance to Firefighters Grant Program - AFG</i>	1	\$7,124,893	\$0	FEMA
61	OIG-16-115-D	<i>FEMA Should Suspend All Grant Payments on the \$29.9 Million Coastal Retrofit Program until Mississippi Can Properly Account for Federal Funds</i>	4	\$0	\$26,899,836	FEMA
62	OIG-16-123	<i>CBP's Office of Professional Responsibility's Privacy Policies and Practices</i>	2	\$0	\$0	CBP
63	OIG-16-126-D	<i>FEMA Can Do More to Improve Public Assistance Grantees' and Subgrantees' Compliance with Federal Procurement Rules</i>	1	\$0	\$0	FEMA
64	OIG-16-127-D	<i>FEMA Can Enhance Readiness with Management of Its Disaster Incident Workforce</i>	2	\$0	\$0	FEMA
65	OIG-16-128	<i>TWIC Background Checks Are Not as Reliable as They Could Be</i>	2	\$0	\$0	TSA

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
66	OIG-16-134	<i>TSA Needs a Crosscutting Risk-Based Security Strategy</i>	2	\$0	\$0	TSA
67	OIG-16-138	<i>DHS' Progress in Implementing the Federal Information Technology Acquisition Reform Act</i>	3	\$0	\$0	MGMT
68	OIG-16-139-D	<i>FEMA Acquisition of a Joint Field Office for DR-4223 in Austin, Texas</i>	2	\$0	\$0	FEMA
69	OIG-17-01	<i>USSS Faces Challenges Protecting Sensitive Case Management Systems and Data</i>	1	\$0	\$0	USSS
70	OIG-17-03	<i>AMO and Coast Guard Maritime Missions Are Not Duplicative, But Could Improve with Better Coordination</i>	2	\$0	\$0	MGMT, USCG, CBP, ICE
71	OIG-17-04	<i>TSA Could Improve Its Oversight of Airport Controls over Access Media Badges</i>	1	\$0	\$0	TSA
72	OIG-17-05	<i>DHS Is Slow to Hire Law Enforcement Personnel</i>	2		\$0	ICE, USSS
73	OIG-17-06-D	<i>FEMA Should Recover \$1.8 Million of \$5.5 Million in Public Assistance Grant Funds Awarded to Columbia County, Florida, for Tropical Storm Debby Damages</i>	3	\$1,172,835	\$0	FEMA
74	OIG-17-09	<i>DHS Drug Interdiction Efforts Need Improvement</i>	2	\$0	\$0	MGMT

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
75	OIG-17-10	<i>The Secret Service Has Taken Action to Address the Recommendations of the Protective Mission Panel</i>	4	\$0	\$0	USSS
76	OIG-17-12	<i>Independent Auditors' Report on DHS' FY 2016 Financial Statements and Internal Control over Financial Reporting</i>	28	\$0	\$0	USCG, CFO, FEMA, CBP, USSS, NPPD
77	OIG-17-14	<i>Summary Report on Audits of Security Controls for TSA Information Technology Systems at Airports</i>	2	\$0	\$0	TSA
78	OIG-17-22	<i>DHS Lacks Oversight of Component Use of Force (Redacted)</i>	1	\$0	\$0	SEC, DSEC, COS
79	OIG-17-24	<i>Evaluation of DHS' Information Security Program for Fiscal Year 2016</i>	1	\$0	\$0	MGMT
80	OIG-17-25-D	<i>The Victor Valley Wastewater Reclamation Authority in Victorville, California, Did Not Properly Manage \$32 Million in FEMA Grant Funds</i>	3	\$23,785,177	\$0	FEMA
81	OIG-17-36	<i>Independent Auditors' Report on U.S. Customs and Border Protection's Fiscal Year 2016 Consolidated Financial Statements</i>	4	\$0	\$0	CBP

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
82	OIG-17-38-D	<i>FEMA Needs to Improve Its Oversight of the Sheltering and Temporary Essential Power</i>	2	\$0	\$0	FEMA
83	OIG-17-42	<i>H-2 Petition Fee Structure is Inequitable and Contributes to Processing Errors</i>	2	\$0	\$0	USCIS
84	OIG-17-43-MA	<i>Management Alert on Issues Requiring Immediate Action at the Theo Lacy Facility in Orange, California</i>	1	\$0	\$0	ICE
85	OIG-17-49	<i>Review of Domestic Sharing of Counterterrorism Information</i>	6	\$0	\$0	I&A, CRCL, OGC, PRIV, SEC, DSEC, COS,
86	OIG-17-51	<i>ICE Deportation Operations</i>	4	\$0	\$0	ICE
87	OIG-17-56	<i>DHS Tracking of Visa Overstays Is Hindered by Insufficient Technology</i>	5	\$0	\$0	MGMT, ICE
88	OIG-17-59	<i>Department of Homeland Security's FY 2016 Compliance with the Improper Payments Elimination and Recovery Act of 2010 and Executive Order 13520, Reducing Improper Payments</i>	1	\$0	\$0	CFO
89	OIG-17-74-IQO	<i>Oversight Review of the U.S. Coast Guard Investigative Service</i>	19	\$0	\$0	USCG
90	OIG-17-91	<i>PALMS Does Not Address Department Needs</i>	4	\$0	\$0	MGMT

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
91	OIG-17-99-MA	<i>Management Alert - CBP Spends Millions Conducting Polygraph Examinations on Unsuitable Applicants</i>	1	\$0	\$0	CBP
92	OIG-17-101	<i>Improvements Needed to Promote DHS Progress toward Accomplishing Enterprise-wide Data Goals</i>	2	\$0	\$0	I&A, MGMT
93	OIG-17-103-MA	<i>Management Alert - Unclear Rules Regarding Executive Protection Details Raise Concerns</i>	2	\$0	\$0	SEC, DSEC, COS
94	OIG-17-110	<i>FEMA Needs to Improve Management of Its Flood Mapping Program</i>	4	\$0	\$0	FEMA
95	OIG-17-112	<i>Covert Testing of TSA's Checkpoint Screening Effectiveness</i>	8	\$0	\$0	TSA
96	OIG-17-114	<i>CBP's IT Systems and Infrastructure Did Not Fully Support Border Security Operations</i>	1	\$0	\$0	CBP
97	OIG-17-115-MA	<i>Management Alert - Security and Safety Concerns at Border Patrol Stations in the Tucson Sector</i>	2	\$0	\$0	CBP
98	OIG-17-116-VR	<i>Verification Review of OIG-11-67</i>	1	\$0	\$0	PRIV
99	OIG-17-117-D	<i>Audit of FEMA Grant Funds Awarded to the Roman Catholic Diocese of Brooklyn, New York</i>	2	\$808,159	\$0	FEMA

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
100	OIG-17-119	<i>ICE Field Offices Need to Improve Compliance with Oversight Requirements for Segregation of Detainees with Mental Health Conditions</i>	2	\$0	\$0	ICE
101	OIG-18-01	<i>Hazard Mitigation Grant Funds Awarded to MEMA for the Mississippi Coastal Retrofit Program</i>	3	\$0	\$0	FEMA
102	OIG-18-03	<i>USCIS Needs a Better Approach to Verify H-1B Visa Participants</i>	3	\$0	\$0	USCIS
103	OIG-18-04	<i>(U) FAMS' Contribution to Aviation Transportation Security Is Questionable</i>	3	\$0	\$0	TSA
104	OIG-18-05	<i>DHS' Controls Over Firearms and Other Sensitive Assets</i>	5	\$0	\$0	MGMT
105	OIG-18-07	<i>DHS Needs a More Unified Approach to Immigration Enforcement and Administration</i>	1	\$0	\$0	MGMT
106	OIG-18-08	<i>FEMA and California Need to Assist CalRecycle, a California State Agency, to Improve Its Accounting of \$230 Million in Disaster Costs</i>	3	\$107,002,492	\$65,397,921	FEMA
107	OIG-18-10	<i>Biennial Report of DHS' Implementation of the Cybersecurity Act of 2015</i>	2	\$0	\$0	NPPD

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
108	OIG-18-13	<i>FEMA and CBP Oversight of Operation Stonegarden Program Needs Improvement</i>	2	\$0	\$0	FEMA
109	OIG-18-15	<i>Coast Guard IT Investments Risk Failure Without Required Oversight</i>	4	\$0	\$0	USCG
110	OIG-18-16	<i>Independent Auditors' Report on DHS' FY 2017 Financial Statements and Internal Control over Financial Reporting</i>	42	\$0	\$0	DHS, USCG, USSS, CBP, FEMA
111	OIG-18-17	<i>Napa State Hospital, California, Should Improve the Management of Its \$6.7 Million FEMA Grant</i>	2	\$4,788,492	\$0	FEMA
112	OIG-18-18	<i>Management Alert - CBP's Use of Examination and Summons Authority Under 19 U.S.C. § 1509</i>	3	\$0	\$0	CBP
113	OIG-18-19	<i>Review of CBP Information Technology System Outage of January 2, 2017</i>	2	\$0	\$0	CBP
114	OIG-18-20	<i>Office of Health Affairs Has Not Implemented An Effective Privacy Management Program</i>	9	\$0	\$0	OHA
115	OIG-18-23	<i>USCIS Has Been Unsuccessful in Automating Naturalization Benefits Delivery</i>	1	\$0	\$0	USCIS

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116	OIG-18-24	<i>Department of Homeland Security's Use of Other Transaction Authority</i>	3	\$0	\$0	MGMT
117	OIG-18-27	<i>TSA's Adjudication Resources Are Inadequate to Meet TSA PreCheck Enrollment Goals</i>	2	\$0	\$0	TSA
118	OIG-18-32	<i>Concerns about ICE Detainee Treatment and Care at Detention Facilities</i>	1	\$0	\$0	ICE
119	OIG-18-34	<i>DHS' Implementation of the DATA Act</i>	3	\$0	\$0	MGMT
120	OIG-18-36	<i>ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists (Redacted)</i>	4	\$0	\$0	ICE
121	OIG-18-38	<i>Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process</i>	7	\$0	\$0	FEMA
122	OIG-18-41	<i>DHS Needs to Strengthen Its Suspension and Debarment Program</i>	1	\$0	\$0	MGMT
123	OIG-18-51	<i>Department-wide Management of the HSPD-12 Program Needs Improvement</i>	6	\$0	\$0	DHS
124	OIG-18-53	<i>Immigration and Customs Enforcement Did Not Follow Federal Procurement Guidelines When Contracting for Detention Services</i>	2	\$0	\$0	ICE

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No.	Report	Report Title	# of Recs	Questioned Costs	Funds to be Put to Better Use	DHS Comp.
125	OIG-18-55	<i>Swearing-In Ceremony of David J. Glawe, DHS Under Secretary for Intelligence and Analysis</i>	1	\$0	\$0	SEC, DSEC, COS
126	OIG-18-56	<i>Evaluation of DHS' Information Security Program for Fiscal Year 2017</i>	1	\$0	\$0	MGMT
127	OIG-18-57	<i>Fiscal Year 2016 Audit of the DHS Bankcard Program Indicates Moderate Risk Remains</i>	1	\$0	\$0	CFO
128	OIG-18-58	<i>USCIS Has Unclear Website Information and Unrealistic Time Goals for Adjudicating Green Card Applications</i>	2	\$0	\$0	USCIS
Total			454	\$236,263,179	\$908,608,610	

Report Number Abbreviations:

A report number ending with “D” is a grant audit.

A report number ending with “MA” is a Management Alert. These reports identify conditions that pose an immediate and serious threat.

A report number ending with “IQO” is a report issued by the Office of Integrity and Quality Oversight.

A report number ending with “VR” is a Verification Review of an issued report or recommendation to verify DHS management has taken agreed upon corrective action.