

**DHS Open Unresolved
Recommendations More
Than Six Months Old,
as of March 31, 2020**





OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

The Department of Homeland Security (DHS) Office of Inspector General (OIG) is committed to helping DHS achieve its critical missions. To do that, we conduct and supervise independent audits, investigations, and inspections of DHS programs and operations, and recommend ways for DHS to carry out its responsibilities in the most effective, efficient, and economical manner. We also issue recommendations and pursue cases to address fraud, abuse, mismanagement, and waste of taxpayer funds entrusted to DHS.

This *DHS Open Unresolved Recommendations More Than Six Months Old* report lists recommendations we issued to DHS more than six months ago that require action. The Office of Management and Budget established the six-month resolution timeframe to ensure prompt resolution and corrective actions on OIG findings and recommendations.

Recommendations remain open unresolved until DHS submits to DHS OIG a corrective action plan (the plan) that addresses the findings and recommendations, and DHS OIG accepts the plan. A recommendation is closed once DHS completes the agreed upon corrective actions and DHS OIG verifies the actions were completed. Recommendations may also be closed by DHS OIG at the request of DHS' Resolution Official or based on special circumstances.

Implementation of these recommendations should result in a more effective, efficient, and economical DHS. We continue to work with DHS and component leadership to resolve the remainder of open and unresolved recommendations. In the first six months of FY 2020, the number of recommendations unresolved more than six months has increased from 16 to 18.

Additional information about each recommendation is included in the corresponding report. Please view the *Reports* section of our website at www.oig.dhs.gov to review our reports.

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No. of Reports	Report No.	Report Title	Date Issued	Rec. No.	Recommendation Text	Component	Age of Rec. on 3/31/20 (Days)
1	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	3	We recommend that the Associate Administrator, Response and Recovery, modify Emergency Management Mission Integrated Environment (EMMIE) or Enterprise Data Warehouse (EDW) so that FEMA can use the data stored in EMMIE to determine if an applicant previously received disaster assistance for a damaged facility.	FEMA	3030
	OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	4	We recommend that the Associate Administrator, Response and Recovery, review and evaluate National Emergency Management Information System (NEMIS) and EMMIE data fields and update any location and insurance fields that are blank or contain erroneous information.	FEMA	3030
2	OIG-15-85	DHS Missing Data Needed to Strengthen Its Immigration Enforcement Efforts	5/4/2015	1	We recommend that the Department of Homeland Security (DHS) Deputy Secretary require the Office of Policy to develop and implement a plan to collect, analyze, and report data on the Department's use of prosecutorial discretion to assess immigration enforcement activities and improve future policy. The plan should include steps taken to ensure the completeness and accuracy of the prosecutorial discretion data.	DSEC	1795
3	OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	5/10/2016	5	We recommend that the Transportation Security Administration (TSA) CIO and Assistant Administrator for Office of Security Capabilities jointly ensure that authorized TSA staff obtain and change administrator passwords for all Security Technology Integrated Program servers at airports so that contractors no longer have full control over this equipment at airports.	TSA	1423
4	OIG-17-22	DHS Lacks Oversight of Component Use of Force (Redacted)	1/12/2017	1	We recommend that the Deputy Secretary, DHS establish a formal entity at the Department level to oversee component use of force activities. Once established, the responsible personnel at the Department level should work with the components to: a.) establish minimal requirements for what each component should report as a use of force incident and develop a process to collect and report the accurate number of incidents; b.) develop a formal mechanism by which components can share lessons learned regarding use of force policies, training, and oversight; c.) collaborate with the components and conduct a review or study to identify minimum standards or requirements for less-lethal use of force training, and require the components to implement the training; d.) ensure each component has and uses a system of record to actively monitor completion of required recurring training; and e.) continuously improve monitoring of training requirements, address training challenges related to resource availability, optimize regional efficiencies, and identify opportunities for cooperation to meet training related goals.	SEC, DSEC, COS	1176
5	OIG-17-112	Covert Testing of TSA's Checkpoint Screening Effectiveness	9/27/2017	6	This recommendation is classified.	TSA	918
6	OIG-18-04	(U) FAMS' Contribution to Aviation Transportation Security Is Questionable	10/24/2017	2	This recommendation is classified Sensitive Security Information (SSI).	TSA	891
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7	OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists (Redacted)	1/5/2018	2	We recommend that the Assistant Director of Field Operations immediately correct the limitations and challenges identified with Known or Suspected Terrorist Encounter Protocol (KSTEP) by ensuring Enforcement and Removal Operations offices have the infrastructure necessary to communicate on known or suspected terrorists.	ICE	818
8	OIG-18-62	Victor Valley Wastewater Reclamation Authority, California, Provided FEMA Incorrect Information for Its \$33 Million Project	4/26/2018	1	We recommend that the Regional Administrator, Federal Emergency Management Agency (FEMA) Region IX, disallow the \$33,124,002 (Federal share \$24,843,002) in grant funds awarded to the Authority for Project 828; or \$1,410,433 (Federal share \$1,057,825) if FEMA disallows \$31,713,569 of ineligible costs we questioned in our previous report (OIG-17-25-D; January 2017; Recommendation 1).	FEMA	707
9	OIG-18-77	Lack of Planning Hinders Effective Oversight and Management of ICE's Expanding 287(g) Program	9/19/2018	3	We recommend the Director of U.S. Immigration and Customs Enforcement (ICE) develop an information technology Installation and Infrastructure plan in coordination with the Office of the Chief Information Officer to identify efficiencies to reduce the installation timeline.	ICE	561
10	OIG-19-21	Covert Testing of Access Controls to Secure Airport Areas	2/13/2019	2	This recommendation is classified.	TSA	414
11	OIG-19-54	Louisiana Did Not Properly Oversee a \$706.6 Million Hazard Mitigation Grant Program Award for Work on Louisiana Homes	7/25/2019	1	We recommend the Regional Administrator, FEMA Region VI, postpone project closeout until Louisiana provides documentation that supports \$706,574,823 in costs in accordance with the terms of the Hazard Mitigation Grant Program and Federal accounting regulations. The documentation should ensure funds are accounted for accurately, easily traced for support, and eligible under the Hazard Mitigation Grant Program grant. Additionally, FEMA should consider performing a forensic audit on the \$706,574,823 Hazard Mitigation Grant Program files.	FEMA	252
12	OIG-19-57	A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations	7/31/2019	5	To improve cooperation between the Federal Bureau of Investigation (FBI) and Homeland Security Investigations (HSI) along the Southwest border, we recommend that the FBI and HSI: Jointly develop a memorandum of understanding or similar written agreement governing FBI and HSI operations on overlapping criminal investigative areas.	ICE	246
13	OIG-19-61	FEMA Did Not Properly Review the Port Authority of New York and New Jersey's Request for \$306 Million in Public Assistance Funds	9/23/2019	2	We recommend the Regional Administrator, FEMA Region II implement controls and procedures to ensure personnel consistently perform grant reviews according to established FEMA guidelines and Federal regulations, and document the relevant discussions, decisions, and results of the reviews performed.	FEMA	192
14	OIG-19-66	FEMA Did Not Sufficiently Safeguard Use of Transportation Assistance Funds	9/30/2019	1	We recommend the FEMA Assistant Administrator for Recovery strengthen FEMA's transportation assistance policies and procedures and coordinate with FEMA Assistant Administrator for Mission Support to: a.) require the collection and retention of eligibility documentation or where applicable, document the steps taken to validate applicant statements in FEMA's system of record, and b.) ensure future information technology updates support the collection, use, and retention of unique Vehicle Identification Numbers to enable FEMA to cross-reference national databases to confirm insurance coverage and identify applicants' second vehicles.	FEMA	185
	OIG-19-66	FEMA Did Not Sufficiently Safeguard Use of Transportation Assistance Funds	9/30/2019	3	We recommend the FEMA Assistant Administrator for Recovery, in accordance with the Stafford and Improper Payments Acts; develop controls to identify payments not used for critical transportation needs.	FEMA	185
Total Number of Recommendations							18

Additional Information and Copies

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