

**DHS Open Unresolved  
Recommendations Over  
Six Months Old, as of  
September 30, 2018**





## OFFICE OF INSPECTOR GENERAL

### Department of Homeland Security

Washington, DC 20528 / [www.oig.dhs.gov](http://www.oig.dhs.gov)

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November 30, 2018

The Department of Homeland Security (DHS) Office of Inspector General (OIG) is committed to helping DHS achieve its critical missions. To do that, we conduct and supervise independent audits, investigations, and inspections of DHS programs and operations, and recommend ways for DHS to carry out its responsibilities in the most effective, efficient, and economical manner. We also issue recommendations and pursue cases to address fraud, abuse, mismanagement, and waste of taxpayer funds entrusted to DHS.

This *DHS Open Unresolved Recommendations Over Six Months Old* report lists recommendations we issued to DHS more than six months ago that require action. The Office of Management and Budget established the six month resolution timeframe to ensure prompt resolution and corrective actions on OIG findings and recommendations.

Recommendations remain open unresolved until DHS submits to DHS OIG a corrective action plan (plan) that addresses the findings and recommendations, and DHS OIG accepts the plan. A recommendation is closed once DHS completes the agreed upon corrective actions and DHS OIG verifies that the actions were completed. Recommendations may also be closed by DHS OIG at the request of DHS' Resolution Official or based on special circumstances.

Implementation of these recommendations should result in a more effective, efficient, and economical DHS. We continually strive to resolve the remainder of open and unresolved recommendations. Although we continually work with DHS and component leadership to resolve the remainder of open and unresolved recommendations, in the last six months the number of recommendations unresolved more than six months has increased from 17 to 20.

Additional information about each recommendation is included in the corresponding report. Please view the *Reports* section of our website at [www.oig.dhs.gov](http://www.oig.dhs.gov) to review our reports.



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No. of Reports	Report No.	Report Title	Date Issued	Rec. No.	Recommendation Text	Component	Age of Rec. on 9/30/18 (Days)
1	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	3	We recommend that the Associate Administrator, Response and Recovery, modify Emergency Management Mission Integrated Environment (EMMIE) or Enterprise Data Warehouse (EDW) so that FEMA can use the data stored in EMMIE to determine if an applicant previously received disaster assistance for a damaged facility.	FEMA	1771
	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	4	We recommend that the Associate Administrator, Response and Recovery, review and evaluate National Emergency Management Information System (NEMIS) and EMMIE data fields and update any location and insurance fields that are blank or contain erroneous information.	FEMA	1771
2	OIG-13-110	DHS Needs to Strengthen Information Technology Continuity and Contingency Planning Capabilities	8/28/2013	9	We recommend that the Acting Chief Information Officer (CIO) perform full failover contingency testing for enterprise mission essential systems.	MGMT	1328
3	OIG-15-85	DHS Missing Data Needed to Strengthen Its Immigration Enforcement Efforts	5/4/2015	1	We recommend that the DHS Deputy Secretary require the Office of Policy to develop and implement a plan to collect, analyze, and report data on the Department's use of prosecutorial discretion to assess immigration enforcement activities and improve future policy. The plan should include steps taken to ensure the completeness and accuracy of the prosecutorial discretion data.	SEC, DSEC, COS	890
4	OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	5/10/2016	5	We recommend that the Transportation Security Administration (TSA) CIO and Assistant Administrator for Office of Security Capabilities jointly ensure that authorized TSA staff obtain and change administrator passwords for all Security Technology Integrated Program servers at airports so that contractors no longer have full control over this equipment at airports.	TSA	624
5	OIG-17-74-IQO	Oversight Review of the U.S. Coast Guard Investigative Service	6/21/2017	29	We recommend the Coast Guard Investigative Service Director ensure that all evidence is secured in structures suitable for the storage of evidence.	USCG	333
6	OIG-17-91	Palms Does Not Address Department Needs	6/30/2017	1	We recommend that the DHS CIO evaluate the current functional capabilities of Performance and Learning Management System (PALMS) and develop a plan to address the Department's outstanding capability needs for learning and performance management of DHS personnel.	MGMT	326
	OIG-17-91	Palms Does Not Address Department Needs	6/30/2017	5	We recommend that the Undersecretary for Management implement controls to ensure that technical monitoring of contracts occurs in accordance with Federal acquisition regulations.	MGMT	326
	OIG-17-91	Palms Does Not Address Department Needs	6/30/2017	7	We recommend that the DHS CIO put controls in place to ensure future task orders for PALMS subscriptions include consumption-based pricing for active system users as described in the PALMS blanket purchase agreement.	MGMT	326



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7	OIG-17-99-MA	Management Alert - CBP Spends Millions Conducting Polygraph Examinations on Unsuitable Applicants	8/4/2017	1	We recommend that the Acting Commissioner of U.S. Customs and Border Protection (CBP) establish an in-person pre-security interview well before the polygraph examination.	CBP	301
8	OIG-17-103-MA	Management Alert - Unclear Rules Regarding Executive Protection Details Raise Concerns	9/14/2017	1	We recommend that the Secretary of Homeland Security direct that the CBP and U.S. Immigration and Customs Enforcement (ICE) security details be discontinued pending a legal review by the DHS Office of General Counsel of the legal sufficiency for such protective details, unless the Secretary makes a written finding of physical security threats meeting the requirements of 31 U.S.C. ~ 1344, the only explicit authority currently available without a specific risk assessment.	SEC, DSEC, COS	272
9	OIG-18-04	(U) FAMS' Contribution to Aviation Transportation Security Is Questionable	10/24/2017	2	This recommendation is classified Sensitive Security Information (SSI).	TSA	244
	OIG-18-04	(U) FAMS' Contribution to Aviation Transportation Security Is Questionable	10/24/2017	3	This recommendation is classified SSI.	TSA	244
	OIG-18-04	(U) FAMS' Contribution to Aviation Transportation Security Is Questionable	10/24/2017	4	This recommendation is classified SSI.	TSA	244
10	OIG-18-18	Management Alert - CBP's Use of Examination and Summons Authority Under 19 U.S.C. § 1509	11/16/2017	3	We recommend that CBP look at use of Section 1509 Summonses across the agency to determine how such summonses are being used, and whether such uses comport with the law and CBP's updated policy.	CBP	227
11	OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	1	We recommend that the Acting Assistant Secretary of Office of Health Affairs (OHA) assign the OHA Privacy Official position the appropriate authority, roles, and responsibilities needed to successfully implement an organization-wide privacy program.	OHA	217
	OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	3	We recommend that the Acting Assistant Secretary of Office of Health Affairs allocate the financial and staff resources needed for the OHA Privacy Office to effectively carry out its authority, roles, and responsibilities.	OHA	217
12	OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists (Redacted)	1/5/2018	1	We recommend that the Assistant Director of Field Operations expand the Known or Suspected Terrorist Encounter Protocol (KSTEP) policy's scope to require periodic screening of aliens on the non-detained docket.	ICE	191
	OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists (Redacted)	1/5/2018	2	We recommend that the Assistant Director of Field Operations immediately correct the limitations and challenges identified with KSTEP by ensuring Enforcement and Removal Operations offices have the infrastructure necessary to communicate on known or suspected terrorists.	ICE	191
13	OIG-18-53	Immigration and Customs Enforcement Did Not Follow Federal Procurement Guidelines When Contracting for Detention Services	2/21/2018	2	We recommend that ICE discontinue modifying the City of Eloy's intergovernmental service agreements to procure family detention space at the South Texas Family Residential Center and use the procedures developed in recommendation 1 to procure necessary housing for family detention.	ICE	158
<b>Total Number of Recommendations</b>				<b>20</b>			