

**DHS Open Unresolved
Recommendations Over
Six Months Old, as of
March 31, 2018**





OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

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The Department of Homeland Security (DHS) Office of Inspector General (OIG) is committed to helping DHS achieve its critical missions. To do that, we conduct and supervise independent audits, investigations, and inspections of DHS programs and operations, and recommend ways for DHS to carry out its responsibilities in the most effective, efficient, and economical manner. We also issue recommendations and pursue cases to address fraud, abuse, mismanagement, and waste of taxpayer funds entrusted to DHS.

This *DHS Open Unresolved Recommendations Over Six Months Old* report lists recommendations we issued to DHS more than six months ago that require action. The Office of Management and Budget established the six month resolution timeframe to ensure prompt resolution and corrective actions on OIG findings and recommendations.

Recommendations remain open unresolved until DHS submits to DHS OIG a corrective action plan (plan) that addresses the findings and recommendations, and DHS OIG accepts the plan. A recommendation is closed once DHS completes the agreed upon corrective actions and DHS OIG verifies that the actions were completed. Recommendations may also be closed by DHS OIG at the request of DHS' Resolution Official or based on special circumstances.

Implementation of these recommendations should result in a more effective, efficient, and economical DHS. We continually strive to resolve the remainder of open and unresolved recommendations. In the last six months, although we continue to work with DHS and component leadership, the number of recommendations unresolved more than six months has increased from 9 to 17.

Additional information about each recommendation is included in the corresponding report. Please view the *Reports* section of our website at www.oig.dhs.gov to review our reports.

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No. of Reports	Report No.	Report Title	Date Issued	Rec. No.	Recommendation Text	Component	Age of Rec. on 3/31/18 (Days)
1	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	3	We recommend that the Associate Administrator, Response and Recovery, modify Emergency Management Mission Integrated Environment (EMMIE) or Enterprise Data Warehouse (EDW) so that FEMA can use the data stored in EMMIE to determine if an applicant previously received disaster assistance for a damaged facility.	FEMA	1641
	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	4	We recommend that the Associate Administrator, Response and Recovery, review and evaluate National Emergency Management Information System (NEMIS) and EMMIE data fields and update any location and insurance fields that are blank or contain erroneous information.	FEMA	1641
2	OIG-13-110	DHS Needs to Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	9	We recommend that the Acting Chief Information Officer (CIO) perform full failover contingency testing for enterprise mission essential systems.	MGMT	1198
3	OIG-15-85	DHS Missing Data Needed to Strengthen Its Immigration Enforcement Efforts	5/4/2015	1	We recommend that the DHS Deputy Secretary require the Office of Policy to develop and implement a plan to collect, analyze, and report data on the Department's use of prosecutorial discretion to assess immigration enforcement activities and improve future policy. The plan should include steps taken to ensure the completeness and accuracy of the prosecutorial discretion data.	SEC, DSEC, COS	760
4	OIG-15-131-D	FEMA Should Recover \$21.7 Million of \$376 Million in Public Assistance Grant Funds Awarded to the City of Biloxi, Mississippi, for Hurricane Katrina Damages	8/21/2015	1	We recommend that the Regional Administrator, Federal Emergency Management Agency (FEMA) Region IV, disallow as ineligible \$8,093,971 of the \$21,711,231 contract the City awarded for management of an infrastructure project because the costs are unreasonable according to Federal cost principles, FEMA's Public Assistance Guide, and FEMA's Cost Estimating Format Instructional Guide for Large Projects (finding A).	FEMA	681
5	OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	5/10/2016	5	We recommend that the Transportation Security Administration (TSA) CIO and Assistant Administrator for Office of Security Capabilities jointly ensure that authorized TSA staff obtain and change administrator passwords for all Security Technology Integrated Program servers at airports so that contractors no longer have full control over this equipment at airports.	TSA	494
6	OIG-16-143-D	FEMA Should Recover \$25.4 Million in Grant Funds Awarded to Louisville, Mississippi, for an April 2014 Disaster	9/30/2016	2	We recommend that the Regional Administrator, FEMA Region IV, disallow \$1,470,285 of ineligible duplicate benefits from eligible project costs unless the City can provide sufficient evidence that funding from the other sources did not cover the eligible costs (finding B).	FEMA	391
7	OIG-17-74-IQO	Oversight Review of the U.S. Coast Guard Investigative Service	6/21/2017	14	We recommend the Coast Guard Investigative Service (CGIS) Director ensure that all firearm inventories are updated as changes occur, and the appropriate firearms custodian secures all unassigned weapons.	USCG	203



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	OIG-17-74-IQO	Oversight Review of the U.S. Coast Guard Investigative Service	6/21/2017	29	We recommend the CGIS Director ensure that all evidence is secured in structures suitable for the storage of evidence.	USCG	203
8	OIG-17-91	Palms Does Not Address Department Needs	6/30/2017	1	We recommend that the DHS CIO evaluate the current functional capabilities of Performance and Learning Management System (PALMS) and develop a plan to address the Department's outstanding capability needs for learning and performance management of DHS personnel.	MGMT	196
	OIG-17-91	Palms Does Not Address Department Needs	6/30/2017	5	We recommend that the Undersecretary for Management implement controls to ensure that technical monitoring of contracts occurs in accordance with Federal acquisition regulations.	MGMT	196
	OIG-17-91	Palms Does Not Address Department Needs	6/30/2017	7	We recommend that the DHS CIO put controls in place to ensure future task orders for PALMS subscriptions include consumption-based pricing for active system users as described in the PALMS blanket purchase agreement.	MGMT	196
9	OIG-17-97-D	FEMA Should Disallow \$2.04 Billion Approved for New Orleans Infrastructure Repairs	7/24/2017	1	We recommend that the Regional Administrator, FEMA Region VI disallow as ineligible the additional \$1.25 billion (Federal share \$1.25 billion) awarded to the City and the Sewer & Water (S&W) Board to complete the infrastructure repairs unless the City and S&W Board provide credible evidence that Hurricane Katrina and/or Hurricane Rita caused the damage.	FEMA	180
	OIG-17-97-D	FEMA Should Disallow \$2.04 Billion Approved for New Orleans Infrastructure Repairs	7/24/2017	2	We recommend that the Regional Administrator, FEMA Region VI disallow as ineligible \$784.9 million awarded to the City and S&W Board for infrastructure repairs, unless the applicants provide credible evidence that Hurricane Katrina and/or Hurricane Rita caused the damage.	FEMA	180
10	OIG-17-99-MA	Management Alert - CBP Spends Millions Conducting Polygraph Examinations on Unsuitable Applicants	8/4/2017	1	We recommend that the Acting Commissioner of U.S. Customs and Border Protection (CBP) establish an in-person pre-security interview well before the polygraph examination.	CBP	171
11	OIG-17-103-MA	Management Alert - Unclear Rules Regarding Executive Protection Details Raise Concerns	9/14/2017	1	We recommend that the Secretary of Homeland Security direct that the CBP and U.S. Immigration and Customs Enforcement (ICE) security details be discontinued pending a legal review by the DHS Office of General Counsel of the legal sufficiency for such protective details, unless the Secretary makes a written finding of physical security threats meeting the requirements of 31 U.S.C. ~ 1344, the only explicit authority currently available without a specific risk assessment.	SEC, DSEC, COS	142
12	OIG-17-115-MA	Management Alert - Security and Safety Concerns at Border Patrol Stations in the Tucson Sector	9/27/2017	1	We recommend that CBP promptly upgrade the infrastructure at Tucson Sector stations and ensure Border Patrol agent safety by providing the resources necessary to correct the urgent physical security issues.	CBP	133
Total Number of Recommendations				17			